

PUBLIC WORKS DEPARTMENT

SMALL PUBLIC WORKS INVITATION TO BID

116th Ave SE & Edmonds Ave NE Pipe Realignment CIP Project S-041

May 11, 2022

Prepared by:

Laura Ruppert, P.E., Principle & VP

Osborn Consulting 1800 112th Ave NE, Suite 220-E Bellevue, WA 98004 425-451-4009

Approved for Construction by:

DocuSigned by

Jeff Brauns.

Jeff Browns 1 P.E., Public Works Director

City of Newcastle 12835 Newcastle Way, Suite 200 Newcastle, WA 98056-1316 425-386-4124

SMALL PUBLIC WORKS CONTRACT BID DOCUMENTS

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CITY OF NEWCASTLE

116th Ave SE & Edmonds Ave NE Pipe Realignment CIP Project S-041

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PART 1 INVITATION TO BID

SMALL PUBLIC WORKS ROSTER INVITATION TO BID CITY OF NEWCASTLE

NOTICE IS HEREBY GIVEN that sealed bids will be received by the City of Newcastle, Washington, until **2:00 PM EXACTLY** local time on **Wednesday**, **May 25**, **2022** at which time bids will be opened publicly and read.

The City of Newcastle seeks a contractor to furnishing all labor, materials, and equipment necessary to complete the project referenced below.

116th Ave SE & Edmonds Ave NE Pipe Realignment CIP Project S-041

Sealed proposals must be clearly marked *BID FOR 116th Ave SE & Edmonds Ave NE Pipe Realignment, S-041* and shall be addressed to the following:

City of Newcastle Public Works 12835 Newcastle Way, Suite 200 Newcastle, WA 98056-1316 Attention: Brian Miller

The work provides for installation of a new drainage system at the intersection of 116th Ave SE and Edmonds Ave NE. The existing storm drainpipe has bends that clog and causes runoff to discharge from the City right-of-way and onto private property. The project will include abandoning the existing storm drain system and replacing it with a new system. The new system will convey runoff from the existing culvert located within SE 90th St. In addition, the system will incorporate catch basins where bends are located in the existing system to eliminate the debris collection in the bends and will extend the outfall location and other work indicated in the Contract Provisions.

This Invitation to Bid is being issued in accordance with RCW 35.22.620 and RCW 39.04.155, which permit the use of a Small Works Roster to solicit bids. Only qualified contractors who are registered with the Municipal Research and Services Center (MRSC) City of Newcastle roster, "Storm Drainage Construction" subcategory under the Main category, "Storm Drainage Facility Construction, Repair, and Maintenance" may submit bids.

The estimated construction cost is \$218,000.

The work shall be completed within twenty (20) working days after the commencement date stated in the Notice to Proceed.

Plans, specifications, and addenda for this project may be viewed online at the City of Newcastle website, www.newcastlewa.gov/bids It is the Bidder's responsibility to check for addenda and other new documents online.

Proposals are to be submitted only on the forms provided with Part 3 of these Contract Provisions. Substitutions will not be accepted during the bid process.

Incomplete proposals and proposals received after the time fixed for the opening will not be accepted or considered. Faxed or e-mailed responses are not acceptable. Bid results will be made available on the City website, www.newcastlewa.gov/bids.

All bidders must certify that they are not on the Comptroller General's list of ineligible contractors or on the list of parties excluded from Federal procurement or non-procurement programs. Bids may not be withdrawn after bid opening.

Financing of the Project has been provided by City of Newcastle, Washington. The City of Newcastle expressly reserves the right to reject any or all Proposals and to waive minor irregularities or informalities and to Award the Project to the lowest responsive, responsible bidder as it best serves the interests of the City.

The City of Newcastle, in accordance with Title VI of the Civil Rights Act of 1964, 78 Stat. 252, 42 U.S.C. 2000d to 2000d-4 and Title 49, Code of Federal Regulations, Department of Transportation, Subtitle A, Office of the Secretary, Part 21, Nondiscrimination in Federally-assisted programs of the Department of Transportation, issued pursuant to such Act, hereby notifies all bidders that it will affirmatively ensure that in any contract entered into pursuant to this advertisement, disadvantaged business enterprises as defined at 49 CFR Part 26 will be afforded full opportunity to submit bids in response to this invitation and will not be discriminated against on the grounds of race, color, national origin, or sex in consideration for an award.

Brian Miller
Surface Water Program Manager

<u>BrianM@newcastlewa.gov</u>

425-386-4111

PART 2

INSTRUCTIONS FOR BIDDERS AND GENERAL TERMS AND CONDITIONS

INSTRUCTIONS FOR BIDDERS AND GENERAL TERMS AND CONDITIONS

1. STANDARD SPECIFICATIONS

Bidding shall be in strict accordance with the 2022 Standard Specifications for Road, Bridge and Municipal Construction, issued by the Washington Department of Transportation (WSDOT), as modified or supplemented by the Special Provisions (hereafter, "Specification" or "Specifications"). Deletion, amendment, alteration or additions to any subsection or portion of the Standard Specifications shall pertain only to that particular portion of the section, and the balance shall continue to be in force. Bidders shall obtain these publications at the Bidder's own expense. The WSDOT specifications can be found at www.wsdot.wa.gov/publications/manuals

2. BID FORM

No bid shall be considered except those submitted on the Bid Proposal forms included with the Contract Provisions. Substitutions will not be accepted during the bid process.

3. INTERPRETATION OF CONTRACT DOCUMENTS

No oral interpretations will be made to any Bidder as to the meaning of the bid or Contract Documents; and any oral communication is not binding upon the City of Newcastle. Requests for an interpretation or questions must be directed via email to Brian Miller at BrianM@newcastlewa.gov. Questions via phone or in person will not be accepted. Bidders shall submit questions no later than 5:00pm four (4) working days before the bid opening. Any interpretation deemed necessary by the City will be in the form of an addendum to the Bid documents. Addendums will be posted on the City of Newcastle website, www.newcastlewa.gov/bids. All such addenda shall become part of the bid specifications. Where a response or addendum from the City cannot be obtained prior to the bid opening, it is understood that the Bidder has made provisions for a more costly method before submitting the bid. Where conflicts or omissions occur in Plans, Specifications, or other related Contract Documents, Bidders shall assume the more stringent requirements and verify with the City before beginning work.

4. ADDENDA

No alteration or modification of the terms and conditions of these Contract Documents will be binding unless included in a written addendum issued and approved by the City. Bidders are responsible for checking the City of Newcastle website (www.newcastlewa.gov/bids) for the issuance of any addenda prior to submitting a bid. Bids shall reflect performance according to the Addenda. No Bid Bond shall be released for failure to consider Addenda.

5. SIGNATURE

Each bid must be signed in longhand by the Bidder with the Bidder's usual signature. Bids by partnership must be signed by one of the managing partners, followed by the partner's printed name. Bids by corporations must be signed by an officer having authority to sign, followed by the officer's printed name and position.

6. BID BOND

A Bid Bond is required, See Part 3.

7. PRE-BID CONFERENCE

There is no Pre-bid Conference associated with this bid.

8. QUESTIONS

Questions regarding BIDDING PROCEDURES may be directed to:

Jeff Brauns, P.E., Public Works Director Jeffb@newcastlewa.gov 425-386-4124

Questions regarding TECHNICAL QUESTIONS may be directed to:

Brian Miller, Surface Water Program Manager brianm@newcastlewa.gov 425-386-4111

9. NON-COLLUSION

By bid signature, the Bidder certifies that the Bid is non-collusive, and not made in the interest of any person not named, and that the Bidder has not induced or solicited others to submit a sham offer, or to refrain from proposing.

10. **GIFTS**

The City's Code of Ethics and Washington State law prohibit City employees from soliciting, accepting, or receiving any gift, gratuity or favor from any person, firm or corporation involved in a contract or transaction. To ensure compliance with the City's Code of Ethics and state law, the Bidder or a **Successful Low Bidder (herein after "Contractor")** awarded the contract, shall not give a gift of any kind to City employees or officials, at any time, even after award of a contract.

11. SUBMISSION OF BIDS

To receive consideration, bids must be submitted prior to the specified time for opening, in a sealed envelope, clearly marked with company name, address, telephone number, bid number, title of bid, and time of opening to the City of Newcastle. Bidders assume the risk for the method of delivery chosen. The City assumes no responsibility for delayed delivery. No oral, telephonic, email or facsimile bids or modifications will be accepted. Any bid or modification of a bid received at the City of Newcastle after the stated time and date for the bid closing will not be accepted or considered.

Bids remain confidential until bid opening after which bids are considered a public record subject to public disclosure under Chapter 42.56 RCW. Bidder shall mark as "proprietary" any

information that Bidder believes meets the exemption under RCW 42.56.270(1). This assertion of proprietary information will be considered by the City in response to public records requests. Bid results will be made available as soon as practical following the bid opening on the City website, www.newcastlewa.gov/bids.

12. WITHDRAWAL OF BIDS

See Specification 1-02.10.

13. BID PRICE

The bid price shall include everything necessary to perform and complete the project, including, but not limited to, furnishing all materials, equipment, tools, plant and landscape material, and other facilities and all management, superintendent's labor and service, except as may be provided otherwise in the contract documents. The bid shall remain in effect for forty-five (45) calendar days after the bid opening. For City of Newcastle correction of discrepancy in bid price, see Specification 1-03.1.

14. PREVAILING WAGE

See Specification 1-07.9(1). See APPENDIX A for applicable wage rates.

15. ESTIMATED QUANTITIES

See Specification 1-02.3 and 1-04.6.

16. EXAMINATION OF SITE AND CONTRACT DOCUMENTS

See Specification 1-02.4.

17. CONTRACT BOND

See Specification 1-03.4 and Part 4

18. INDEMNIFICATION/HOLD HARMLESS

The Awarded Contractor shall defend, indemnify and hold the City and its officers, agents, officials, employees, and volunteers harmless from any and all claims, injuries, damages, losses or suits including attorney fees, costs, and expenses arising out of or in connection with the performance of the Contract, except for injuries and damages caused by the sole neglect of the City.

This Contract is subject to RCW 4.24.115. In the event of liability for damages arising out of bodily injury to persons or damages to property caused by or resulting from the concurrent negligence of the Contractor and the City, its officers, officials, employees and volunteers, the Contractor's liability hereunder shall be only to the extent of the Contractor's negligence. It is further specifically and expressly understood that the indemnification provided herein constitutes the Contractor's waiver of immunity under Industrial Insurance, Title 51 RCW, solely for the purposes of this indemnification. This waiver has been mutually negotiated by

the parties. The provisions of this section shall survive the expiration or termination of the contract.

19. INSURANCE

See Specification 1-07.18.

20. TAXES AND FEDERAL EXCISE TAX

Taxes are to be paid by the City as indicated on the Bid Proposal Sheet. Where no line item is provided for Washington State Sales Tax, Rule 171 (WAC 458-20-171) applies. No charge by the Bidder shall be made for federal excise taxes. The City of Newcastle, as a municipal corporation of the State of Washington, is exempt from federal excise tax and such taxes shall not be included in bid prices. The City of Newcastle agrees to furnish Bidder, upon acceptance of articles supplied under this order, with an exemption certificate, if necessary.

21. CITY BUSINESS LICENSE

As mandated by NMC 5.15.030, if awarded the Contract, the Awarded Contractor shall obtain a City of Newcastle Business License prior to the execution of the Contract and shall maintain the business license in good standing throughout the term of the Contract. Information on obtaining a City business license is available at:

www.newcastlewa.gov/businesslicense

22. <u>LOW RESPONSIBLE BIDDER</u>

It is the intent of the City to award the bid to the lowest responsive and responsible bidder. Before award, the bidder must meet the following state responsibility criteria and, if applicable, supplemental responsibility criteria to be considered a responsible bidder. The bidder is required to submit documentation demonstrating compliance with the criteria.

- A. **State Responsibility Criteria.** The Bidder must meet the following state responsibility criteria as set forth in RCW 39.04.350:
 - 1) At the time of bid submittal, have a current certification of registration in compliance with chapter 18.27 RCW.
 - 2) Have a current Washington State Unified Business Identifier (UBI) number.
 - 3) If applicable:
 - a) Have Industrial Insurance (workers' compensation) coverage for the Bidder's employees working in Washington State, as required in Title 51 RCW;
 - b) Have a Washington State Employment Security Department number, as required in Title 50 RCW; and
 - c) Have a Washington State Department of Revenue state excise tax registration number, as required in Title 82 RCW.
 - 4) Not be disqualified from bidding on any public works contract under RCW 39.06.010 or 39.12.065(3).

- 5) Have received training from the Washington State Department of Labor & Industries or a training provider approved by the Department on the requirements related to public works and prevailing wage under chapter 39.04 RCW and chapter 39.12 RCW unless the bidder has completed three or more public works projects and has had a valid business license in Washington for three or more years, and
- 6) Within the three-year period immediately preceding the date of the bid solicitation, not have been determined by a final and binding citation and notice of assessment issued by the Washington State Department of Labor and Industries or through a civil judgment entered by a court of limited or general jurisdiction to have willfully violated, as defined in RCW 49.48.082, any provision of chapter 49.46, 49.48, or 49.52 RCW.
- B. **Supplemental Bidder Responsibility Criteria.** If supplemental criteria apply to this project, the criteria are included in Section 3. The Bidder may make a written request for the City to modify any or all of the supplemental criteria. Modification of supplemental criteria shall be at the City's discretion.
- C. **Performance Exception**. The lowest responsible bidder means a bid that meets the criteria under RCW 39.04.350 and has the lowest bid; provided, that if the City issues a written finding that the lowest bidder has delivered a project to the City within the last three years which was late, over budget, or did not meet specifications, and the City does not find in writing that such bidder has shown how they would improve performance to be likely to meet project specifications then the City may choose the second lowest bidder whose bid is within five percent of the lowest bid and meets the same criteria as the lowest bidder.

23. SUBCONTRACTOR RESPONSIBILITY

See Specification 1-08.1.

24. NON-RESPONSIVE BIDS

See Specification 1-02.13.

25. BID ERRORS

See Specification 1-03.1.

26. BID PROTEST

Any Bidder may file a written protest against award of the Contract to the lowest bidder within two full business days of bid opening. Within two business days of the bid opening, the City shall provide, if requested by a bidder, copies of the bids the City received for the project. The City shall allow at least two business days after providing bidders with copies of all bids before executing a contract for the project. A protest submittal shall be delivered to the City of Newcastle, City Clerk, 12835 Newcastle Way, Suite 200, Newcastle, WA 98056-1316, with the words "Bid Protest" prominently and clearly displayed on any outer cover containing the protest notice as well as the notice itself. The following minimum information must be included

in the written protest notice: 1) the name, address and phone number (including area code) of the protesting bidder; and 2) the protesting bidder contact person's name and telephone number (including area code); and 3) a statement(s) describing the nature of the protest; and 4) the City bid number and title.

If the City intends to award the contract to other than the low bidder, a notice of intent to award shall be sent to all bidders. Any Bidder other than the selected bidder may protest the award using the procedure outlined above within five full business days of mailing the notice or two full business days of actual receipt by electronic or personal delivery.

No contract shall be executed earlier than two full business days (excluding holidays and weekends) from the date a written protest is received, or, if copies were requested by any Bidder, two full business days following when the copies of the bids were provided by the City. The Bid Protester assumes the risk for method of delivery.

27. AWARD OF CONTRACT

See Specification 1-03.2, 1-03.3., 1-03.4 and 1-03.5.

28. NOTICE TO PROCEED

The Awarded Contractor shall not commence work until a Notice to Proceed has been issued by the City. A Notice to Proceed will be given after the Contractor has submitted a completed W-9 form and after the contract has been executed by the City and the Contractor, and where applicable, by any State or Federal agencies responsible for funding any portion of the Project. The time allowed for Physical Completion of the work shall begin as of the date specified in the Notice to Proceed, or if no date is specified, the next working day following the date of the Notice to Proceed

29. REQUEST TO SUBCONTRACT WORK

The Awarded Contractor shall complete and submit to the City a Request to Subcontract Work form three (3) working days prior to a subcontractor performing the work.

30. ASSIGNMENT

The Awarded contract, or any interest herein, or claim hereunder, shall not be assigned or transferred in whole or in part by the Contractor to any other person or entity without the prior written consent of the City, which consent will not be unreasonably withheld. In the event that such prior written consent to an assignment is granted, then the assignee shall assume all duties, obligations, and liabilities of Contractor as stated herein.

31. PAYMENT

The Awarded Contractor shall be paid, upon submission of a proper Payment Request, the prices stipulated herein for work performed (less deductions, if any), in accordance with all payment and retainage instructions herein. Submitted Payment Requests must contain the following minimum information:

- A. Contract Number
- B. Bid item number, bid quantity, unit, unit price and description as appropriate
- C. Sales Tax as applicable

The Payment Request will be reviewed by the City before payment is made. If the City is in disagreement with the Payment Request, the City shall file a notice of dispute. Contractor shall be paid or a notice of dispute sent within thirty (30) days after the Payment Request is received by the City.

In accordance with RCW 51.12.050, the City reserves the right to deduct from the payment any outstanding industrial insurance premiums owed by the Contractor or Subcontractors.

32. RETAINAGE

See Specification 1-09.9(1).

33. APPLICABLE LAW AND FORUM

The Awarded Contractor shall comply with all federal, state and local laws, rules, regulations applicable to its performance. The Contract shall be governed by and construed according to the laws of the State of Washington. Any suit arising from here shall be brought in King County Superior Court.

34. ADDITIONAL INFORMATION

The City encourages disadvantaged, minority and women-owned businesses to respond.

The City, in accordance with Title VI of the Civil Rights Act of 1964, 78 Stat. 252, 42 U.S.C. 2000d to 2000d-4 and Title 49, Code of Federal Regulations, Department of Transportation, Subtitle A, Office of Secretary, Part 21, Nondiscrimination in Federally-assisted programs of the Department of Transportation issued pursuant to such Act, hereby notifies all respondents that it will affirmatively ensure that in any contract entered into pursuant to this advertisement, disadvantaged business enterprises as defined at 49 CFR Part 23 will be afforded full opportunity to submit a proposal in response to this invitation and will not be discriminated against on the grounds of race, color, national origin, or sex in consideration for an award.

PART 3 BID DOCUMENTS

BID SUBMITTAL CHECKLIST

1. REQUIRED FORMS

be executed in full and submitted with the Proposal.
Bid Proposal
Statement of Bidder's Qualifications
Bid Security Form
Certification of Compliance with Wage Payment Statutes (RCW 39.04.350
Subcontractor Listing Form (RCW 39.30.060)
The two lowest bidders shall submit the Responsible Bidder Information Form within 4 hours after the bid opening. Failure to submit these forms may result in the Contractin Agency refusal to accept the Bid.
Responsible Bidder Information Form
Failure to submit all of the above items will result in the bid being non-responsive

BID PROPOSAL

116TH AVE SE & EDMONDS AVE NE PIPE REALIGNMENT (S-041)

This Contract provides for the above listed project and other work, all in accordance with the Contract Plans, Contract Provisions, and the Standard Specifications.

All bidding and construction shall be performed in compliance with the Notice to Contractors, Bid Proposal, Plans, Specifications, and Contract for this project and any addenda issued thereto which are on file at the office of the City Clerk, City of Newcastle, Washington.

It is understood herein that after the date and hour set for the opening of bids, no Bidder may withdraw its Proposal, unless the award of the Contract is delayed for a period exceeding fifty (50) consecutive calendar days.

The undersigned has examined the site(s), local conditions, Addenda, Contract Provisions, Plans, and all applicable laws and ordinances covering the Work contemplated. In accordance with the terms, provisions, and requirements of the foregoing, all of their respective terms and conditions are incorporated herein by this reference and the following unit and lump sum prices are tendered as an offer to perform the Work and furnish the equipment, materials, appurtenances, and guarantees, complete in place, in good working order.

The undersigned freely states that it is familiar with the provisions of the competitive bidding statutes of the State of Washington, and specifically the provisions of RCW Chapter 9.18, and certifies that with respect to this Proposal, there has been no collusion or understanding with any other person, persons, or corporation, to prevent or eliminate full and unrestricted competition among Bidders on this Project.

The undersigned agrees that in the event of contract award, it shall employ only Contractors and Subcontractors duly licensed by the State of Washington and remain so at all times they are in any way involved with the Work.

The undersigned agrees that the Owner reserves the right to reject any or all bids and to waive any minor irregularities and informalities.

The undersigned hereby agrees that the Owner reserves the right to award the contract to the lowest responsible, responsive bidder whose Proposal is in the best interest of the

Owner. The Owner will determine at the time of award of the Project which additives, if any, will be included in the Contract.

The undersigned agrees that the Owner is authorized to obtain reports from all references included herein.

Subject to any extensions of the Contract time granted under the Contract, the undersigned agrees to substantially complete the Work required under this Contract within twenty (20) from when Contract Time begins.

The undersigned is in, and will remain in, full compliance with all Washington State Department of Licensing requirements for contractors, including but not limited to requirements for bond, proof of insurance and annual registration fee. The undersigned's Washington State:

The undersigned waives any immunity granted under the State Industrial Insurance Law, RCW Title 51. This waiver has been specially negotiated by the parties, which is acknowledged by the undersigned in signing this Proposal.

By signing the proposal, the undersigned declares, under penalty of perjury under the laws of the United States and the State of Washington, that the following statements are true and correct:

- That the undersigned person(s) or entity(ies) has(have) not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with the project for which this Bid is submitted.
- 2. That by signing the signature page of this Bid, I am deemed to have signed and to have agreed to the provisions of this declaration.

The undersigned agrees that the Owner is authorized to obtain information from all references included herein.

PROPOSAL - Continued

Print Bidder Name

Contractor Name:			
Address:			
City:			
Phone: Fax:			
E-mail:			
State of Incorporation or formation of business entity:			
Signatory Name:			
Signatory Title:			
Signature: Date:			

SCHEDULE OF PRICES

BID AWARD: Determination of low bidder will be made on the basis of the "Total Base Bid Price" Plus Additive 1 as budget allows. The below signed bidder acknowledges that bids must be submitted for the base bid and additive items. Partial bids will not be considered.

Preference 1: Base Bid plus Additive A

Preference 2: Base Bid

Having carefully examined all Contract Documents prepared by the City of Newcastle, the undersigned agrees to furnish all the labor, materials, equipment, superintendence, insurance and other accessories and services necessary to perform and complete all of the work required by and in strict accordance with the above contract documents and the implied intent thereof, for the following schedule of unit prices:

BASE BID

ITEM No.	SPEC SEC.	ITEM DESCRIPTION	EST. QTY	UNITS	UNIT PRICE	TOTAL AMOUNT
1	1-04	Minor Change	1	EST	\$	\$
2	1-05	Construction Surveying	1	LS	\$	\$
3	1-05	Record Drawings	1	LS	\$	\$
4	1-07	SPCC Plan	1	LS	\$	\$
5	1-09	Mobilization	1	LS	\$ \$	
6	1-10	Project Temporary Traffic Control	1	LS	\$	
7	2-01	Clearing and Grubbing	1	LS	\$	
8	2-05	Structure Excavation Class B Inc Haul	179	CY	\$ \$	
9	4-04	Crushed Surfacing Base Course	141	TN	\$	\$
10	5-04SP	HMA CI. 1/2 PG 64-22	3	TN	\$	\$
11	7-02	Flared End Section 12 In. Diam	1	EA	\$	\$
12	7-04	SDR 35 Storm Sewer Pipe 12 In. Diam.	301	LF	\$	\$

ITEM No.	SPEC SEC.	ITEM DESCRIPTION	EST. QTY	UNITS	UNIT PRICE	TOTAL AMOUNT
13	7-04 SP	High-Density Polyethylene (HDPE) Pipe 12 In. Diam	207	LF	\$	\$
14	7-04 SP	High-Density Polyethylene (HDPE) Pipe 16 In. Diam	20	LF	\$	\$
15	7-04 SP	Energy Dissipater (HDPE) Tee	10	LF	\$	\$
16	7-05 SP	Catch Basin Type 1	7	EA	\$	\$
17	7-08	Plugging Existing Pipe	2	EA	\$	\$
18	8-01SP	Erosion Control and Water Pollution Prevention	1	LS	\$	\$
19	8-01SP	Topsoil Type A	12	CY	\$ \$	
20	8-01SP	Course Compost	78	CY	\$ \$	
2	8-02	Seeding and Fertilizing by Hand	80	SY	\$ \$	
22	22 8-02 Straw Wattle and Live Stake Row 540 LF \$		\$	\$		
23	B 8-05SP Existing Railroad Tie Wall and Steps 1 LS \$		\$	\$		
24	8-24	Geotextile	40	SY	\$	\$
25	8-24 SP	Gabion Cribbing	25	CY	\$	\$
			SUBTOTAL	(BASE BID)	\$
			NA State Sa	ales Tax @	0% (Rule 170)	\$ N/A
		-	TOTAL COS	ST (BASE E	BID)	\$

COMPANY NAME	
· · · · · · · · · · · · · · · · · ·	

ACKNOWLEDGEMENT OF RECEIPT OF ADDENDA

By signing below, Bidder acknowledges receipt and understanding of the following Addenda to the Contract Documents:

Addendum No.	Date of Receipt	Signature
1		
2		
3		

NOTE:

Failure to acknowledge receipt of Addenda may be considered as an irregularity in the Bid Proposal and the City reserves the right to determine whether the Bid will be disqualified.



STATEMENT OF BIDDER'S QUALIFICATIONS

Na	me of Firm:				
Ad	dress:				
Со	ontact Person for this Project:				
Те	lephone No				
E-ı	mail:				
Yo	u may attach extra pages if necessary to answer these questions				
1.	Number of years the company has been in business under the present firm name as indicated above:				
2.	Gross dollar amount of work currently under contract:				
3.	Gross dollar amount of contracts currently not completed:				
4.	General character of work performed by firm:				
5.	List five major pieces of equipment which are anticipated to be used on this project by the Contractor and note which items are owned by the Contractor and which are to be leased or rented from others: 1				
	υ				

6. List up to three (3) customer references for projects of a similar nature and size which have been completed by the bidder within the last seven (7) years:

Reference #1	
Project Name / Agency- Owner	
Contact Name & Title	
Phone Number	
Year Completed	
Contract Amount	
Scope of project:	
Reference #2	
Project Name / Agency-	
Owner Contact Name & Title	
Phone Number	
Year Completed	
Contract Amount	
Scope of project:	
Reference #3	
Project Name / Agency- Owner	
Contact Name & Title	
Phone Number	
Year Completed	
Contract Amount	
Scope of project:	

7.	Bank Reference:				
8.	How many general superintendents or other responsible employees in a supervisory position do you have at this time, and how long have they been with the firm?				
9.	Identify who will be the general superintendent and/or project superintendent on this project and list the number of years each person identified has been with the firm.				
10	. Have you changed bonding companies within the last three years?				
	If yes, why?				
or dis	eve you ever been sued or engaged in arbitration by the Owner or have you ever sued demanded arbitration from an Owner of any public works contract for a special utility strict, private utility company, municipality, county, or state government a party to a vesuit or an arbitration proceeding in any way relating to a construction project?				
lf y	ves, for what reason?				
Dis	sposition of case, if settled:				
Bio	dder agrees that the Owner shall have the right to obtain credit reports.				
Ye	/es: No:				

The City may conduct reference checks for the bidder whose bid is under consideration for award for verification of bidder responsibility under mandatory and supplemental bidder responsibility under Part II (19) of the Contract Documents. The City may determine that the bidder is not a responsible bidder and may award to the next lowest bidder who meets the bidder qualification requirements. In conducting reference checks, the City may include itself or other government agencies and businesses as a reference even if the bidder did not identify these sources as a reference.



RESPONSIBLE BIDDER INFORMATION FORM

Contractor Name:				
Address:				
City:				
Phone: Fax:				
E-mail:				
UBI Number:				
Contractor Registration Number:				
Employment Security Department Number:				
State Excise Tax Registration Number:				
Are you disqualified from bidding under RCW 39.06	5.010 or 39.12.065(3)?			
I. Have you been disqualified from bidding on ar ☐ Yes ☐ No If yes, provide details:	ny public works contract(s)?			
Have any of the projects you have completed in the last three (3) years had claims against the retainage and/or bonds?				
\square Yes \square No. If yes, list below:				

	Project Name / Agency-Owner	Owner Reference Name and Phone No.	List claims filed against retainage and/or payment bond. Explain circumstances around each claim & ultimate resolution.		
3.	Has the bidder and/or its in the last five (5) years?	owners had any lawsuits	with judgements entered against the Bidder		
	☐ Yes ☐ No If yes, p	rovide details:			
4.	. Have you ever been sued or engaged in arbitration by the Owner or have you ever sued or demanded arbitration from an Owner of any public works contract for a special utility district, private utility company, municipality, county, or state government a party to a lawsuit or an arbitration proceeding in any way relating to a construction project?				
	If yes, for what reason?				
	Disposition of case, if settled:				
5.	Does the bidder owe any	delinquent taxes to the W	/ashington State Department of Revenue?		
	☐ Yes ☐ No If yes, o	does the Bidder have an	approved payment plan? □ Yes □ No		
6.	Does the bidder have any prevailing wage violations as determined by Washington State Department of Labor & Industries in the past five (5) years?				
	\square Yes \square No If yes, provide a list of the violation(s), along with an explanation of each violation and how it was resolved.				
and to v	accurate to the best of hi	s/her knowledge. The un ined herein (if this informa	the foregoing information is complete, true, dersigned authorizes the City of Newcastle tion is not complete and accurate, the bid		
Sig	nature of Bidder				
Title	Title Date				



BID BOND

KNOW ALL BY THESE PRESENTS, that we
ofPrincipal, and the
(Name of Surety)
(Address of Surety)
a corporation duly organized under the laws of the state of,
and authorized to do business in the State of Washington, as surety, are held and firmly
bound unto the CITY OF NEWCASTLE in the full and penal sum of five (5) percent of the
total amount of the bid proposal of said principal for the work hereinafter described, for
the payment of which, well and truly to be made, we bind our heirs, executors,
administrators and assigns, and successors and assigns, firmly by these presents.
The condition of this bond is such, that whereas the principal herein is herewith submitting
his or its sealed proposal for the following construction project, to wit:
116TH AVE SE & EDMONDS AVE NE PIPE REALIGNMENT (S-041)
said bid and proposal, by reference thereto, being made a part hereof.
NOW, THEREFORE, If the said proposal bid by said principal be accepted, and the contract be awarded to said principal, and if said principal shall duly make and enter into and execute said Contract and shall furnish bond as required by the CITY OF NEWCASTLE within a period of 10 days from and after said award, exclusive of the day of such award, then this obligation shall be null and void, otherwise it shall remain and be in full force and effect.
IN TESTIMONY WHEREOF, The principal and surety have caused these presents to be signed and sealed this day of, 20

By	Ву
Bidder	Surety
	•
Title	Title
Date	Date
Dale	Dale



CERTIFICATION OF COMPLIANCE WITH WAGE PAYMENT STATUTES

I certify under penalty of perjury under the laws of the State of Washington that	
Bidder	
is in compliance with the responsible bidder criteria requirement of RCW 39.04.350 provides:)(1)(9) which
Within the three year period immediately preceding the date of this solicitation*,	
Bidder	
has not been determined by a final and binding citation and notice of assessment is Washington State Dept. of Labor and Industries or through a civil judgment entered limited or general jurisdiction to have knowingly and intentionally violated, as defined 49.48.082, any provision of RCW chapters 49.46, 49.48, or 49.52.	d by a court of
Bidder Signature	
Printed Name	
Title	
Location of Place Executed (City, State)	
Date	

*Definition: "Date of this solicitation" means the date of publication for formal bids, and the date of request for quotes or small works roster invitations.



Project Name: __

Local Agency Subcontractor List

Prepared in compliance with RCW 39.30.060 as amended

To Be Submitted with the Bid Proposal

Failure to list subcontractors with whom the bidder, if awarded the contract, will directly subcontract for performance of the

Chapter 18.106 RCW, and	nstallation, rebar installation, heating, ventilation and air conditioning, plumbing, as described in delectrical, as described in Chapter 19.28 RCW or naming more than one subcontractor to perform in your bid being non-responsive and therefore void.
rebar installation, heating,	m the bidder will directly subcontract that are proposed to perform the work of structural steel installation, ventilation and air conditioning, plumbing, as described in Chapter 18.106 RCW, and electrical as described at be listed below. The work to be performed is to be listed below the subcontractor(s) name.
below to perform such w	includes one or more categories of work referenced in RCW 39.30.060, and no subcontractor is listed ork, the bidder certifies that the work will either (i) be performed by the bidder itself, or (ii) be r subcontractor who will not contract directly with the bidder.
Subcontractor Name	
Work to be performed	
Subcontractor Name	
Work to be performed	
Subcontractor Name	
Work to be performed	
Subcontractor Name	
Work to be performed	
Tronk to be personned	
	it is the opinion of the enforcement agency that PVC or metal conduit, junction boxes, etc, are considered perefore considered part of electrical work, even if the installation is for future use and no wiring or electrical

PART 4 AWARD DOCUMENTS

SAMPLE CONTRACT



AGREEMENT PUBLIC WORKS PROJECT

THIS AGREEMENT is entered into by and between the CITY OF NEWCASTLE (hereinafter called the Owner) and [CONTRACTOR] (hereinafter called the Contractor).

The Owner and the Contractor agree as follows:

ARTICLE 1. WORK.

This Project includes the construction of approximately 100 ft2 of rockery along south side of the 12100 block at SE 71st Pl. Items of work include, but not limited to clearing and grubbing, removal of unstable rockery section, excavation, rockery reconstruction and backfill material in addition to a rockery wall drainage connection along with other miscellaneous items as further shown, described and indicated in the Contract Provisions.

ARTICLE 2. CONTRACT TIME.

The Contractor shall complete the Work required by the Contract within [FIGURE] (NUMBER) working days.

ARTICLE 3. LIQUIDATED DAMAGES.

The Owner and the Contractor recognize that time is of the essence and that the Owner will suffer financial loss if the Work is not completed within the time, plus any extensions thereof, allowed in accordance with the Contract. They also recognize the inconvenience, expense, and difficulties involved in a legal proceeding to prove the actual loss suffered by the Owner if the Work is not completed within the time allowed in the Contract. Accordingly, the Owner and the Contractor agree that as liquidated damages for delay, and not as a penalty, the Contractor shall pay the Owner (\$500.00) per day for each working day beyond the Substantial Completion Date that the Contractor achieves substantial completion of the Work and (\$500.00) for each working day beyond the Physical Completion Date that the Contractor achieves physical completion of the Work.

SAMPLE CONTRACT

ARTICLE 4. CONTRACT PRICE.

The Owner shall pay the Contractor the amount(s) set forth in the Proposal (in United States dollars) for completion of the Work in accordance with the Contract.

ARTICLE 5. CONTRACT.

The Contract, which comprises the entire agreement between the Owner and the Contractor concerning the Work, consists of the following:

- This Agreement;
- The Contractor's Proposal including the bid, bid schedule(s), information required of bidder, and all required certificates and affidavits;
- The Contract Provisions;
- The Plans (or drawings);
- Addenda, if any;
- Change Orders issued after the effective date of this Agreement.

There are no Contract Documents other than those listed in this Article 5. The Contract may be amended only in writing by Change Order as provided in the Contract.

ARTICLE 6. MISCELLANEOUS.

The Contractor specifically waives any immunity granted under the State Industrial Insurance La	W
RCW Title 51, which is specifically acknowledged by the Contractor.	
Contractor to initial:	

The Contractor shall not assign any rights under or interests in the Contract, including but not limited to rights to payment, without the prior written consent of the Owner. Unless specifically stated in a written consent to an assignment, no assignment will release or discharge the Contractor-assignor from any duty or responsibility under the Contract.

The Contract is binding upon the Owner and the Contractor, and their respective partners, successors, assigns and legal representatives.

IN WITNESS WHEREOF, Owner and Contractor have caused this Agreement to be executed the day and year first above written.

SAMPLE CONTRACT

CITY OF NEWCASTLE	CONTRACTOR	
Robert Wyman		
City Manager	Name	
ATTEST	Title	
City Attorney		

SAMPLE CONTRACT FORMS To be completed by low bidder

PERFORMANCE BOND

KNOW ALL BY THESE PRESENTS: That whereas The City of Newcastle has awarded to
hereinafter designated as the "Principal", a Contract for the
project, all as hereto attached and made a part hereof, and whereas said
Principal is required under the terms of said Contract to furnish a bond for the faithful performance
of said Contract;
NOW, THEREFORE, we the Principal,
anda corporation, organized
and existing under and by virtue of the Laws of the State of
duly authorized to do business in the State of Washington, as Surety, are held and firmly bound
unto The City of Newcastle, for and in behalf of the
project, in the sum of
Dollars () lawful money of the United States, for the payment of which sum well and
truly to be made, we bind ourselves, our heirs, executors, administrators, successors and assigns,
jointly and severally, firmly by those presents.

THE CONDITION OF THIS OBLIGATION IS SUCH, that if the above bonded principal, his or its heirs, executors, administrators, successors or assigns, shall in all things stand to and abide by, and well and truly keep and perform the covenants, conditions and agreements in the said Contract, and shall faithfully perform all the provisions of such Contract and shall also well and truly perform and fulfill all the undertakings, covenants, terms, conditions and agreements of any and all duly authorized modifications of said Contract that may hereinafter be made, at the time and in the manner therein specified and shall pay all laborers, mechanics, subcontractors, material men and all persons who shall supply such person or persons, or subcontractors, with provisions and supplies for the carrying on of such work, on his or their part, and shall indemnify and save harmless The City of Newcastle, and their officers and agents; and shall further save harmless and indemnify said City from any defect or defects, in any of the workmanship entering into any part of the work or designated equipment covered by said Contract, which shall develop or be

SAMPLE CONTRACT FORMS To be completed by low bidder

discovered within two years after final acceptance of such work, then this obligation shall become
null and void; otherwise, it shall be and remain in full force and effect, provided that the liability
hereunder for defects in materials and workmanship for a period of two (2) years after the final
acceptance of the work shall not exceed the sum of
And the said Surety, for value received, hereby further stipulates and agrees that no change,
extension of time, alteration or addition to the terms of the Contract or the work to be performed
thereunder or the Specifications accompanying the same shall in anyway affect its obligation on
this Bond, and it does hereby waive notice of any change, extension of time, alterations or additions
to the terms of the Contract or the work or to the Drawings or Specifications.
IN WITNESS WHEREOF, the said Principal and the said Surety have caused this Bond and three
(3) counterparts thereof to be signed and sealed by their duly authorized officers this day of

TWO WITNESSES

	Principal
	By
	Title
ATTEST: (If Corporation)	
CORPORATE SEAL	Surety
	Ву
By	
Title	Its
	Address of local office and agent of Surety Company is:
Ву	
Attorney for City of Newcastle	

NOTE: Date of Bond must not be prior to date of Contract. If Contractor is a Partnership, all partners should execute bond.

IMPORTANT: Surety companies executing bonds must appear on the Treasury Department's most current list (Circular 570 as amended) and be authorized to transact business in the state where the project is located.

PAYMENT BOND

KNOW ALL BY THESE PRESENTS:
that
(Name of Contractor)
(Address of Contractor)
a, (Corporation, Partnership or Individual), hereinafter called Principal,
and
(Name of Surety)
(Address of Surety)
hereinafter called Surety, are held and firmly bound unto The City of Newcastle, hereinafter called
Owner, in the penal sum of (100% of Contract Sum)
(<u>\$</u>) in lawful money of the United States, for the payment of which sum well and
truly to be made, we bind ourselves, successors and assigns, jointly and severally, firmly by these
presents.
THE CONDITION OF THIS OBLIGATION is such that whereas, the Principal entered into a
certain Contract with the Owner, dated the day of, 20, a copy of which is
hereto attached and made a part hereof for the construction of:

NOW, THEREFORE, if the Principal shall promptly make payments to all persons, firms, subcontractors and corporations furnishing materials for or performing labor in the prosecution of the work provided for in such Contract, and any authorized extension or modification thereof, including all amounts due for materials, lubricants, oil, gasoline, coal and coke repairs on machinery, equipment and tools, consumed or used in connection with the construction of such work, and all insurance premiums on said work, and for all labor performed in such work, whether by subcontractor or otherwise, then this obligation shall be void; otherwise to remain in full force and effect.

PROVIDED, FURTHER, that the said Surety, for value received hereby stipulates and agrees that no change, extension of time, alteration or addition to the terms of the Contract or to the work to be performed thereunder or the Specifications accompanying the same shall in anyway affect its obligation on this bond, and it does hereby waive notice of any such change, extension of time, alteration or addition to the terms of the Contract or to the work or to the Specifications.

PROVIDED, FURTHER, all such persons, firms, subcontractors and corporations furnishing materials for or performing labor in the prosecution of the work provided for in such Contract shall have the right to sue in their own name on this Bond in its own name to recover for any loss, injury, damage or liability whatsoever sustained or incurred by them by reasons of any breach of the Contract Documents, or of any provisions in this Bond, in the same manner and to the same extent as though this obligation ran directly to the said persons, firms, subcontractors and corporations furnishing materials for or performing labor in the prosecution of the work provided for in such Contract.

IN WITNESS WHEREOF, this instrument	is executed in	counterparts, each one of
which shall be deemed an original, this	_ day of	, 20

ATTEST:	
(Seal)	Principal
(Witness as to Principal)	By:
(Address)	Address
ATTEST:	
(Surety) Secretary	By Attorney for City of Newcastle
(Seal)	
(Witness to Surety)	Surety
(Address)	Attorney-in-Fact
	Address

NOTE: Date of Bond must not be prior to date of Contract. If Contractor is a Partnership, all partners should execute bond.

IMPORTANT: Surety companies executing bonds must appear on the Treasury Department's most current list (Circular 570 as amended) and be authorized to transact business in the state where the project is located.

RETAINAGE INVESTMENT OPTION

Contractor	r:	Project Name:
Date:		Project Number:
this contra fail to do s	act will be invested. Please complete an	ay exercise an option as to how retainage under d sign this form indication your preference. If you Guarantee Deposit account, and you will miss the ollowing options:
1.	will be paid to you directly, rather that	aced in an interest-bearing account. The interest in kept on deposit. If this is your choice, then CCOUNT AGREEMENT. Please state the
	Bank:	
2.	pursuant to an escrow agreement. The	deliver retainage checks to a selected bank, e bank will then invest the funds in securities or ill be paid to you as it accrues. If this is your choice OW AGREEMENT.
	Preferred Bank:	
	Securities/Bonds:	·
3.	Guarantee Deposit: Retainage will Contractor	be held by the City. No interest is payable to the
Labor and	•	l acceptance of the work, or following receipt of arance, whichever date is the later. Retainage on nal nature.
State law	allows for limited early release of retain	nage in certain circumstance.
		Contractor's Signature
		Title

SAVING ACCOUNT AGREEMENT

TO BANK: _	S.	AVINGS ACCOUNT NO:
BANK ADDR	RESS:	
AGENCY:	CITY OF NEWCASTLE 12835 Newcastle Way; Suite 200), Newcastle, WA 98056
CONTRACT	NO:	
PROJECT TIT	ΓLE:	
The estimated	completion date of contract is:	
hereinafter refe and the CONT	Perred to as the AGENCY, to delive TRACTOR jointly. Such warrants	, herein the CITY OF NEWCASTLE, Washington, er to you its warrants which shall be payable to you are to be held and disposed of by you in accordance as and conditions hereinafter set forth.

INSTRUCTIONS

- 1. Warrants or checks made payable to you and the CONTRACTOR jointly upon delivery to you shall be endorsed by you and forwarded for collection. The moneys will then be placed by you in an interest-bearing savings account.
- 2. When and as interest on the savings account accrues and is paid, you shall collect such interest and forward it to the CONTRACTOR at its address designated below unless otherwise director by the CONTRACTOR.
- 3. You are not authorized to deliver to the CONTRACTOR all or any part of the principal held by you pursuant to this agreement, <u>except</u> in accordance with written instruction from the AGENCY. Compliance with such instructions shall relieve you of any further liability related thereto.
- 4. The CONTRACTOR agrees to pay you as compensation for your services hereunder as follows:

Payment of all fees shall be the sole responsibility of the CONTRACTOR and shall not be deducted from any moneys placed with you pursuant to this agreement until and unl3ess the AGENCY directs the release to the CONTRACTOR, whereupon you shall be granted a first lien upon such moneys released and shall be entitled to reimburse yourself from such moneys for the entire amount of your fees as provided for herein above. In the event that

you are made a party to any litigation with respect to the moneys held by you hereunder, or in the event that the conditions of this agreement are not promptly fulfilled, or that you are required to render any service not provided for in these instructions, or that there is any assignment of the interests of this agreement, or any modification hereof, you shall be entitled to reasonable compensation for such extraordinary services for the CONTRACTOR and reimbursement form the CONTRACTOR for all costs and expenses, including attorney fees occasioned by such default, delay, controversy or litigation.

- 5. This agreement shall not be binding until executed by the CONTRACTOR and the AGENCY and accepted by you.
- 6. This instrument contains the entire agreement between you, the CONTRACTOR and the AGENCY. You are not a party to nor bound by any instrument or agreement other than this. You shall not be required to take notice of any default or any other matter nor be bound by nor required to give notice or demand, nor required to take any action whatever except as herein expressly provided. You shall not be liable for any loss or damage not caused by your own negligence or willful misconduct.
- 7. The foregoing provisions shall be binding upon the assigns, successors, personal representative and heir of the parties hereto.

	CITY OF NEWCASTLE
Contractor	Agency
BY:	BY:
Title:	Robert T. Wyman, City Manager
Date:	Date:
Address:	
The above savings account agreemen	at and instruction received and accepted this
day of, 20	1
	Bank Name
	Authorized Bank Officer

ESCROW AGREEMENT

TO BANK: _		ESCROW NO.:	
BANK ADDI	RESS:		
AGENCY:	CITY OF NEWCASTLE 12835 Newcastle Way; Suit	te 200, Newcastle, WA 98056	5
CONTRACT	NO:		
PROJECT TI	TLE:		
The estimated	l completion date of contract i	is:	
CONTRACTOR	ned, OR, has directed the CITY OR, to deliver to you its warrants warrants are to be held and disind upon the terms and condition	F NEWCASTLE, Washingto s which shall be payable to yo sposed of by you in accordance	n, hereinafter referred to as ou and the CONTRACTOR

INSTRUCTIONS

- 1. Warrants or checks made payable to you and the CONTRACTOR jointly upon delivery to you shall be endorsed by you and forwarded for collection. The moneys will then be used by you to purchase, as directed by the CONTRACTOR, bonds or other securities chosen by the CONTRACTOR and approved by the AGENCY. Attached is a list of such bonds, or other securities approved by the AGENCY. Other bonds or securities, except stocks may be selected by the CONTRACTOR, subject to express written approval of the AGENCY. Purchase of such bonds or other securities shall be in a form which shall allow you alone to reconvert such bonds or other securities into money if you are required to do so by the AGENCY as provided in Paragraph 4 of this Escrow Agreement.
- 2. When and as interest on the securities held by you pursuant to this agreement accrues and is paid, you shall collect such interest and forward it to the CONTRACTOR at its address designated below unless otherwise directed by the CONTRACTOR.
- 3. You are not authorized to deliver to the CONTRACTOR all or any part of the securities held by you pursuant to this agreement (or any moneys derived from the sale of such securities, or the negotiation of the AGENCY'S warrants) except in accordance with written instructions from the AGENCY. Compliance with such instruction shall relieve you of any further liability related thereto.

- 4. In the event the AGENCY orders you to do so in writing, you shall within thirty-five (35) days of receipt of such order, reconvert into money the securities held by you pursuant to this agreement and return such money together with any other moneys held by you hereunder, to the AGENCY.
- 5. The CONTRACTOR agrees to pay you as compensation for your services hereunder as follows:
 - Payment of all fees shall be the sole responsibility of the CONTRACTOR and shall not be deducted from any property placed with you pursuant to this agreement until and unless the AGENCY directs the release to the CONTRACTOR of the securities and moneys held hereunder whereupon you shall be granted a first lien upon such property released and shall be entitled to reimburse yourself from such property for the entire amount of your fees as provided for herein above. In the event that are made a party to any litigation with respect to the property held by you hereunder, or in the event that the conditions of this escrow are not promptly fulfilled or that you are required to render any service not provided for in these instructions, or that there is any assignment of the interest of this escrow or any modification hereof, you shall be entitled to reasonable compensation for such extraordinary services from the CONTRACTOR and reimbursement from the CONTRACTOR for all costs and expenses, including attorney fees occasioned by such default, delay, controversy or litigation.
- 6. This agreement shall not be binding until executed by the CONTRACTOR and the AGENCY and accepted by you.
- 7. This instrument contains the entire agreement between you, the CONTRACTOR and the AGENCY with respect to this escrow and you are not a party to nor bound by any instrument or agreement other than this; you shall not be required to take notice of any default or any other matter nor be bound by nor be bound by nor required to give notice or demand, nor required to take action whatever except as herein expressly provided; you shall not be liable for any loss or damage not caused by your own negligence or willful misconduct.

The foregone provision shall be binding upon the assigns, successors, personal representative and heir of the parties hereto.

	<u>CITY OF NEWCASTLE</u>
Contractor	Agency
By:	By:
<i>Title</i> :	Robert T. Wyman, City Manager
Date:	Date:
Address:	
The above escrow agreement and instruction and instruction and instruction and instruction are selected as a selected and instruction and instruction are selected as a se	ruction received and accepted this day of
	Bank Name
	Authorized Bank Officer

SECURITIES AUTHORIZED BY AGENCY

- 1. Bills, certificates, notes or bonds of the United States;
- 2. Other obligations of the United States or its agencies;
- 3. Obligation of any corporation wholly-owned by the government of the United States;
- 4. Indebtedness of the Federal Nation Mortgage Association; and
- 5. Time deposits in commercial banks.

PART 5 SPECIAL PROVISIONS

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i

(December 10, 2020 APWA GSP)

The work on this project shall be accomplished in accordance with the *Standard Specifications for Road, Bridge and Municipal Construction*, ***2022*** edition, as issued by the Washington State Department of Transportation (WSDOT) and the American Public Works Association (APWA), Washington State Chapter (hereafter "Standard Specifications"). The Standard Specifications, as modified or supplemented by the Amendments to the Standard Specifications and these Special Provisions, all of which are made a part of the Contract Documents, shall govern all of the Work.

These Special Provisions are made up of both General Special Provisions (GSPs) from various sources, which may have project-specific fill-ins; and project-specific Special Provisions. Each Provision either supplements, modifies, or replaces the comparable Standard Specification, or is a new Provision. The deletion, amendment, alteration, or addition to any subsection or portion of the Standard Specifications is meant to pertain only to that particular portion of the section, and in no way should it be interpreted that the balance of the section does not apply.

The project-specific Special Provisions are not labeled as such. The GSPs are labeled under the headers of each GSP, with the effective date of the GSP and its source. For example:

(January 4, 2016, APWA GSP) (January 25, 2016, WSDOT GSP) (February 1, 2017, CON GSP)

Also incorporated into the Contract Documents by reference are:

- Manual on Uniform Traffic Control Devices for Streets and Highways, currently adopted edition, with Washington State modifications, if any
 Standard Plans for Road, Bridge and Municipal Construction, WSDOT/APWA.
- current edition
 KING COUNTY Department of Transportation Road Services Division Road Design and Construction Standards, current edition
- CITY OF NEWCASTLE Public Works Standards, Current Adopted edition

The Contractor shall obtain copies of these publications, at Contractor's own expense.

DESCRIPTION OF WORK

 This contract provides for installation of a new drainage system at the intersection of 116th Ave SE and Edmonds Ave NE. The existing storm drain pipe has bends that clog and causes runoff to discharge from the City right-of-way and onto private property. A new storm run will connect the existing ditch line on 116th and tightline the stormwater down to Gypsy Creek.

* * IMPORTANT - PLEASE READ * *

1 2 3 4 5	combined WSDOT Standard	upplement, add new, replace, revise, or delete the d Specifications and Amendments. For clarification of the vided, these Special Provisions have the following added
6	Supplement:	Adds language to the identified section of the Standard
7		Specifications.
8	New:	Specification section/subsection is unique to this project
9		and will not be found in the Standard Specifications.
10	Replace:	A replacement of the entire identified section or
11	•	subsection of the Standard Specifications.
12	Revise:	A revision of the identified sentence, paragraph, or table
13		of the Standard Specifications.
14	Delete:	A deletion of an entire section, subsection, or specified
15		text of the Standard Specifications
16	{Date} WSDOT GSP:	A WSDOT General Special Provision
17	{Date} APWA GSP:	An APWA General Special Provision
18	{Date} CON GSP:	A City of Newcastle General Special Provision.

DIVISION 1 1 **GENERAL REQUIREMENTS** 2 3 4 **SECTION 1-01, DEFINITIONS AND TERMS** 5 6 1-01.3 **Definitions** 7 (January 19, 2022 APWA GSP) Modification 8 9 Delete the heading Completion Dates and the three paragraphs that follow it, and 10 replace them with the following: 11 12 **Dates** 13 14 **Bid Opening Date** 15 The date on which the Contracting Agency publicly opens and reads the Bids. 16 17 **Award Date** 18 The date of the formal decision of the Contracting Agency to accept the lowest 19 responsible and responsive Bidder for the Work. 20 21 Contract Execution Date 22 The date the Contracting Agency officially binds the Agency to the Contract. 23 24 Notice to Proceed Date 25 The date stated in the Notice to Proceed on which the Contract time begins. 26 27 Substantial Completion Date 28 The day the Engineer determines the Contracting Agency has full and unrestricted 29 use and benefit of the facilities, both from the operational and safety standpoint, any 30 remaining traffic disruptions will be rare and brief, and only minor incidental work, 31 replacement of temporary substitute facilities, plant establishment periods, or 32 correction or repair remains for the Physical Completion of the total Contract. 33 34 Physical Completion Date 35 The day all of the Work is physically completed on the project. All documentation 36 required by the Contract and required by law does not necessarily need to be 37 furnished by the Contractor by this date. 38 39 **Completion Date** 40 The day all the Work specified in the Contract is completed and all the obligations of 41 the Contractor under the contract are fulfilled by the Contractor. All documentation 42 required by the Contract and required by law must be furnished by the Contractor 43 before establishment of this date. 44 45 Final Acceptance Date 46 The date on which the Contracting Agency accepts the Work as complete. 47 48 Supplement this Section with the following:

All references in the Standard Specifications, or WSDOT General Special Provisions, to the terms "Department of Transportation", "Washington State Transportation Commission", "Commission", "Secretary of Transportation", "Secretary", "Headquarters", and "State Treasurer" shall be revised to read "Contracting Agency".

All references to the terms "State" or "state" shall be revised to read "Contracting Agency" unless the reference is to an administrative agency of the State of Washington, a State statute or regulation, or the context reasonably indicates otherwise.

All references to "State Materials Laboratory" shall be revised to read "Contracting Agency designated location".

All references to "final contract voucher certification" shall be interpreted to mean the Contracting Agency form(s) by which final payment is authorized, and final completion and acceptance granted.

Additive

A supplemental unit of work or group of bid items, identified separately in the Bid Proposal, which may, at the discretion of the Contracting Agency, be awarded in addition to the base bid.

Alternate

One of two or more units of work or groups of bid items, identified separately in the Bid Proposal, from which the Contracting Agency may make a choice between different methods or material of construction for performing the same work.

Business Day

A business day is any day from Monday through Friday except holidays as listed in Section 1-08.5.

Contract Bond

The definition in the Standard Specifications for "Contract Bond" applies to whatever bond form(s) are required by the Contract Documents, which may be a combination of a Payment Bond and a Performance Bond.

Contract Documents

See definition for "Contract".

Contract Time

The period of time established by the terms and conditions of the Contract within which the Work must be physically completed.

Notice of Award

The written notice from the Contracting Agency to the successful Bidder signifying the Contracting Agency's acceptance of the Bid Proposal.

Notice to Proceed

The written notice from the Contracting Agency or Engineer to the Contractor authorizing and directing the Contractor to proceed with the Work and establishing the date on which the Contract time begins.

Traffic Both vo

Both vehicular and non-vehicular traffic, such as pedestrians, bicyclists, wheelchairs, and equestrian traffic.

SECTION 1-02, BID PROCEDURES AND CONDITIONS

1-02.1 Prequalification of Bidders

 (January 24, 2011 APWA GSP)

Replacement

Delete this Section and replace it with the following:

1-02.1 Qualifications of Bidder

Before award of a public works contract, a bidder must meet at least the minimum qualifications of RCW 39.04.350(1) to be considered a responsible bidder and qualified to be awarded a public works project.

Add the following new section:

1-02.1(1) Supplemental Qualifications Criteria (July 31, 2017 APWA GSP)

In addition, the Contracting Agency has established Contracting Agency-specific and/or project-specific supplemental criteria, in accordance with RCW 39.04.350(3), for determining Bidder responsibility, including the basis for evaluation and the deadline for appealing a determination that a Bidder is not responsible. These

criteria are contained in Section 1-02.14 Option C of these Special Provisions.

1-02.2 Plans and Specifications

(June 27, 2011 APWA GSP)

Replacement

 Information as to where Bid Documents can be obtained or reviewed can be found in the Call for Bids (Advertisement for Bids) for the work.

 After award of the contract, plans and specifications will be issued to the Contractor at no cost as detailed below:

To Prime Contractor	No. of Sets	Basis of Distribution
Reduced plans (11" x 17")	2	Furnished automatically upon award.
Contract Provisions	2	Furnished automatically upon award.
Large plans (e.g., 22" x 34")	0	Furnished only upon request.

1 Additional plans and Contract Provisions may be obtained by the Contractor from the 2 source stated in the Call for Bids, at the Contractor's own expense. 3 4 1-02.4 **Examination of Plans, Specifications, and Site of Work** 5 6 1-02.4(1) General 7 (January 19, 2022 APWA GSP Option B) Modification 8 9 The first sentence of the ninth paragraph, beginning with "Any prospective Bidder 10 desiring...", is revised to read: 11 12 Any prospective Bidder desiring an explanation or interpretation of the Bid Documents, 13 shall request the explanation or interpretation in writing by close of business five (5) 14 business days preceding the bid opening to allow a written reply to reach all 15 prospective Bidders before the submission of their Bids. 16 1-02.4(2) **Subsurface Information** 17 (March 8, 2013 APWA GSP) Modification 18 19 The second sentence in the first paragraph is revised to read: 20 21 The Summary of Geotechnical Conditions and the boring logs, if and when included 22 as an appendix to the Special Provisions, shall be considered as part of the Contract. 23 24 1-02.5 **Proposal Forms** 25 (July 31, 2017 APWA GSP) Replacement 26 27 Delete this section and replace it with the following: 28 29 The Proposal Form will identify the project and its location and describe the work. It 30 will also list estimated quantities, units of measurement, the items of work, and the 31 materials to be furnished at the unit bid prices. The bidder shall complete spaces on 32 the proposal form that call for, but are not limited to, unit prices; extensions; 33 summations; the total bid amount; signatures; date; and, where applicable, retail 34 sales taxes and acknowledgment of addenda; the bidder's name, address, telephone 35 number, and signature; the bidder's UDBE/DBE/M/WBE commitment, if applicable; a State of Washington Contractor's Registration Number; and a Business License 36 37 Number, if applicable. Bids shall be completed by typing or shall be printed in ink by 38 hand, preferably in black ink. The required certifications are included as part of the Proposal Form. 39 40 41 The Contracting Agency reserves the right to arrange the proposal forms with alternates and additives, if such be to the advantage of the Contracting Agency. The 42 43 bidder shall bid on all alternates and additives set forth in the Proposal Form unless 44 otherwise specified. 45

Supplement the second paragraph with the following:

Preparation of Proposal

(December 10, 2020 APWA GSP, Option B)

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Modification

3. The Contracting Agency named as obligee;

2. Name of the project;

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- 4. The amount of the bid bond stated either as a dollar figure or as a percentage which represents five percent of the maximum bid amount that could be awarded:
- 5. Signature of the bidder's officer empowered to sign official statements. The signature of the person authorized to submit the bid should agree with the signature on the bond, and the title of the person must accompany the said signature;
- 6. The signature of the surety's officer empowered to sign the bond and the power of attorney.

If so stated in the Contract Provisions, bidder must use the bond form included in the Contract Provisions.

If so stated in the Contract Provisions, cash will not be accepted for a bid deposit.

1-02.9 Delivery of Proposal

(June 1, 2020 CON GSP)

Replacement

Delete this section and replace it with the following:

Each Proposal shall be submitted in a sealed envelope, with the Project Name and Project Number as stated in the Call for Bids clearly marked on the outside of the envelope, or as otherwise required in the Bid Documents, to ensure proper handling and delivery.

The Contracting Agency will not open or consider any Bid Proposal that is received after the time specified in the Call for Bids for receipt of Bid Proposals, or received in a location other than that specified in the Call for Bids.

If an emergency or unanticipated event interrupts normal work processes of the Contracting Agency so that Proposals cannot be received at the office designated for receipt of bids as specified in Section 1-02.12 the time specified for receipt of the Proposal will be deemed to be extended to the same time of day specified in the solicitation on the first work day on which the normal work processes of the Contracting Agency resume.

1-02.10 Withdrawing, Revising, or Supplementing Proposal (July 23, 2015 APWA GSP)

Replacement

Delete this section, and replace it with the following:

After submitting a physical Bid Proposal to the Contracting Agency, the Bidder may withdraw, revise, or supplement it if:

- 1. The Bidder submits a written request signed by an authorized person and physically delivers it to the place designated for receipt of Bid Proposals, and
- 2. The Contracting Agency receives the request before the time set for receipt of Bid Proposals, and

 3. The revised or supplemented Bid Proposal (if any) is received by the Contracting Agency before the time set for receipt of Bid Proposals.

If the Bidder's request to withdraw, revise, or supplement its Bid Proposal is received before the time set for receipt of Bid Proposals, the Contracting Agency will return the unopened Proposal package to the Bidder. The Bidder must then submit the revised or supplemented package in its entirety. If the Bidder does not submit a revised or supplemented package, then its bid shall be considered withdrawn.

Late revised or supplemented Bid Proposals or late withdrawal requests will be date recorded by the Contracting Agency and returned unopened. Mailed, emailed, or faxed requests to withdraw, revise, or supplement a Bid Proposal are not acceptable.

1-02.13 Irregular Proposals (October 1, 2020 APWA GSP)

Delete this section and replace it with the following:

Replacement

- 1. A Proposal will be considered irregular and will be rejected if:
 - a. The Bidder is not prequalified when so required;
 - b. The authorized Proposal form furnished by the Contracting Agency is not used or is altered:
 - c. The completed Proposal form contains any unauthorized additions, deletions, alternate Bids, or conditions;
 - d. The Bidder adds provisions reserving the right to reject or accept the award, or enter into the Contract;
 - e. A price per unit cannot be determined from the Bid Proposal;
 - f. The Proposal form is not properly executed;
 - g. The Bidder fails to submit or properly complete a Subcontractor list, if applicable, as required in Section 1-02.6;
 - h. The Bidder fails to submit or properly complete a Disadvantaged Business Enterprise Certification, if applicable, as required in Section 1-02.6:
 - i. The Bidder fails to submit written confirmation from each DBE firm listed on the Bidder's completed DBE Utilization Certification that they are in agreement with the bidder's DBE participation commitment, if applicable, as required in Section 1-02.6, or if the written confirmation that is submitted fails to meet the requirements of the Special Provisions;
 - j The Bidder fails to submit DBE Good Faith Effort documentation, if applicable, as required in Section 1-02.6, or if the documentation that is submitted fails to demonstrate that a Good Faith Effort to meet the Condition of Award was made:
 - k. The Bidder fails to submit a DBE Bid Item Breakdown form, if applicable, as required in Section 1-02.6, or if the documentation that is submitted fails to meet the requirements of the Special Provisions;
 - I. The Bidder fails to submit DBE Trucking Credit Forms, if applicable, as required in Section 1-02.6, or if the documentation that is submitted fails to meet the requirements of the Special Provisions;
 - m. The Bid Proposal does not constitute a definite and unqualified offer to meet the material terms of the Bid invitation; or
 - n. More than one Proposal is submitted for the same project from a Bidder under the same or different names.

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- 2. A Proposal may be considered irregular and may be rejected if:
 - a. The Proposal does not include a unit price for every Bid item;
 - b. Any of the unit prices are excessively unbalanced (either above or below the amount of a reasonable Bid) to the potential detriment of the Contracting Agency;
 - c. Receipt of Addenda is not acknowledged;
 - d. A member of a joint venture or partnership and the joint venture or partnership submit Proposals for the same project (in such an instance, both Bids may be rejected); or
 - e. If Proposal form entries are not made in ink.

1-02.14 Disqualification of Bidders

(May 17, 2018 APWA GSP, Option B)

Replacement

Delete this section and replace it with the following:

A Bidder will be deemed not responsible if the Bidder does not meet the mandatory bidder responsibility criteria in RCW 39.04.350(1), as amended; or does not meet Supplemental Criteria 1-7 listed in this Section.

The Contracting Agency will verify that the Bidder meets the mandatory bidder responsibility criteria in RCW 39.04.350(1), and Supplemental Criteria 1-2. Evidence that the Bidder meets Supplemental Criteria 3-7 shall be provided by the Bidder as stated later in this Section.

1. **Delinquent State Taxes**

- A <u>Criterion</u>: The Bidder shall not owe delinquent taxes to the Washington State Department of Revenue without a payment plan approved by the Department of Revenue.
- B. <u>Documentation</u>: The Bidder, if and when required as detailed below, shall sign a statement (on a form to be provided by the Contracting Agency) that the Bidder does not owe delinquent taxes to the Washington State Department of Revenue, or if delinquent taxes are owed to the Washington State Department of Revenue, the Bidder must submit a written payment plan approved by the Department of Revenue, to the Contracting Agency by the deadline listed below.

2. Federal Debarment

- A <u>Criterion</u>: The Bidder shall not currently be debarred or suspended by the Federal government.
- B. <u>Documentation</u>: The Bidder shall not be listed as having an "active exclusion" on the U.S. government's "System for Award Management" database (www.sam.gov).

3. Subcontractor Responsibility

- A <u>Criterion</u>: The Bidder's standard subcontract form shall include the subcontractor responsibility language required by RCW 39.06.020, and the Bidder shall have an established procedure which it utilizes to validate the responsibility of each of its subcontractors. The Bidder's subcontract form shall also include a requirement that each of its subcontractors shall have and document a similar procedure to determine whether the sub-tier subcontractors with whom it contracts are also "responsible" subcontractors as defined by RCW 39.06.020.
- B. <u>Documentation</u>: The Bidder, if and when required as detailed below, shall submit a copy of its standard subcontract form for review by the Contracting Agency, and a written description of its procedure for validating the responsibility of subcontractors with which it contracts.

4. Claims Against Retainage and Bonds

- A <u>Criterion</u>: The Bidder shall not have a record of excessive claims filed against the retainage or payment bonds for public works projects in the three years prior to the bid submittal date, that demonstrate a lack of effective management by the Bidder of making timely and appropriate payments to its subcontractors, suppliers, and workers, unless there are extenuating circumstances and such circumstances are deemed acceptable to the Contracting Agency.
- B. <u>Documentation</u>: The Bidder, if and when required as detailed below, shall submit a list of the public works projects completed in the three years prior to the bid submittal date that have had claims against retainage and bonds and include for each project the following information:
 - Name of project
 - The owner and contact information for the owner;
 - A list of claims filed against the retainage and/or payment bond for any of the projects listed;
 - A written explanation of the circumstances surrounding each claim and the ultimate resolution of the claim.

5. **Public Bidding Crime**

- A <u>Criterion</u>: The Bidder and/or its owners shall not have been convicted of a crime involving bidding on a public works contract in the five years prior to the bid submittal date.
- B. <u>Documentation</u>: The Bidder, if and when required as detailed below, shall sign a statement (on a form to be provided by the Contracting Agency) that the Bidder and/or its owners have not been convicted of a crime involving bidding on a public works contract.

6. Termination for Cause / Termination for Default

- A <u>Criterion</u>: The Bidder shall not have had any public works contract terminated for cause or terminated for default by a government agency in the five years prior to the bid submittal date, unless there are extenuating circumstances and such circumstances are deemed acceptable to the Contracting Agency.
- B. <u>Documentation</u>: The Bidder, if and when required as detailed below, shall sign a statement (on a form to be provided by the Contracting Agency) that the Bidder has not had any public works contract terminated for cause or terminated for default by a government agency in the five years prior to the bid submittal date; or if Bidder was terminated, describe the circumstances.

7. Lawsuits

- A <u>Criterion</u>: The Bidder shall not have lawsuits with judgments entered against the Bidder in the five years prior to the bid submittal date that demonstrate a pattern of failing to meet the terms of contracts, unless there are extenuating circumstances and such circumstances are deemed acceptable to the Contracting Agency
- B. <u>Documentation</u>: The Bidder, if and when required as detailed below, shall sign a statement (on a form to be provided by the Contracting Agency) that the Bidder has not had any lawsuits with judgments entered against the Bidder in the five years prior to the bid submittal date that demonstrate a pattern of failing to meet the terms of contracts, or shall submit a list of all lawsuits with judgments entered against the Bidder in the five years prior to the bid submittal date, along with a written explanation of the circumstances surrounding each such lawsuit. The Contracting Agency shall evaluate these explanations to determine whether the lawsuits demonstrate a pattern of failing to meet of terms of construction related contracts

As evidence that the Bidder meets the Supplemental Criteria stated above, the apparent low Bidder must submit to the Contracting Agency by 12:00 P.M. (noon) of the second business day following the bid submittal deadline, a written statement verifying that the Bidder meets the supplemental criteria together with supporting documentation (sufficient in the sole judgment of the Contracting Agency) demonstrating compliance with the Supplemental Criteria. The Contracting Agency reserves the right to request further documentation as needed from the low Bidder and documentation from other Bidders as well to assess Bidder responsibility and compliance with all bidder responsibility criteria. The Contracting Agency also reserves the right to obtain information from third-parties and independent sources of information concerning a Bidder's compliance with the mandatory and supplemental criteria, and to use that information in their evaluation. The Contracting Agency may consider mitigating factors in determining whether the Bidder complies with the requirements of the supplemental criteria.

The basis for evaluation of Bidder compliance with these mandatory and supplemental criteria shall include any documents or facts obtained by Contracting Agency (whether from the Bidder or third parties) including but not limited to: (i)

 financial, historical, or operational data from the Bidder; (ii) information obtained directly by the Contracting Agency from others for whom the Bidder has worked, or other public agencies or private enterprises; and (iii) any additional information obtained by the Contracting Agency which is believed to be relevant to the matter.

If the Contracting Agency determines the Bidder does not meet the bidder responsibility criteria above and is therefore not a responsible Bidder, the Contracting Agency shall notify the Bidder in writing, with the reasons for its determination. If the Bidder disagrees with this determination, it may appeal the determination within two (2) business days of the Contracting Agency's determination by presenting its appeal and any additional information to the Contracting Agency. The Contracting Agency will consider the appeal and any additional information before issuing its final determination. If the final determination affirms that the Bidder is not responsible, the Contracting Agency will not execute a contract with any other Bidder until at least two business days after the Bidder determined to be not responsible has received the Contracting Agency's final determination.

Request to Change Supplemental Bidder Responsibility Criteria Prior To Bid: Bidders with concerns about the relevancy or restrictiveness of the Supplemental Bidder Responsibility Criteria may make or submit requests to the Contracting Agency to modify the criteria. Such requests shall be in writing, describe the nature of the concerns, and propose specific modifications to the criteria. Bidders shall submit such requests to the Contracting Agency no later than five (5) business days prior to the bid submittal deadline and address the request to the Project Engineer or such other person designated by the Contracting Agency in the Bid Documents.

1-02.15 Pre Award Information

(August 14, 2013 APWA GSP)

Modification

Revise this section to read:

Before awarding any contract, the Contracting Agency may require one or more of these items or actions of the apparent lowest responsible bidder:

- 1. A complete statement of the origin, composition, and manufacture of any or all materials to be used,
- 2. Samples of these materials for quality and fitness tests,
- 3. A progress schedule (in a form the Contracting Agency requires) showing the order of and time required for the various phases of the work,
- 4. A breakdown of costs assigned to any bid item,
- 5. Attendance at a conference with the Engineer or representatives of the Engineer,
- 6. Obtain, and furnish a copy of, a business license to do business in the city or county where the work is located.
- 7. Any other information or action taken that is deemed necessary to ensure that the bidder is the lowest responsible bidder.

SECTION 1-03, AWARD AND EXECUTION OF CONTRACT

1-03.1 **Consideration of Bids**

(January 23, 2006 APWA GSP)

Modification

Revise the first paragraph to read:

After opening and reading proposals, the Contracting Agency will check them for correctness of extensions of the prices per unit and the total price. If a discrepancy exists between the price per unit and the extended amount of any bid item, the price per unit will control. If a minimum bid amount has been established for any item and the bidder's unit or lump sum price is less than the minimum specified amount, the Contracting Agency will unilaterally revise the unit or lump sum price, to the minimum specified amount and recalculate the extension. The total of extensions, corrected where necessary, including sales taxes where applicable and such additives and/or alternates as selected by the Contracting Agency, will be used by the Contracting Agency for award purposes and to fix the Awarded Contract Price amount and the amount of the contract bond.

1-03.3 **Execution of Contract**

(January 19, 2022 APWA GSP)

Modification

Revise this section to read:

Within 3 calendar days of Award date (not including Saturdays, Sundays and Holidays), the successful Bidder shall provide the information necessary to execute the Contract to the Contracting Agency. The Bidder shall send the contact information, including the full name, email address, and phone number, for the authorized signer and bonding agent to the Contracting Agency.

Copies of the Contract Provisions, including the unsigned Form of Contract, will be available for signature by the successful bidder on the first business day following award. The number of copies to be executed by the Contractor will be determined by the Contracting Agency.

Within 10 calendar days after the award date, the successful bidder shall return the signed Contracting Agency-prepared contract, an insurance certification as required by Section 1-07.18, and a satisfactory bond as required by law and Section 1-03.4. the Transfer of Coverage form for the Construction Stormwater General Permit with sections I, III, and VIII completed when provided. Before execution of the contract by the Contracting Agency, the successful bidder shall provide any pre-award information the Contracting Agency may require under Section 1-02.15.

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Until the Contracting Agency executes a contract, no proposal shall bind the Contracting Agency nor shall any work begin within the project limits or within Contracting Agency-furnished sites. The Contractor shall bear all risks for any work begun outside such areas and for any materials ordered before the contract is executed by the Contracting Agency.

1 If the bidder experiences circumstances beyond their control that prevents return of 2 the contract documents within the calendar days after the award date stated above, 3 the Contracting Agency may grant up to a maximum of 10 additional calendar days for 4 return of the documents, provided the Contracting Agency deems the circumstances 5 warrant it. 6 7 1-03.4 **Contract Bond** 8 (February 1, 2017 CON GSP) Replacement 9 10 Delete the first paragraph and replace it with the following: 11 12 The successful bidder shall provide executed payment and performance bonds each 13 for the full contract amount. Each bond shall:

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1. Be on Contracting Agency-furnished form(s);

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2. Be signed by an approved surety (or sureties) that:

17 18 a. Is registered with the Washington State Insurance Commissioner, and b. Appears on the current Authorized Insurance List in the State of

Washington published by the Office of the Insurance Commissioner,

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3. Guarantee that the Contractor will perform and comply with all obligations, duties, and conditions under the Contract, including but not limited to the duty and obligation to indemnify, defend, and protect the Contracting Agency against all losses and claims related directly or indirectly from any failure:

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a. Of the Contractor (or any of the employees, subcontractors, or lower tier subcontractors of the Contractor) to faithfully perform and comply with all contract obligations, conditions, and duties, or

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b. Of the Contractor (or the subcontractors or lower tier subcontractors of the Contractor) to pay all laborers, mechanics, subcontractors, lower tier subcontractors, material person, or any other person who provides supplies or provisions for carrying out the work;

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4. Be conditioned upon the payment of taxes, increases, and penalties incurred on the project under titles 50, 51, and 82 RCW; and

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5. Be accompanied by a power of attorney for the Surety's officer empowered to sign the bond; and

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6. Be signed by an officer of the Contractor empowered to sign official statements (sole proprietor or partner). If the Contractor is a corporation, the bond(s) must be signed by the president or vice president, unless accompanied by written proof of the authority of the individual signing the bond(s) to bind the corporation (i.e., corporate resolution, power of attorney, or a letter to such effect signed by the president or vice president).

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1-03.7 **Judicial Review**

(November 30, 2018 APWA GSP)

Modification

Revise this section to read:

Any decision made by the Contracting Agency regarding the Award and execution of the Contract or Bid rejection shall be conclusive subject to the scope of judicial review permitted under Washington Law. Such review, if any, shall be timely filed in the Superior Court of the county where the Contracting Agency headquarters is located, provided that where an action is asserted against a county, RCW 36.01.050 shall control venue and jurisdiction.

SECTION 1-04, SCOPE OF WORK

1-04.2 Coordination of Contract Documents, Plans, Special Provisions, Specifications, and Addenda

(December 10, 2020 APWA GSP)

Modification

Revise the second paragraph to read:

Any inconsistency in the parts of the contract shall be resolved by following this order of precedence (e.g., 1 presiding over 2, 2 over 3, 3 over 4, and so forth):

- 1. Addenda.
- 2. Proposal Form,
- 3. Special Provisions,
- 4. Contract Plans,
- Standard Specifications.
- 6. Contracting Agency's Standard Plans or Details (if any), and
- 7. WSDOT Standard Plans for Road, Bridge, and Municipal Construction.

1-04.4(1) Minor Changes

(May 30, 2019 APWA GSP)

Replacement

Delete the first paragraph and replace it with the following:

33 34 Payments or credits for changes amounting to \$10,000 or less may be made under the Bid item "Minor Change". At the discretion of the Contracting Agency, this procedure for Minor Changes may be used in lieu of the more formal procedure as outlined in Section 1-04.4, Changes. All "Minor Change" work will be within the scope of the Contract Work and will not change Contract Time.

1-04.6 **Variation in Estimated Quantities**

(July 23, 2015 APWA GSP, Option B)

Modification

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Revise the first paragraph to read:

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Payment to the Contractor will be made only for the actual quantities of Work performed and accepted in conformance with the Contract. When the accepted quantity of Work performed under a unit item varies from the original Proposal quantity. payment will be at the unit Contract price for all Work unless the total accepted quantity of any Contract item, adjusted to exclude added or deleted amounts included in change orders accepted by both parties, increases or decreases by more than 25 percent from the original Proposal quantity, and if the total extended bid price for that

item at time of award is equal to or greater than 10 percent of the total contract price at time of award. In that case, payment for contract work may be adjusted as described herein:

SECTION 1-05, CONTROL OF WORK

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1-05.4 **Conformity With and Deviations From Plans and Stakes**

1-05.4(1) **Construction Surveying – Roadway**

(February 1, 2017 CON GSP)

New

New Section:

Copies of the Contracting Agency provided primary survey control data are available for the bidder's inspection at the office of the Project Engineer.

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The Contractor shall be responsible for setting, maintaining, and resetting all alignment stakes, slope stakes, and grades necessary for the construction of the roadbed, drainage, surfacing, paving, channelization and pavement marking, illumination and signals, guardrails and barriers, and signing. Except for the survey control data to be furnished by the Contracting Agency, calculations, surveying, and measuring required for setting and maintaining the necessary lines and grades shall be the Contractor's responsibility.

The Contractor shall inform the Engineer when monuments are discovered that were not identified in the Plans and construction activity may disturb or damage the monuments. All monuments noted on the plans "DO NOT DISTURB" shall be protected throughout the length of the project or be replaced at the Contractor's expense.

Detailed survey records shall be maintained, including a description of the work performed on each shift, the methods utilized, and the control points used. The record shall be adequate to allow the survey to be reproduced. A copy of each day's record shall be provided to the Engineer within three working days after the end of the shift.

The meaning of words and terms used in this provision shall be as listed in "Definitions of Surveying and Associated Terms" current edition, published by the American Congress on Surveying and Mapping and the American Society of Civil Engineers.

The survey work shall include but not be limited to the following:

1. Verify the primary horizontal and vertical control furnished by the Contracting Agency, and expand into secondary control by adding stakes and hubs as well as additional survey control needed for the project. Provide descriptions of secondary control to the Contracting Agency. The description shall include coordinates and elevations of all secondary control points.

2. Establish, the centerlines of all alignments, by placing hubs, stakes, or marks on centerline or on offsets to centerline at all curve points (PCs, PTs, and PIs) and at points on the alignments spaced no further than 50 feet.

- 3. Establish clearing limits, placing stakes at all angle points and at intermediate points not more than 50 feet apart. The clearing and grubbing limits shall generally be 5 feet beyond the toe of a fill and 10 feet beyond the top of a cut unless otherwise shown in the Plans.
- 4. Establish grading limits, placing slope stakes at centerline increments not more than 50 feet apart. Establish offset reference to all slope stakes. If Global Positioning Satellite (GPS) Machine controls are used to provide grade control, then slope stakes may be omitted at the discretion of the Contractor.
- 5. Establish the horizontal and vertical location of all drainage features, placing offset stakes to all drainage structures and to pipes at a horizontal interval not greater than 25 feet.
- 6. Establish roadbed and surfacing elevations by placing stakes at the top of subgrade and at the top of each course of surfacing. Subgrade and surfacing stakes shall be set at horizontal intervals not greater than 50 feet in tangent sections, 25 feet in curve sections with a radius less than 300 feet, and at 10-foot intervals in intersection radii with a radius less than 10 feet. Transversely, stakes shall be placed at all locations where the roadway slope changes and at additional points such that the transverse spacing of stakes is not more than 12 feet. If GPS Machine Controls are used to provide grade control, then roadbed and surfacing stakes may be omitted at the discretion of the Contractor.
- 7. Establish intermediate elevation benchmarks as needed to check work throughout the project.
- 8. Provide references for paving pins at 25-foot intervals or provide simultaneous surveying to establish location and elevation of paving pins as they are being placed.
- 9. For all other types of construction included in this provision, (including but not limited to channelization and pavement marking, illumination and signals, guardrails and barriers, and signing) provide staking and layout as necessary to adequately locate, construct, and check the specific construction activity.
- 10.The Contractor shall determine if changes are needed to the profiles or roadway sections shown in the Contract Plans in order to achieve proper smoothness and drainage where matching into existing features, such as a smooth transition from new pavement to existing pavement. The Contractor shall submit these changes to the Project Engineer for review and approval 10 days prior to the beginning of work.

The Contractor shall provide the Contracting Agency copies of any calculations and staking data when requested by the Engineer.

To facilitate the establishment of these lines and elevations, the Contracting Agency will provide the Contractor with primary survey control information consisting of descriptions of two primary control points used for the horizontal and vertical control,

and descriptions of two additional primary control points for every additional three miles of project length. Primary control points will be described by reference to the project alignment and the coordinate system and elevation datum utilized by the project. In addition, the Contracting Agency will supply horizontal coordinates for the beginning and ending points and for each Point of Intersection (PI) on each alignment included in the project.

The Contractor shall ensure a surveying accuracy within the following tolerances:

	Vertical	Horizontal
Slope Stakes	±0.1 foot	±0.10 foot
Subgrade grade stakes set 0.04 feet below grade	±0.01 foot	±0.5 foot (parallel to alignment) ±0.1 foot (normal to alignment)
Stationing on roadway	N/A	±0.1 foot
Alignment on roadway	N/A	±0.04 foot
Surfacing grade stakes	±0.01 foot	±0.5 foot (parallel to alignment) ±0.1 foot (normal to alignment)
Roadway paving pins for surfacing or paving	±0.01 foot	±0.2 foot (parallel to alignment) ±0.1 foot (normal to alignment)
Roadway Paving Pins for Surfacing or Paving	±0.01 foot	±0.1 foot (parallel to alignment) ±0.05 foot (normal to alignment)
Alignment of sanitary sewer and storm sewer structures	±0.01 foot	±0.1 foot
Walls	±0.01 foot	±0.04 foot
Curb and Gutter	±0.01 foot	±0.01 foot

The Contracting Agency may spot-check the Contractor's surveying. These spot checks will not change the requirements for normal checking by the Contractor.

When staking roadway alignment and stationing, the Contractor shall perform independent checks from different secondary control to ensure that the points staked are within the specified survey accuracy tolerances.

The Contractor shall calculate coordinates for the alignment. The Contracting Agency will verify these coordinates prior to issuing approval to the Contractor for commencing with the work. The Contracting Agency will require up to seven calendar days from the date the data is received.

Contract work to be performed using contractor-provided stakes shall not begin until the stakes are approved by the Contracting Agency. Such approval shall not relieve the Contractor of responsibility for the accuracy of the stakes.

Stakes shall be marked in accordance with WSDOT Standard Plan A10.10. When stakes are needed that are not described in the Plans, then those stakes shall be marked, at no additional cost to the Contracting Agency as ordered by the Engineer.

1-05.4(2) Payment

(February 1, 2017 CON GSP)

New

New Section:

Payment will be made in accordance with section 1-04.1 of the Standard Specifications for the following bid item when included in the bid proposal.

"Construction Surveying", lump sum.

The lump sum contract price for "Construction Surveying" shall be full pay for all labor, equipment, materials, and supervision utilized to perform the work specified, including any resurveying, checking, correction of errors, replacement of missing or damaged stakes, and coordination efforts. 25 percent of the total cost in the bid item for "Construction Surveying" will be applied to the Record Drawings and will be paid upon submittal and acceptance of the Record Drawings.

1-05.7 Removal of Defective and Unauthorized Work

(October 1, 2005 APWA GSP)

Supplement

Supplement this section with the following:

If the Contractor fails to remedy defective or unauthorized work within the time specified in a written notice from the Engineer, or fails to perform any part of the work required by the Contract Documents, the Engineer may correct and remedy such work as may be identified in the written notice, with Contracting Agency forces or by such other means as the Contracting Agency may deem necessary.

If the Contractor fails to comply with a written order to remedy what the Engineer determines to be an emergency situation, the Engineer may have the defective and unauthorized work corrected immediately, have the rejected work removed and replaced, or have work the Contractor refuses to perform completed by using Contracting Agency or other forces. An emergency situation is any situation when, in the opinion of the Engineer, a delay in its remedy could be potentially unsafe, or might cause serious risk of loss or damage to the public.

Direct or indirect costs incurred by the Contracting Agency attributable to correcting and remedying defective or unauthorized work, or work the Contractor failed or refused to perform, shall be paid by the Contractor. Payment will be deducted by the Engineer from monies due, or to become due, the Contractor. Such direct and indirect costs shall include in particular, but without limitation, compensation for additional professional services required, and costs for repair and replacement of work of others destroyed or damaged by correction, removal, or replacement of the Contractor's unauthorized work.

No adjustment in contract time or compensation will be allowed because of the delay in the performance of the work attributable to the exercise of the Contracting Agency's rights provided by this Section.

The rights exercised under the provisions of this section shall not diminish the Contracting Agency's right to pursue any other avenue for additional remedy or damages with respect to the Contractor's failure to perform the work as required.

1-05.11 Final Inspection

Delete this Section and replace it with the following:

1-05.11 Final Inspections and Operational Testing (October 1, 2005 APWA GSP)

Replacement

1-05.11(1) Substantial Completion Date

When the Contractor considers the work to be substantially complete, the Contractor shall so notify the Engineer and request the Engineer establish the Substantial Completion Date. The Contractor's request shall list the specific items of work that remain to be completed in order to reach physical completion. The Engineer will schedule an inspection of the work with the Contractor to determine the status of completion. The Engineer may also establish the Substantial Completion Date unilaterally.

If, after this inspection, the Engineer concurs with the Contractor that the work is substantially complete and ready for its intended use, the Engineer, by written notice to the Contractor, will set the Substantial Completion Date. If, after this inspection the Engineer does not consider the work substantially complete and ready for its intended use, the Engineer will, by written notice, so notify the Contractor giving the reasons therefor.

Upon receipt of written notice concurring in or denying substantial completion, whichever is applicable, the Contractor shall pursue vigorously, diligently and without unauthorized interruption, the work necessary to reach Substantial and Physical Completion. The Contractor shall provide the Engineer with a revised schedule indicating when the Contractor expects to reach substantial and physical completion of the work.

The above process shall be repeated until the Engineer establishes the Substantial Completion Date and the Contractor considers the work physically complete and ready for final inspection.

1-05.11(2) Final Inspection and Physical Completion Date

When the Contractor considers the work physically complete and ready for final inspection, the Contractor by written notice, shall request the Engineer to schedule a final inspection. The Engineer will set a date for final inspection. The Engineer and the Contractor will then make a final inspection and the Engineer will notify the Contractor in writing of all particulars in which the final inspection reveals the work incomplete or unacceptable. The Contractor shall immediately take such corrective

49 Add the following 50

1-05.12

Add the following new section:

1-05.12(1) One-Year Guarantee Period

Final Acceptance

measures as are necessary to remedy the listed deficiencies. Corrective work shall be pursued vigorously, diligently, and without interruption until physical completion of the listed deficiencies. This process will continue until the Engineer is satisfied the listed deficiencies have been corrected.

If action to correct the listed deficiencies is not initiated within 7 days after receipt of the written notice listing the deficiencies, the Engineer may, upon written notice to the Contractor, take whatever steps are necessary to correct those deficiencies pursuant to Section 1-05.7.

The Contractor will not be allowed an extension of contract time because of a delay in the performance of the work attributable to the exercise of the Engineer's right hereunder.

Upon correction of all deficiencies, the Engineer will notify the Contractor and the Contracting Agency, in writing, of the date upon which the work was considered physically complete. That date shall constitute the Physical Completion Date of the contract, but shall not imply acceptance of the work or that all the obligations of the Contractor under the contract have been fulfilled.

1-05.11(3) Operational Testing

It is the intent of the Contracting Agency to have at the Physical Completion Date a complete and operable system. Therefore when the work involves the installation of machinery or other mechanical equipment; street lighting, electrical distribution or signal systems; irrigation systems; buildings; or other similar work it may be desirable for the Engineer to have the Contractor operate and test the work for a period of time after final inspection but prior to the physical completion date. Whenever items of work are listed in the Contract Provisions for operational testing they shall be fully tested under operating conditions for the time period specified to ensure their acceptability prior to the Physical Completion Date. During and following the test period, the Contractor shall correct any items of workmanship, materials, or equipment which prove faulty, or that are not in first class operating condition. Equipment, electrical controls, meters, or other devices and equipment to be tested during this period shall be tested under the observation of the Engineer, so that the Engineer may determine their suitability for the purpose for which they were installed. The Physical Completion Date cannot be established until testing and corrections have been completed to the satisfaction of the Engineer.

The costs for power, gas, labor, material, supplies, and everything else needed to successfully complete operational testing, shall be included in the unit contract prices related to the system being tested, unless specifically set forth otherwise in the proposal.

Operational and test periods, when required by the Engineer, shall not affect a manufacturer's guaranties or warranties furnished under the terms of the contract.

The Contractor shall return to the project and repair or replace all defects in workmanship and material discovered within one year after Final Acceptance of the Work. The Contractor shall start work to remedy any such defects within 7 calendar days of receiving Contracting Agency's written notice of a defect, and shall complete such work within the time stated in the Contracting Agency's notice. In case of an emergency, where damage may result from delay or where loss of services may result, such corrections may be made by the Contracting Agency's own forces or another contractor, in which case the cost of corrections shall be paid by the Contractor. In the event the Contractor does not accomplish corrections within the time specified, the work will be otherwise accomplished and the cost of same shall be paid by the Contractor.

When corrections of defects are made, the Contractor shall then be responsible for correcting all defects in workmanship and materials in the corrected work for one year after acceptance of the corrections by Contracting Agency.

This guarantee is supplemental to and does not limit or affect the requirements that the Contractor's work comply with the requirements of the Contract or any other legal rights or remedies of the Contracting Agency.

1-05.13 Superintendents, Labor and Equipment of Contractor

(August 14, 2013 APWA GSP)

Modification

Delete the sixth and seventh paragraphs of this section.

1-05.14 Cooperation With Other Contractors

(March 13, 1995 WSDOT GSP)

Supplement

Supplement this Section with the following:

Other Contracts or Other Work

It is anticipated that the following work adjacent to or within the limits of this project will be performed by others during the course of this project and will require coordination of the work:

(none)

1-05.15 Method of Serving Notices

(March 25, 2009 APWA GSP)

Modification

Revise the second paragraph to read:

All correspondence from the Contractor shall be directed to the Project Engineer. All correspondence from the Contractor constituting any notification, notice of protest, notice of dispute, or other correspondence constituting notification required to be furnished under the Contract, must be in paper format, hand delivered or sent via mail delivery service to the Project Engineer's office. Electronic copies such as e-mails or electronically delivered copies of correspondence will not constitute such notice and will not comply with the requirements of the Contract.

New

Add the following new section:

The Contractor shall make necessary arrangements, and shall bear the costs for power and water necessary for the performance of the work, unless the Contract includes power and water as a pay item.

1-05.18 Record Drawings (February 1, 2017 CON GSP)

New

Add the following new section:

The Contractor shall maintain one set of full size plans for Record Drawings, updated with clear and accurate red-lined field revisions on a daily basis, and within 2 business days after receipt of information that a change in Work has occurred. The Contractor shall not conceal any work until the required information is recorded.

This Record Drawing set shall be used for this purpose alone, shall be kept separate from other Plan sheets, and shall be clearly marked as Record Drawings. These Record Drawings shall be kept on site at the Contractor's field office, and shall be available for review by the Contracting Agency at all times. The Contractor shall bring the Record Drawings to each progress meeting for review.

The preparation and upkeep of the Record Drawings is to be the assigned responsibility of a single, experienced, and qualified individual. The quality of the Record Drawings, in terms of accuracy, clarity, and completeness, is to be adequate to allow the Contracting Agency to modify the computer-aided drafting (CAD) Contract Drawings to produce a complete set of Record Drawings for the Contracting Agency without further investigative effort by the Contracting Agency.

The Record Drawing markups shall document all changes in the Work, both concealed and visible. Items that must be shown on the markups include but are not limited to:

• Actual dimensions, arrangement, and materials used when different than shown in the Plans.

• Changes made by Change Order or Field Order.

 Changes made by the Contractor.
Accurate locations of storm sewer, sanitary sewer, water mains and other water appurtenances, structures, conduits, light standards, vaults, width of roadways, sidewalks, landscaping areas, building footprints, channelization and pavement markings, etc. Include pipe invert elevations, top of castings (manholes, inlets, etc.).

If the Contract calls for the Contracting Agency to do all surveying and staking, the Contracting Agency will provide the elevations at the tolerances the Contracting Agency requires for the Record Drawings.

When the Contract calls for the Contractor to do the surveying/staking, the applicable tolerance limits include, but are not limited to the following:

	Vertical	Horizontal
As-built sanitary & storm invert and grate elevations	± 0.01 foot	± 0.01 foot
As-built monumentation	± 0.001 foot	± 0.001 foot
As-built waterlines, inverts, valves, hydrants	± 0.10 foot	± 0.10 foot
As-built ponds/swales/water features	± 0.10 foot	± 0.10 foot
As-built buildings (fin. Floor elev.)	± 0.01 foot	± 0.10 foot
As-built gas lines, power, TV, Tel, Com	± 0.10 foot	± 0.10 foot
As-built signs, signals, etc.	N/A	± 0.10 foot

Making Entries on the Record Drawings:

- Use erasable colored pencil (not ink) for all markings on the Record Drawings, conforming to the following color code:
- Additions Red
- Deletions Green
- Comments Blue
- Dimensions- Graphite
- Provide the applicable reference for all entries, such as the change order number, the request for information (RFI) number, or the approved shop drawing number.
- Date all entries.
- Clearly identify all items in the entry with notes similar to those in the Contract Drawings (such as pipe symbols, centerline elevations, materials, pipe joint abbreviations, etc.).

The Contractor shall certify on the Record Drawings that said drawings are an accurate depiction of built conditions, and in conformance with the requirements detailed above. The Contractor shall submit final Record Drawings to the Contracting Agency. Contracting Agency acceptance of the Record Drawings is one of the requirements for achieving Physical Completion.

Payment for work identified in this section will be made in accordance with Section 1-05.4(2).

SECTION 1-06, CONTROL OF MATERIALS

1-06.1 Approval of Materials Prior to Use (February 1, 2017 CON GSP)

This Section is supplemented with the following:

The Contractor shall be responsible for the accuracy and completeness of the information contained in each QPL and RAM submittal and shall ensure that all

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PART 5 PAGE 5-25

Supplement

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material, equipment or method of work shall be as described in the QPL and approved RAM. The Contractor shall verify that all features of all products conform to the requirements of the Contract and Plans. The Contractor shall ensure that there is no conflict with other submittals and specifically notify the Contracting Agency in each case where the Contractor's submittal may affect the work of another contractor or the Contracting Agency. The Contractor shall ensure coordination of submittals among the related crafts and subcontractors. If the Contractor proposes to provide material, equipment, or a method of work, which deviates from the Contract, the Contractor shall indicate so on the transmittal form accompanying the QPL and/or RAM submittals and submit a written request to the Engineer for approval of the proposed substitution.

Submittals required for the Work shall include any or all of the following, as required by the Contract:

- a. Manufacturer's literature
- b. Shop drawings
- c. Material samples
- d. Test reports

Timing of Product Submittals

All submittal information shall be sent to the Engineer through the Contractor.

All submittals shall be provided far enough in advance of installation to allow sufficient time for reviews and necessary approvals.

The Contractor shall allow at least 14 calendar days for the Engineer's review of all submittals

Number of Submittals

The Contractor shall submit four (min.) copies of each QPL and RAM submittal. One (min.) copy will be returned to the Contractor and three (min.) will be retained by the Contracting Agency and Engineer. In lieu of submitting paper copies the Contractor may submit QPLs and RAMs electronically.

Resubmittals

When a submittal is resubmitted for any reason, it shall be resubmitted referencing the previous RAM # and the number of times it has been resubmitted (RAM # - times resubmitted).

Delays

All costs of delays caused by the failure of the Contractor to provide submittals in a timely manner will be borne by the Contractor.

Payment

The cost to prepare and submit submittals, equipment manuals, testing, and materials samples shall be included in the bid prices for various items associated with the required submittals.

This Section is supplemented with the following:

Submittal Information

Shop, catalog, and other appropriate drawings shall be submitted to the Engineer for review prior to fabrication or ordering of all equipment or materials specified. Submittal documents shall be clearly edited to indicate only those items, models, or series of materials or equipment which are being submitted for review. All extraneous materials shall be crossed out or otherwise obliterated.

Shop drawings shall be submitted in the form of blue-line or black-line prints of each sheet. Blueprint submittals will not be acceptable.

All shop drawings shall be accurately drawn to a scale sufficiently large enough to show pertinent features and methods of connection or jointing. Figure dimensions shall be used on all shop drawings, as opposed to scaled dimensions.

All shop drawings shall bear the Contractor's certification that the Contractor has reviewed, checked, and approved the shop drawings.

1-06.2(1) Samples and Test for Acceptance

(February 1, 2017 CON GSP)

Supplement

This Section is supplemented with the following:

The Contractor shall be responsible for all materials testing specified in the Contract Provisions. The materials testing laboratory shall be accredited for performing the various testing methods either by AASHTO R18, AASHTO 150/IEC 17025, or the American Association for Laboratory Accreditation and further approved by the Contracting Agency. Test methods shall be completed in accordance with the current WSDOT Standard Specifications and Construction Manual. The Engineer or the Inspector shall specify the items or areas to be tested. The materials testing laboratory shall send test results directly to the Contracting Agency. Any area that does not meet the material gradation and/or compaction test requirements shall be repaired/replaced at the Contractor's expense. Areas that do not meet compaction test requirements shall be retested at the Contractor's expense. Locations for testing and retesting shall be selected and marked by the Engineer.

The maximum density and optimum moisture content methods shall be in accordance with the Contract Provisions. The frequency and type of testing the Contractor shall provide is listed below:

Earthwork

Item	Test	Testing Frequency
Subgrades	In Place Density	One test per lift per
	III I lace Delisity	2,500 sq. ft.

Aggregate Materials

Item	Test	Testing Frequency
Crushed Surfacing	Gradation, SE and	One per each material
Top Course	Fracture	source.
	Density ⁽¹⁾	One test on every lift on material placed at a frequency of 250 square yards of completed area.
	Moisture Density Relationship (Modified Proctor)	One test and any time material type changes.
Gravel Backfill for Walls	Gradation and SE	One for each material source
	Density	One for every 100 feet of wall and every 2 feet in depth of material.

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Hot Mix Asphalt

Item	Test	Testing Frequency
HMA	Rice Density, Gradation and	1 – 800 TN. ⁽⁴⁾
	Compaction (1)	1 – 80 TN.

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Hot Mix Asphalt Aggregate

Item	Test	Testing Frequency
Aggregate	SE, Fracture	1 – 1,600 TN.
	Uncompacted Void	
	Content of Fine	
Blend Sand	SE	1 - Project
Mineral Filler	Sp. G and Pl	Certificate

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- (1) All acceptance tests shall be conducted from in-place samples.
- Additional tests shall be conducted when variations occur due to the Contractor's operations, weather conditions, site conditions, etc.
- All compaction shall be in accordance with the Compaction Control Test of Section 2-03.3(14)D. The nuclear densometer, if properly calibrated, may

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1 2	be used for the required testing frequency and procedures. The densor shall be calibrated and is recommended for use when the time for commended for use when the time for the time	
3 4 5 6	results becomes critical. A minimum of three samples, on a random basis, shall be taker tested.	n and
7	Payment	
8 9	All costs to prepare and implement the sample and testing program shall be incling the bid prices for the various items associated with the sample and testing program.	
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11 12 13	1-06.1(4) Fabrication Inspection Expense (June 27, 2011 APWA GSP)	Deletion
14 15	Delete this section in its entirety.	
16 17 18	1-06.6 Recycled Materials (January 4, 2016 APWA GSP)	Deletion
19 20	Delete this section, including its subsections, and replace it with the following:	
21 22 23 24	The Contractor shall make their best effort to utilize recycled materials in construction of the project. Approval of such material use shall be as defelsewhere in the Standard Specifications.	
25 26 27 28 29 30 31 32 33	Prior to Physical Completion the Contractor shall report the quantity of recomaterials that were utilized in the construction of the project for each of the items in Section 9-03.21. The report shall include hot mix asphalt, recycled con aggregate, recycled glass, steel furnace slag and other recycled materials utilization of on-site material and aggregates from concrete returned to the support Contractor's report shall be provided on DOT form 350-075 Recycled Materials.	isted crete (e.g. blier).
34 35	SECTION 1-07, LEGAL RELATIONS AND RESPONSIBILITIES TO THE PUBLIC	
36	1-07.1 Laws to be Observed	
37 38		Supplement
39 40	This Section is supplemented with the following:	
41 42 43	In cases of conflict between different safety regulations, the more stringent regulation apply.	shall
44 45 46 47	The Washington State Department of Labor and Industries shall be the sole paramount administrative agency responsible for the administration of the provisio the Washington Industrial Safety and Health Act of 1973 (WISHA).	
48 49 50 51	The Contractor shall maintain at the project site office, or other well-known place a project site, all articles necessary for providing first aid to the injured. The Contractor establish, publish, and make known to all employees, procedures for ensuring imme removal to a hospital, or doctor's care, persons, including employees, who may have	shall diate

injured on the project site. Employees should not be permitted to work on the project site before the Contractor has established and made known procedures for removal of injured persons to a hospital or a doctor's care.

The Contractor shall have sole responsibility for the safety, efficiency, and adequacy of the Contractor's plant, appliances, and methods, and for any damage or injury resulting from their failure, or improper maintenance, use, or operation. The Contractor shall be solely and completely responsible for the conditions of the project site, including safety for all persons and property in the performance of the work. This requirement shall apply continuously, and not be limited to normal working hours. The required or implied duty of the Engineer to conduct construction review of the Contractor's performance does not. and shall not, be intended to include review and adequacy of the Contractor's safety measures in, on, or near the project site.

1-07.2 State Taxes

Delete this section, including its sub-sections, in its entirety and replace it with the following:

1-07.2 **State Sales Tax** (June 27, 2011 APWA GSP)

Replacement

The Washington State Department of Revenue has issued special rules on the State sales tax. Sections 1-07.2(1) through 1-07.2(3) are meant to clarify those rules. The Contractor should contact the Washington State Department of Revenue for answers to questions in this area. The Contracting Agency will not adjust its payment if the Contractor bases a bid on a misunderstood tax liability.

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The Contractor shall include all Contractor-paid taxes in the unit bid prices or other contract amounts. In some cases, however, state retail sales tax will not be included. Section 1-07.2(2) describes this exception.

The Contracting Agency will pay the retained percentage (or release the Contract Bond if a FHWA-funded Project) only if the Contractor has obtained from the Washington State Department of Revenue a certificate showing that all contractrelated taxes have been paid (RCW 60.28.051). The Contracting Agency may deduct from its payments to the Contractor any amount the Contractor may owe the Washington State Department of Revenue, whether the amount owed relates to this contract or not. Any amount so deducted will be paid into the proper State fund.

1-07.2(1) State Sales Tax — Rule 171

WAC 458-20-171, and its related rules, apply to building, repairing, or improving streets, roads, etc., which are owned by a municipal corporation, or political subdivision of the state, or by the United States, and which are used primarily for foot or vehicular traffic. This includes storm or combined sewer systems within and included as a part of the street or road drainage system and power lines when such are part of the roadway lighting system. For work performed in such cases, the Contractor shall include Washington State Retail Sales Taxes in the various unit bid item prices, or other contract amounts, including those that the Contractor pays on the purchase of the materials, equipment, or supplies used or consumed in doing the work.

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1-07.2(2) State Sales Tax — Rule 170

WAC 458-20-170, and its related rules, apply to the constructing and repairing of new or existing buildings, or other structures, upon real property. This includes, but is not limited to, the construction of streets, roads, highways, etc., owned by the state of Washington; water mains and their appurtenances; sanitary sewers and sewage disposal systems unless such sewers and disposal systems are within, and a part of, a street or road drainage system; telephone, telegraph, electrical power distribution lines, or other conduits or lines in or above streets or roads, unless such power lines become a part of a street or road lighting system; and installing or attaching of any article of tangible personal property in or to real property, whether or not such personal property becomes a part of the realty by virtue of installation.

For work performed in such cases, the Contractor shall collect from the Contracting Agency, retail sales tax on the full contract price. The Contracting Agency will automatically add this sales tax to each payment to the Contractor. For this reason, the Contractor shall not include the retail sales tax in the unit bid item prices, or in any other contract amount subject to Rule 170, with the following exception.

Exception: The Contracting Agency will not add in sales tax for a payment the Contractor or a subcontractor makes on the purchase or rental of tools, machinery, equipment, or consumable supplies not integrated into the project. Such sales taxes shall be included in the unit bid item prices or in any other contract amount.

1-07.2(3) Services

The Contractor shall not collect retail sales tax from the Contracting Agency on any contract wholly for professional or other services (as defined in Washington State Department of Revenue Rules 138 and 244).

1-07.6 **Permits and Licenses**

(February 1, 2017 CON GSP)

Supplement

Supplement this Section with the following:

The Contracting Agency has obtained the following permits for this Project

None

All other permits, licenses, inspections, etc., which may be required, shall be obtained and paid for by the Contractor. The Contractor shall ensure that all necessary permits are obtained, and is responsible for reviewing all permits to become familiar with the requirements.

The Contractor and all subcontractors of any tier must obtain a City of Newcastle Business License (Contractor).

Other permits and licenses that the Contractor must obtain and comply with, as applicable, include, but are not limited to:

None

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The Contractor is cautioned to review all permits and other Contract Documents, and schedule the work activities appropriately to complete the work within the number of days stated in the Special Provisions. No additional compensation or extensions to time will be granted to the Contractor due to the time constraints imposed by such The Contractor shall assume all responsibility for meeting all requirements of all permits.

Any fines or penalties incurred by Contracting Agency for not meeting state water quality standards and/or lack of stormwater pollution prevention on this Project shall be deducted from monies otherwise due to Contractor. Any fines assessed directly to Contractor shall be paid directly to the fining authority, at the Contractor's own cost.

1-07.9(5) Required Documents

(January 3, 2020 APWA GSP)

Replacement

Delete this section and replace it with the following:

General

All "Statements of Intent to Pay Prevailing Wages", "Affidavits of Wages Paid" and Certified Payrolls, including a signed Statement of Compliance for Federal-aid projects, shall be submitted to the Engineer and the State L&I online Prevailing Wage Intent & Affidavit (PWIA) system.

Intents and Affidavits

On forms provided by the Industrial Statistician of State L&I, the Contractor shall submit to the Engineer the following for themselves and for each firm covered under RCW 39.12 that will or has provided Work and materials for the Contract:

- 1. The approved "Statement of Intent to Pay Prevailing Wages" State L&I's form number F700-029-000. The Contracting Agency will make no payment under this Contract until this statement has been approved by State L&I and reviewed by the Engineer.
- 2. The approved "Affidavit of Prevailing Wages Paid", State L&I's form number F700-007-000. The Contracting Agency will not grant Completion until all approved Affidavit of Wages paid for the Contractor and all Subcontractors have been received by the Engineer. The Contracting Agency will not release to the Contractor any funds retained under RCW 60.28.011 until "Affidavit of Prevailing Wages Paid" forms have been approved by State L&I and all of the approved forms have been submitted to the Engineer for every firm that worked on the Contract.

The Contractor is responsible for requesting these forms from State L&I and for paying any fees required by State L&I.

Certified Payrolls

Certified payrolls are required to be submitted by the Contractor for themselves, all Subcontractors and all lower tier subcontractors. The payrolls shall be submitted weekly on all Federal-aid projects and no less than monthly on State funded projects.

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Penalties for Noncompliance

The Contractor is advised, if these payrolls are not supplied within the prescribed deadlines, any or all payments may be withheld until compliance is achieved. In addition, failure to provide these payrolls may result in other sanctions as provided by State laws (RCW 39.12.050) and/or Federal regulations (29 CFR 5.12).

1-07.16 **Protection and Restoration of Property**

1-07.16(1) Private/Public Property

(February 1, 2017 CON GSP)

Supplement

Supplement this Section with the following:

The Contractor's work shall be confined to the Contracting Agency's premises, including easements, rights of entry and construction permit limits. The Contractor shall not enter upon or place materials on other property except by written consent of the individual owners and shall hold Owner harmless from all suits and actions of every kind and description that might result from the Contractor's use of property. The Contractor shall furnish, to the Owner, the written consent from the property owner(s) to use the property and a written release from the property owner(s) upon vacation of said property.

Contractor shall provide and maintain access to and from the Right of Way.

Contractor shall comply with all conditions of the project easements. Easement documents are located in the Appendices. Contractor shall indemnify Owner from claims on all easements and rights of entry. All other access rights outside the limits identified on the plans, will be the Contractor's responsibility to negotiate and obtain at the Contractor's expense.

Contractor shall restore all property within the temporary easements or rights of entry to its original condition or as indicated in the plans and specifications.

Only equipment with rubber tires or smooth tracks will be allowed on the finished roads or road surfaces which are not to be reconstructed as a part of this project. Tracks with cleats or other devices which damage the road surfacing will not be allowed. All outriggers shall be equipped with street pads.

Any additional costs due to delays or restrictions due to the construction within the Right-of-Way and furnishing access to adjacent property owners shall be considered incidental to the project, and shall also be merged in the respective unit and lump sum prices Bid.

1-07.16(1)A Garbage Service (February 1, 2017 CON GSP)

New

Add the following new section:

The Contractor shall be responsible for and coordinating with the respective agency for garbage pick-up. Services shall not be interrupted. If necessary, Contractor shall be responsible for moving private garbage cans to and from any temporary pick up location. Below is contact information for garbage service:

Waste Management

http://wmnorthwest.com/newcastle/index.html (800) 592-9995

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1-07.17 **Utilities and Similar Facilities**

(February 1, 2017 CON GSP)

New

Supplement this section with the following:

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Unless otherwise noted on the Plans, locations and dimensions shown in the Plans are for existing facilities in accordance with available information obtained without uncovering, measuring, or other verification. Other aboveground or underground facilities not shown on the Plans may be encountered during the course of the work.

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The Contractor is warned that there may be utilities on the project that are not part of the One Number Locator Service system, this includes the City of Shoreline. The City of Shoreline maintains storm sewers within the City limits. The Contractor must contact utilities that are not part of the One Call system for locations.

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The Contractor shall attend a mandatory utility preconstruction meeting with the Engineer, all affected subcontractors, and all utility owners and their Contractors prior to beginning onsite Work.

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The following utility companies known to have facilities within the project limits or will be adjusting, relocating, replacing or constructing utilities within the project limits are supplied for the Contractor's use:

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Puget Sound Energy (Electric & Gas)

Justin McConachie

Justin.McConachie@pse.com

(206) 517-3432

34 35 36

37

Comcast (Telecommunications)

Jim Cohn

James Cohn@cable.comcast.com

(253) 569-0636

38 39 40

41

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Century Link (Telecommunications)

Jesse Patiens

Jesse.Patjens@CenturyLink.com

(206) 733-8591

43 44 45

Coal Creek Utility District (Water & Sewer)

Patrick Martin 46 47

patrick@ccud.org

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1-07.18 Public Liability and Property Damage Insurance

Delete this section in its entirety, and replace it with the following:

1-07.18 Insurance (January 4, 2016 APWA GSP)

1-07.18(1) General Requirements

A. The Contractor shall procure and maintain the insurance described in all subsections of section 1-07.18 of these Special Provisions, from insurers with a current A. M. Best rating of not less than A-: VII and licensed to do business in the State of Washington. The Contracting Agency reserves the right to approve or reject the insurance provided, based on the insurer's financial condition.

B. The Contractor shall keep this insurance in force without interruption from the commencement of the Contractor's Work through the term of the Contract and for thirty (30) days after the Physical Completion date, unless otherwise indicated below.

C. If any insurance policy is written on a claims made form, its retroactive date, and that of all subsequent renewals, shall be no later than the effective date of this Contract. The policy shall state that coverage is claims made, and state the retroactive date. Claims-made form coverage shall be maintained by the Contractor for a minimum of 36 months following the Completion Date or earlier termination of this Contract, and the Contractor shall annually provide the Contracting Agency with proof of renewal. If renewal of the claims made form of coverage becomes unavailable, or economically prohibitive, the Contractor shall purchase an extended reporting period ("tail") or execute another form of guarantee acceptable to the Contracting Agency to assure financial responsibility for liability for services performed.

D. The Contractor's Automobile Liability, Commercial General Liability and Excess or Umbrella Liability insurance policies shall be primary and non-contributory insurance as respects the Contracting Agency's insurance, self-insurance, or self-insured pool coverage. Any insurance, self-insurance, or self-insured pool coverage maintained by the Contracting Agency shall be excess of the Contractor's insurance and shall not contribute with it.

E. The Contractor shall provide the Contracting Agency and all additional insureds with written notice of any policy cancellation, within two business days of their receipt of such notice.

F. The Contractor shall not begin work under the Contract until the required insurance has been obtained and approved by the Contracting Agency

G. Failure on the part of the Contractor to maintain the insurance as required shall constitute a material breach of contract, upon which the Contracting Agency

may, after giving five business days' notice to the Contractor to correct the breach, immediately terminate the Contract or, at its discretion, procure or renew such insurance and pay any and all premiums in connection therewith, with any sums so expended to be repaid to the Contracting Agency on demand, or at the sole discretion of the Contracting Agency, offset against funds due the Contractor from the Contracting Agency.

H. All costs for insurance shall be incidental to and included in the unit or lump sum prices of the Contract and no additional payment will be made.

1-07.18(2) Additional Insured

All insurance policies, with the exception of Workers Compensation, and of Professional Liability and Builder's Risk (if required by this Contract) shall name the following listed entities as additional insured(s) using the forms or endorsements required herein:

 the City of Newcastle and its officers, elected officials, employees, agents, and volunteers

The above-listed entities shall be additional insured(s) for the full available limits of liability maintained by the Contractor, irrespective of whether such limits maintained by the Contractor are greater than those required by this Contract, and irrespective of whether the Certificate of Insurance provided by the Contractor pursuant to 1-07.18(4) describes limits lower than those maintained by the Contractor.

For Commercial General Liability insurance coverage, the required additional insured endorsements shall be at least as broad as ISO forms CG 20 10 10 01 for ongoing operations and CG 20 37 10 01 for completed operations.

1-07.18(3) Subcontractors

The Contractor shall cause each Subcontractor of every tier to provide insurance coverage that complies with all applicable requirements of the Contractor-provided insurance as set forth herein, except the Contractor shall have sole responsibility for determining the limits of coverage required to be obtained by Subcontractors.

The Contractor shall ensure that all Subcontractors of every tier add all entities listed in 1-07.18(2) as additional insureds, and provide proof of such on the policies as required by that section as detailed in 1-07.18(2) using an endorsement as least as broad as ISO CG 20 10 10 01 for ongoing operations and CG 20 37 10 01 for completed operations.

Upon request by the Contracting Agency, the Contractor shall forward to the Contracting Agency evidence of insurance and copies of the additional insured endorsements of each Subcontractor of every tier as required in 1-07.18(4) Verification of Coverage.

1-07.18(4) Verification of Coverage

The Contractor shall deliver to the Contracting Agency a Certificate(s) of Insurance and endorsements for each policy of insurance meeting the requirements set forth herein when the Contractor delivers the signed Contract for the work. Failure of Contracting Agency to demand such verification of coverage with these insurance requirements or failure of Contracting Agency to identify a deficiency from the insurance documentation provided shall not be construed as a waiver of Contractor's obligation to maintain such insurance.

Verification of coverage shall include:

- 1. An ACORD certificate or a form determined by the Contracting Agency to be equivalent.
- 2. Copies of all endorsements naming Contracting Agency and all other entities listed in 1-07.18(2) as additional insured(s), showing the policy number. The Contractor may submit a copy of any blanket additional insured clause from its policies instead of a separate endorsement.
- 3. Any other amendatory endorsements to show the coverage required herein.
- 4. A notation of coverage enhancements on the Certificate of Insurance shall not satisfy these requirements actual endorsements must be submitted.

Upon request by the Contracting Agency, the Contractor shall forward to the Contracting Agency a full and certified copy of the insurance policy(s). If Builders Risk insurance is required on this Project, a full and certified copy of that policy is required when the Contractor delivers the signed Contract for the work.

1-07.18(5) Coverages and Limits

The insurance shall provide the minimum coverages and limits set forth below. Contractor's maintenance of insurance, its scope of coverage, and limits as required herein shall not be construed to limit the liability of the Contractor to the coverage provided by such insurance, or otherwise limit the Contracting Agency's recourse to any remedy available at law or in equity.

All deductibles and self-insured retentions must be disclosed and are subject to approval by the Contracting Agency. The cost of any claim payments falling within the deductible or self-insured retention shall be the responsibility of the Contractor. In the event an additional insured incurs a liability subject to any policy's deductibles or self-insured retention, said deductibles or self-insured retention shall be the responsibility of the Contractor.

1-07.18(5)A Commercial General Liability

Commercial General Liability insurance shall be written on coverage forms at least as broad as ISO occurrence form CG 00 01, including but not limited to liability arising from premises, operations, stop gap liability, independent contractors, products-completed operations, personal and advertising injury, and liability assumed under an insured contract. There shall be no exclusion for liability arising from explosion, collapse or underground property damage.

The Commercial General Liability insurance shall be endorsed to provide a per project general aggregate limit, using ISO form CG 25 03 05 09 or an equivalent endorsement.

Such policy must provide the following minimum limits:

\$1,000,000	Each Occurrence
\$2,000,000	General Aggregate
\$2,000,000	Products & Completed Operations Aggregate
\$1,000,000	Personal & Advertising Injury each offence
\$1,000,000	Stop Gap / Employers' Liability each accident

1-07.18(5)B Automobile Liability

Automobile Liability shall cover owned, non-owned, hired, and leased vehicles; and shall be written on a coverage form at least as broad as ISO form CA 00 01. If the work involves the transport of pollutants, the automobile liability policy shall include MCS 90 and CA 99 48 endorsements.

Such policy must provide the following minimum limit:

\$1,000,000

Combined single limit each accident

1-07.18(5)C Workers' Compensation

The Contractor shall comply with Workers' Compensation coverage as required by the Industrial Insurance laws of the State of Washington.

1.07.23 Public Convenience and Safety

(February 1, 2017 CON GSP)

Supplement

 Supplement this section with the following:

 The Contractor shall notify all property owners and tenants of street and alley closures, or other restrictions which may interfere with their access. Notification shall be at least 48 hours in advance of such restrictions. When an existing access is to be eliminated and replaced under the Contract by other access, the existing access shall not be closed until the replacement access is available.

All unattended excavations shall be properly covered, barricaded, or fenced. Any asphalt concrete pavement, crushed surfacing, gravel base, or water, required for maintaining traffic during the project, shall be placed by the Contractor immediately upon request by the Contracting Agency. Steel plates will be allowed if approved by Engineer, and must be secured and supported properly, pinned, shimmed, welded, and cold mix asphalt transitions added to prevent movement and provide smooth transitions.

The Contractor shall be responsible for controlling dust and mud within the project limits, and for cleaning all surfaced roadways affected by the Work. Contractor shall clean up on a daily basis all refuse, rubbish, scrap material and debris caused by the work, to the end that, at all times, the site of the work shall present a neat, orderly

 and workmanlike appearance. Flushing shall not be used. The costs for such dust and mud control and cleaning shall be incidental to the Contract, and no separate payment will be made. In the event Contractor fails to conform to these requirements, Owner shall have the right to have the work done by others and the cost shall be deducted from moneys otherwise due to Contractor.

The Contractor may request the Engineer to shut down a traffic signal with 48 hours advanced notice.

1-07.23(1) Construction Under Traffic

(February 1, 2017 CON GSP)

Supplement

Supplement this section with the following:

The Contractor shall be responsible for proper notification to and coordination with all school districts, police and fire departments, U.S. mail, and all other persons or agencies which provide public service types of business (refuse, etc.) which will be affected by this project, and written notification shall be given at least one (1) week in advance of construction. It shall be the Contractor's responsibility to keep the school district and fire departments and others fully advised of his construction progress, any required detours, and also the time of completion of the project.

(January 2, 2012 WSDOT GSP)

Supplement

Work Zone Clear Zone

The Work Zone Clear Zone (WZCZ) applies during working and nonworking hours. The WZCZ applies only to temporary roadside objects introduced by the Contractor's operations and does not apply to preexisting conditions or permanent Work. Those work operations that are actively in progress shall be in accordance with adopted and approved Traffic Control Plans, and other contract requirements.

During nonworking hours equipment or materials shall not be within the WZCZ unless they are protected by permanent guardrail or temporary concrete barrier. The use of temporary concrete barrier shall be permitted only if the Engineer approves the installation and location.

During actual hours of work, unless protected as described above, only materials absolutely necessary to construction shall be within the WZCZ and only construction vehicles absolutely necessary to construction shall be allowed within the WZCZ or allowed to stop or park on the shoulder of the roadway.

The Contractor's nonessential vehicles and employees private vehicles shall not be permitted to park within the WZCZ at any time unless protected as described above. Deviation from the above requirements shall not occur unless the Contractor has requested the deviation in writing and the Engineer has provided written approval. Minimum WZCZ distances are measured from the edge of traveled way and will be determined as follows:

1 Minimum Work Zone Clear Zone Distance

Regulatory Posted Speed Distance From Traveled Way (Fee		
35 mph or less	10 *	
40 mph	15	
45 to 55 mph	20	
60 mph or greater 30		
* or 2-feet beyond the outside edge of sidewalk		

(January 5, 2015 WSDOT GSP)

Supplement

Lane closures are subject to the following restrictions.

- Arterial streets: 9:00 a.m. to 3:30 p.m.
- Residential Streets 7:00 a.m. to 5:00 p.m.

If the Engineer determines the permitted closure hours adversely affect traffic, the Engineer may adjust the hours accordingly. The Engineer will notify the Contractor in writing of any change in the closure hours.

Lane closures are not allowed on any of the following:

- 1. A holiday,
- 2. A holiday weekend; holidays that occur on Friday, Saturday, Sunday or Monday are considered a holiday weekend. A holiday weekend includes Saturday, Sunday, and the holiday, and
- 3. After 3:00 p.m. on the day prior to a holiday or holiday weekend

1-07.24 Rights of Way

(July 23, 2015 APWA GSP)

Replacement

Delete this section and replace it with the following:

Street Right of Way lines, limits of easements, and limits of construction permits are indicated in the Plans. The Contractor's construction activities shall be confined within these limits, unless arrangements for use of private property are made.

Generally, the Contracting Agency will have obtained, prior to bid opening, all rights of way and easements, both permanent and temporary, necessary for carrying out the work. Exceptions to this are noted in the Bid Documents or will be brought to the Contractor's attention by a duly issued Addendum.

Whenever any of the work is accomplished on or through property other than public Right of Way, the Contractor shall meet and fulfill all covenants and stipulations of any easement agreement obtained by the Contracting Agency from the owner of the private property. Copies of the easement agreements may be included in the Contract

 Provisions or made available to the Contractor as soon as practical after they have been obtained by the Engineer.

Whenever easements or rights of entry have not been acquired prior to advertising, these areas are so noted in the Plans. The Contractor shall not proceed with any portion of the work in areas where right of way, easements or rights of entry have not been acquired until the Engineer certifies to the Contractor that the right of way or easement is available or that the right of entry has been received. If the Contractor is delayed due to acts of omission on the part of the Contracting Agency in obtaining easements, rights of entry or right of way, the Contractor will be entitled to an extension of time. The Contractor agrees that such delay shall not be a breach of contract.

Each property owner shall be given 48 hours notice prior to entry by the Contractor. This includes entry onto easements and private property where private improvements must be adjusted.

The Contractor shall be responsible for providing, without expense or liability to the Contracting Agency, any additional land and access thereto that the Contractor may desire for temporary construction facilities, storage of materials, or other Contractor needs. However, before using any private property, whether adjoining the work or not, the Contractor shall file with the Engineer a written permission of the private property owner, and, upon vacating the premises, a written release from the property owner of each property disturbed or otherwise interfered with by reasons of construction pursued under this contract. The statement shall be signed by the private property owner, or proper authority acting for the owner of the private property affected, stating that permission has been granted to use the property and all necessary permits have been obtained or, in the case of a release, that the restoration of the property has been satisfactorily accomplished. The statement shall include the parcel number, address, and date of signature. Written releases must be filed with the Engineer before the Completion Date will be established.

SECTION 1-08, PROSECUTION AND PROGRESS

Add the following new section:

1-08.0 Preliminary Matters (May 25, 2006 APWA GSP)

1-08.0(1) Preconstruction Conference (October 10, 2008 APWA GSP)

Prior to the Contractor beginning the work, a preconstruction conference will be held between the Contractor, the Engineer and such other interested parties as may be invited. The purpose of the preconstruction conference will be:

- 1. To review the initial progress schedule;
- 2. To establish a working understanding among the various parties associated or affected by the work;

New

New

- 3. To establish and review procedures for progress payment, notifications, approvals, submittals, etc.;
- 4. To establish normal working hours for the work;
- 5. To review safety standards and traffic control; and
- 6. To discuss such other related items as may be pertinent to the work.

The Contractor shall prepare and submit at the preconstruction conference the following:

- 1. A breakdown of all lump sum items;
- 2. A preliminary schedule of working drawing submittals; and
- 3. A list of material sources for approval if applicable.

1-08.0(2) Hours of Work

(December 8, 2014 APWA GSP)

Except in the case of emergency or unless otherwise approved by the Engineer, the normal working hours for the Contract shall be any consecutive 8-hour period between 7:00 a.m. and 6:00 p.m. Monday through Friday, exclusive of a lunch break. If the Contractor desires different than the normal working hours stated above, the request must be submitted in writing prior to the preconstruction conference, subject to the provisions below. The working hours for the Contract shall be established at or prior to the preconstruction conference.

All working hours and days are also subject to local permit and ordinance conditions (such as noise ordinances).

If the Contractor wishes to deviate from the established working hours, the Contractor shall submit a written request to the Engineer for consideration. This request shall state what hours are being requested, and why. Requests shall be submitted for review no later than 48 hours prior to the day(s) the Contractor is requesting to change the hours.

If the Contracting Agency approves such a deviation, such approval may be subject to certain other conditions, which will be detailed in writing. For example:

- 1. On non-Federal aid projects, requiring the Contractor to reimburse the Contracting Agency for the costs in excess of straight-time costs for Contracting Agency representatives who worked during such times. (The Engineer may require designated representatives to be present during the work. Representatives who may be deemed necessary by the Engineer include, but are not limited to: survey crews; personnel from the Contracting Agency's material testing lab; inspectors; and other Contracting Agency employees or third party consultants when, in the opinion of the Engineer, such work necessitates their presence.)
- 2. Considering the work performed on Saturdays, Sundays, and holidays as working days with regard to the contract time.
- 3. Considering multiple work shifts as multiple working days with respect to contract time even though the multiple shifts occur in a single 24-hour period.
- 4. If a 4-10 work schedule is requested and approved the non working day for the week will be charged as a working day.

5. If Davis Bacon wage rates apply to this Contract, all requirements must be met and recorded properly on certified payroll

1-08.1 Subcontracting

(May 30, 2019 APWA GSP, Option B)

Deletion

Delete the ninth paragraph, beginning with "On all projects, the Contractor shall certify...".

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1-08.3(2) A Type A Progress Schedule

(March 13, 2012 APWA GSP)

Modification

Revise this section to read:

The Contractor shall submit 2 copies of a Type A Progress Schedule no later than at the preconstruction conference, or some other mutually agreed upon submittal time. The schedule may be a critical path method (CPM) schedule, bar chart, or other standard schedule format. Regardless of which format used, the schedule shall identify the critical path. The Engineer will evaluate the Type A Progress Schedule and approve or return the schedule for corrections within 15 calendar days of receiving the submittal.

1-08.3(3) Schedule Updates

(February 1, 2017 CON GSP)

Supplement

Supplement this section with the following:

If the critical path is impacted, the Contractor shall update the complete project schedule once per month and shall submit the updated schedule no later than the progress payment period cut-off date.

1-08.4 **Prosecution of Work**

Delete this section and replace it with the following:

1-08.4 Notice to Proceed and Prosecution of Work

(July 23, 2015 APWA GSP)

Modification

Notice to Proceed will be given after the contract has been executed and the contract bond and evidence of insurance have been approved and filed by the Contracting Agency. The Contractor shall not commence with the work until the Notice to Proceed has been given by the Engineer. The Contractor shall commence construction activities on the project site within ten days of the Notice to Proceed Date, unless otherwise approved in writing. The Contractor shall diligently pursue the work to the physical completion date within the time specified in the contract. Voluntary shutdown or slowing of operations by the Contractor shall not relieve the Contractor of the responsibility to complete the work within the time(s) specified in the contract.

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When shown in the Plans, the first order of work shall be the installation of high visibility fencing to delineate all areas for protection or restoration, as described in the Contract.

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Agency has accepted the installation of high visibility fencing, as described in the Contract.

1-08.5 Time for Completion

(January 19, 2022 APWA GSP, Option A)

Modification

Revise the third and fourth paragraphs to read:

Contract time shall begin on the first working day following the Notice to Proceed Date.

Installation of high visibility fencing adjacent to the roadway shall occur after the

placement of all necessary signs and traffic control devices in accordance with 1-

10.1(2). Upon construction of the fencing, the Contractor shall request the Engineer to inspect the fence. No other work shall be performed on the site until the Contracting

Each working day shall be charged to the contract as it occurs, until the contract work is physically complete. If substantial completion has been granted and all the authorized working days have been used, charging of working days will cease. Each week the Engineer will provide the Contractor a statement that shows the number of working days: (1) charged to the contract the week before; (2) specified for the physical completion of the contract; and (3) remaining for the physical completion of the contract. The statement will also show the nonworking days and any partial or whole day the Engineer declares as unworkable. The statement will be identified as a Written Determination by the Engineer. If the Contractor does not agree with the Written Determination of working days, the Contractor shall pursue the protest procedures in accordance with Section 1-04.5. By failing to follow the procedures of Section 1-04.5, the Contractor shall be deemed as having accepted the statement as correct. If the Contractor is approved to work 10 hours a day and 4 days a week (a 4-10 schedule) and the fifth day of the week in which a 4-10 shift is worked would ordinarily be charged as a working day then the fifth day of that week will be charged as a working day whether or not the Contractor works on that day.

Revise the sixth paragraph to read:

The Engineer will give the Contractor written notice of the completion date of the contract after all the Contractor's obligations under the contract have been performed by the Contractor. The following events must occur before the Completion Date can be established:

- 1. The physical work on the project must be complete; and
- 2. The Contractor must furnish all documentation required by the contract and required by law, to allow the Contracting Agency to process final acceptance of the contract. The following documents must be received by the Project Engineer prior to establishing a completion date:
 - a. Certified Payrolls (per Section 1-07.9(5)).
 - b. Material Acceptance Certification Documents
 - c. Monthly Reports of Amounts Credited as DBE Participation, as required by the Contract Provisions.
 - d. Final Contract Voucher Certification
 - e. Copies of the approved "Affidavit of Prevailing Wages Paid" for the Contractor and all Subcontractors

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- f. A copy of the Notice of Termination sent to the Washington State Department of Ecology (Ecology); the elapse of 30 calendar days from the date of receipt of the Notice of Termination by Ecology; and no rejection of the Notice of Termination by Ecology. This requirement will not apply if the Construction Stormwater General Permit is transferred back to the Contracting Agency in accordance with Section 8-01.3(16).
- g. Property owner releases per Section 1-07.24

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1-08.9 Liquidated Damages

(March 3, 2021 APWA GSP, Option A)

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Replace Section 1-08.9 with the following:

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Time is of the essence of the Contract. Delays inconvenience the traveling public, obstruct traffic, interfere with and delay commerce, and increase risk to Highway users. Delays also cost tax payers undue sums of money, adding time needed for administration, engineering, inspection, and supervision.

Accordingly, the Contractor agrees:

To pay liquidated damages in the amount of *** \$500 *** for each working 1. day beyond the number of working days established for Physical Completion, and

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To authorize the Engineer to deduct these liquidated damages from any 2. money due or coming due to the Contractor.

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When the Contract Work has progressed to Substantial Completion as defined in the Contract, the Engineer may determine the Contract Work is Substantially Complete. The Engineer will notify the Contractor in writing of the Substantial Completion Date. For overruns in Contract time occurring after the date so established, liquidated damages identified above will not apply. For overruns in Contract time occurring after the Substantial Completion Date, liquidated damages shall be assessed on the basis of direct engineering and related costs assignable to the project until the actual Physical Completion Date of all the Contract Work. The Contractor shall complete the remaining Work as promptly as possible. Upon request by the Project Engineer, the Contractor shall furnish a written schedule for completing the physical Work on the Contract.

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Liquidated damages will not be assessed for any days for which an extension of time is granted. No deduction or payment of liquidated damages will, in any degree, release the Contractor from further obligations and liabilities to complete the entire Contract.

 1-09.2(1) General Requirements for Weighing Equipment (July 23, 2015 APWA GSP, Option 2)

Modification

Revise item 4 of the fifth paragraph to read:

4. Test results and scale weight records for each day's hauling operations are provided to the Engineer daily. Reporting shall utilize WSDOT form 422-027, Scaleman's Daily Report, <u>unless the printed ticket contains the same information that is on the Scaleman's Daily Report Form. The scale operator must provide AM and/or PM tare weights for each truck on the printed ticket.</u>

1-09.6 Force Account

(October 10, 2008 APWA GSP)

Supplement

The Contracting Agency has estimated and included in the Proposal, dollar amounts for all items to be paid per force account, only to provide a common proposal for Bidders. All such dollar amounts are to become a part of Contractor's total bid. However, the Contracting Agency does not warrant expressly or by implication that the actual amount of work will correspond with those estimates. Payment will be made on the basis of the amount of work actually authorized by Engineer.

1-09.9 Payments

(March 13, 2012 APWA GSP)

Modification

Delete the first four paragraphs and replace them with the following:

The basis of payment will be the actual quantities of Work performed according to the Contract and as specified for payment.

The Contractor shall submit a breakdown of the cost of lump sum bid items at the Preconstruction Conference, to enable the Project Engineer to determine the Work performed on a monthly basis. A breakdown is not required for lump sum items that include a basis for incremental payments as part of the respective Specification. Absent a lump sum breakdown, the Project Engineer will make a determination based on information available. The Project Engineer's determination of the cost of work shall be final.

Progress payments for completed work and material on hand will be based upon progress estimates prepared by the Engineer. A progress estimate cutoff date will be established at the preconstruction conference.

The initial progress estimate will be made not later than 30 days after the Contractor commences the work, and successive progress estimates will be made every month thereafter until the Completion Date. Progress estimates made during progress of the work are tentative, and made only for the purpose of determining progress payments. The progress estimates are subject to change at any time prior to the calculation of the final payment.

The value of the progress estimate will be the sum of the following:

1. Unit Price Items in the Bid Form — the approximate quantity of acceptable units of work completed multiplied by the unit price.

- 2. Lump Sum Items in the Bid Form based on the approved Contractor's lump sum breakdown for that item, or absent such a breakdown, based on the Engineer's determination.
- 3. Materials on Hand 100 percent of invoiced cost of material delivered to Job site or other storage area approved by the Engineer.
- 4. Change Orders entitlement for approved extra cost or completed extra work as determined by the Engineer.

Progress payments will be made in accordance with the progress estimate less:

- 1. Retainage per Section 1-09.9(1), on non FHWA-funded projects;
- 2. The amount of progress payments previously made; and
- 3. Funds withheld by the Contracting Agency for disbursement in accordance with the Contract Documents.

Progress payments for work performed shall not be evidence of acceptable performance or an admission by the Contracting Agency that any work has been satisfactorily completed. The determination of payments under the contract will be final in accordance with Section 1-05.1.

(March 13, 2012 APWA GSP)

Supplement

Supplement this section with the following:

Lump sum item breakdowns are not required when the bid price for the lump sum item is less than \$20,000.

1-09.11 Disputes and Claims

1-09.11(3) Time Limitation and Jurisdiction (November 30, 2018 APWA GSP)

Revision

Revise this section to read:

For the convenience of the parties to the Contract it is mutually agreed by the parties that any claims or causes of action which the Contractor has against the Contracting Agency arising from the Contract shall be brought within 180 calendar days from the date of final acceptance (Section 1-05.12) of the Contract by the Contracting Agency; and it is further agreed that any such claims or causes of action shall be brought only in the Superior Court of the county where the Contracting Agency headquarters is located, provided that where an action is asserted against a county, RCW 36.01.050 shall control venue and jurisdiction. The parties understand and agree that the Contractor's failure to bring suit within the time period provided, shall be a complete bar to any such claims or causes of action. It is further mutually agreed by the parties that when any claims or causes of action which the Contractor asserts against the Contracting Agency arising from the Contract are filed with the Contracting Agency or initiated in court, the Contractor shall permit the Contracting Agency to have timely access to any records deemed necessary by the Contracting Agency to assist in evaluating the claims or action.

1-09.13(3) Claims \$250,000 or Less

(October 1, 2005 APWA GSP)

Replacement

Delete this section and replace it with the following:

The Contractor and the Contracting Agency mutually agree that those claims that total \$250,000 or less, submitted in accordance with Section 1-09.11 and not resolved by nonbinding ADR processes, shall be resolved through litigation unless the parties mutually agree in writing to resolve the claim through binding arbitration.

1-09.13(3)A Administration of Arbitration

(January 19, 2022 APWA GSP)

Modification

Revise the third paragraph to read:

The Contracting Agency and the Contractor mutually agree to be bound by the decision of the arbitrator, and judgment upon the award rendered by the arbitrator may be entered in the Superior Court of the county in which the Contracting Agency's headquarters is located, provided that where claims subject to arbitration are asserted against a county, RCW 36.01.050 shall control venue and jurisdiction of the Superior Court. The decision of the arbitrator and the specific basis for the decision shall be in writing. The arbitrator shall use the Contract as a basis for decisions.

SECTION 1-10, TEMPORARY TRAFFIC CONTROL

1-10.1 General

(February 1, 2017 CON GSP)

Supplement

Section 1-10.1 is supplemented with the following:

The Contractor shall conduct its operations so as to offer the least possible obstruction and inconvenience to the public, and the Contractor shall have under construction no greater length or amount of work than the Contractor can prosecute properly with due regards to the rights of the public. The Contractor shall not open up sections of the work and leave them unfinished, but rather, the work shall be finished as it proceeds, insofar as practicable.

Construction shall also be conducted so as to cause as little inconvenience as possible to abutting property owners. Convenient and clearly marked access to driveways, houses and buildings along the line of work shall be maintained and temporary approaches to crossing or intersecting streets shall be provided and kept in good and smooth condition. When the abutting owners' access across the Rights-of-Way line is to be replaced under the Contract by other access, the existing access shall not be closed until the replacement access facility is available. Adjacent property owner's driveways must be left open and accessible at all times during the course of the project unless otherwise specified herein or approved by the Contracting Agency.

1 1-10.2 **Traffic Control Management** 2 3 1-10.2(1) General 4 (January 3, 2017 WSDOT GSP) Supplement 5 6 Section 1-10.2(1) is supplemented with the following: 7 8 Only training with WSDOT TCS card and WSDOT training curriculum is recognized in 9 the State of Washington. The Traffic Control Supervisor shall be certified by one of the 10 following: 11 12 The Northwest Laborers-Employers Training Trust 13 27055 Ohio Ave. 14 Kingston, WA 98346 15 (360) 297-3035 16 17 **Evergreen Safety Council** 18 12545 135th Ave. NE 19 Kirkland, WA 98034-8709 20 1-800-521-0778 21 22 The American Traffic Safety Services Association 23 15 Riverside Parkway, Suite 100 24 Fredericksburg, Virginia 22406-1022 25 Training Dept. Toll Free (877) 642-4637 26 Phone: (540) 368-1701 27 28 1-10.2(2) **Traffic Control Plans** (February 1, 2017 CON GSP) 29 Supplement 30 31 Supplement this Section with the following: 32 33 If traffic control plans are not included in the Contract Documents, the Contractor shall 34 submit traffic control plans for the Engineer's review and approval. A minimum of 5 35 working days are required for review. The traffic control plans shall show be prepared 36 in accordance with the latest issue of the MUTCD, WSDOT Standard Plans and these 37 Specifications and shall include pedestrian access pathways as needed. 38 39 If no alternative is proposed within the contract plans, all existing pedestrian routes 40 and access points within the project limits, including sidewalks and crosswalks, shall 41 remain open and clear at all times. The Contractor may propose traffic control plans 42 that comply with the MUTCD, ADA, requirements, and these Specifications. 43 44 45 1-10.2(2) **Traffic Control Plans** 46 (June 1, 2020 CON GSP) Supplement 47

Supplement this Section with the following:

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Submittal of Contractor-prepared Traffic Control Plans (TCP's) shall be required. and shall occur a minimum of ten (10) working days prior to beginning work. The Contractor shall prepare site-specific plans for each site included in the project.

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The Contractor shall be solely responsible for submitting the individual, site specific traffic control plans for approval by the Engineer. The costs for preparation of the TCP's shall be the contractor's responsibility and shall be included in the lump sum cost for Project Traffic Control, Traffic control plans require a minimum of 5 working days for review.

A TCP shall be submitted for each type of Work listed below. A revised or additional TCP shall be submitted for approval 10 days prior to each time an adjustment to a previously approved TCP becomes necessary.

1) TCP (Construction Access) - Any construction activity that requires the Contractor to enter and exit the construction site using a public road. This Plan shall address routes for hauling and delivery of project materials to and from the project site, and designated entrances and exits for personnel or construction vehicles for normal daily use.

2) TCP (Temporary Traffic Lane/Shoulder Closures) - Any activity requiring closures or adjustments to lanes, or Shoulders; driveway or pedestrian access; or entire Roadway.

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3) TCP (Pedestrian Traffic Control) - Any Work that may impede or impact directly or indirectly any existing pedestrian route not related to 2) above.

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4) TCP (Work near school zones and/or intersections) - Any construction activity that may impeded or impact directly any school zone and/or intersection.

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Road closures are not included within this project, but the City will consider contractor requested road closures. For requested road closures, road closure plan including detours shall be submitted to the Engineer 10 working days prior to the required agency notification. If approved, 72-hour notification shall be given to the agencies noted on the City's Road Closure Notice prior to closure of any road. For closures on residential streets longer than an 8-hr period or on arterial streets, notice shall be placed in the local newspaper 72 hours prior to the closure and shall list the location, dates, and detour route. Approval for any road closure will be at the City's sole discretion.

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END OF DIVISION 1

1 2	DIVISION 2 EARTHWORK	
3 4	SECTION 2-01, CLEARING, GRUBBING, AND ROADSIDE CLEANUP	
5 6 7	2-01.2 Disposal of Usable Material and Debris	
8 9 10	2-01.2(1) Disposal Method No. 1 - Open Burning (June 1, 2020 CON GSP)	Replacement
11 12	REPLACE this Section with the following:	
13 14 15	Open burning will not be permitted on this project.	
16 17	SECTION 2-11, TRIMMING AND CLEANUP	
18 19	2-11.1 Description (June 1, 2020 CON GSP)	Supplement
20 21 22	SUPPLEMENT this Section with the following:	
23 24 25 26 27	Paved street surfaces, existing and new shall be thoroughly swept with a vacua street sweeper upon completion of work and shall require daily cleaning as necessary to remove construction debris/materials. Contractor shall also be reto inspect daily, haul routes and, if necessary, street sweep to remove debris. completion of the work, all haul routes shall be street swept.	equired
28 29 30 31	2-11.4 Measurement (June 1, 2020 CON GSP)	Replacement
32 33	REPLACE this Section with the following:	
34 35	No specific unit of measurement will be made for Trimming and Cleanup.	
36 37 38	2-11.5 Payment (June 1, 2020 CON GSP)	Replacement
39 40	REPLACE this Section with the following:	
41 42 43	Payment for Trimming and Cleanup work shall be considered included in the bid items.	other
44 45 46	END OF DIVISION 2	

DIVISION 5 SURFACE TREATMENTS AND PAVEMENTS

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SECTION 5-04, HOT MIX ASPHALT (July. 18 2018 APWA GSP)

Replacement

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Delete Section 5-04 and amendments, Hot Mix Asphalt and replace it with the following:

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5-04.1 Description

This Work shall consist of providing and placing one or more layers of plant-mixed hot mix asphalt (HMA) on a prepared foundation or base in accordance with these Specifications and the lines, grades, thicknesses, and typical cross-sections shown in the Plans. The manufacture of HMA may include warm mix asphalt (WMA) processes in accordance with these Specifications. WMA processes include organic additives, chemical additives, and foaming.

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HMA shall be composed of asphalt binder and mineral materials as may be required, mixed in the proportions specified to provide a homogeneous, stable,

and workable mixture.

5-04.2 Materials

Materials shall meet the requirements of the following sections:

23	Asphalt Binder	9-02.1(4)
24	Cationic Emulsified Asphalt	9-02.1(6)
25	Anti-Stripping Additive	9-02.4
26	HMA Additive	9-02.5
27	Aggregates	9-03.8
28	Recycled Asphalt Pavement	9-03.8(3)B
29	Mineral Filler	9-03.8(5)
30	Recycled Material	9-03.21
31	Portland Cement	9-01
32	Sand	9-03.1(2)
33	(As noted in 5-04.3(5)	C for crack se

ealing)

Joint Sealant 9-04.2 9-04.2(3)A Foam Backer Rod

The Contract documents may establish that the various mineral materials required for the manufacture of HMA will be furnished in whole or in part by the Contracting Agency. If the documents do not establish the furnishing of any of these mineral materials by the Contracting Agency, the Contractor shall be required to furnish such materials in the amounts required for the designated mix. Mineral materials include coarse and fine aggregates, and mineral filler.

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The Contractor may choose to utilize recycled asphalt pavement (RAP) in the production of HMA. The RAP may be from pavements removed under the Contract, if any, or pavement material from an existing stockpile.

The Contractor may use up to 20 percent RAP by total weight of HMA with no additional sampling or testing of the RAP. The RAP shall be sampled and tested at a frequency of one sample for every 1,000 tons produced and not less than ten samples per project. The asphalt content and gradation test data shall be reported to the Contracting Agency when submitting the mix design for approval on the QPL. The Contractor shall include the RAP as part of the mix design as defined in these Specifications.

The grade of asphalt binder shall be as required by the Contract. Blending of asphalt binder from different sources is not permitted.

The Contractor may only use warm mix asphalt (WMA) processes in the production of HMA with 20 percent or less RAP by total weight of HMA. The Contractor shall submit to the Engineer for approval the process that is proposed and how it will be used in the manufacture of HMA.

Production of aggregates shall comply with the requirements of Section 3-01. Preparation of stockpile site, the stockpiling of aggregates, and the removal of aggregates from stockpiles shall comply with the requirements of Section 3-02.

5-04.2(1) How to Get an HMA Mix Design on the QPL

If the contractor wishes to submit a mix design for inclusion in the Qualified Products List (QPL), please follow the WSDOT process outlined in Standard Specification 5-04.2(1).

5-04.2(1)A Vacant

5-04.2(2) Mix Design – Obtaining Project Approval

 No paving shall begin prior to the approval of the mix design by the Engineer.

Nonstatistical evaluation will be used for all HMA not designated as Commercial HMA in the contract documents.

 Commercial evaluation will be used for Commercial HMA and for other classes of HMA in the following applications: sidewalks, road approaches, ditches, slopes, paths, trails, gores, prelevel, and pavement repair. Other nonstructural applications of HMA accepted by commercial evaluation shall be as approved by the Project Engineer. Sampling and testing of HMA accepted by commercial evaluation will be at the option of the Project Engineer. The Proposal quantity of HMA that is accepted by commercial evaluation will be excluded from the quantities used in the determination of nonstatistical evaluation.

Nonstatistical Mix Design. Fifteen days prior to the first day of paving the contractor shall provide one of the following mix design verification certifications for Contracting Agency review;

date.**

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The mix design shall be performed by a lab accredited by a national authority such as Laboratory Accreditation Bureau, L-A-B for Construction Materials Testing, The Construction Materials Engineering Council (CMEC's) ISO 17025 or AASHTO Accreditation Program (AAP) and shall supply evidence of participation in the AASHTO: resource proficiency sample program.

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Mix designs for HMA accepted by Nonstatistical evaluation shall;

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 Have the aggregate structure and asphalt binder content determined in accordance with WSDOT Standard Operating Procedure 732 and meet the requirements of Sections 9-03.8(2), except that Hamburg testing for ruts and stripping are at the discretion of the Engineer, and 9-03.8(6).

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 Have anti-strip requirements, if any, for the proposed mix design determined in accordance with AASHTO T 283 or T 324, or based on historic anti-strip and aggregate source compatibility from previous WSDOT lab testing.

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At the discretion of the Engineer, agencies may accept verified mix designs older than 12 months from the original verification date with a certification from the Contractor that the materials and sources are the same as those shown on the original mix design.

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Commercial Evaluation Approval of a mix design for "Commercial Evaluation" will be based on a review of the Contractor's submittal of WSDOT Form 350-042 (For commercial mixes, AASHTO T 324 evaluation is not required) or a Mix Design from the current WSDOT QPL or from one of the processes allowed by this section. Testing of the HMA by the Contracting Agency for mix design approval is not required.

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For the Bid Item Commercial HMA, the Contractor shall select a class of HMA and design level of Equivalent Single Axle Loads (ESAL's) appropriate for the required use.

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5-04.2(2)B Using Warm Mix Asphalt Processes

The Contractor may elect to use additives that reduce the optimum mixing temperature or serve as a compaction aid for producing HMA. Additives include organic additives, chemical additives and foaming processes. The use of Additives is subject to the following:

 • Do not use additives that reduce the mixing temperature more than allowed in Section 5-04.3(6) in the production of mixtures.

• Before using additives, obtain the Engineer's approval using WSDOT Form 350-076 to describe the proposed additive and process.

5-04.3 Construction Requirements

5-04.3(1) Weather Limitations

Do not place HMA for wearing course on any Traveled Way beginning October 1st through March 31st of the following year without written concurrence from the Engineer.

Do not place HMA on any wet surface, or when the average surface temperatures are less than those specified below, or when weather conditions otherwise prevent the proper handling or finishing of the HMA.

Minimum Surface Temperature for Paving

Compacted Thickness (Feet)	Wearing Course	Other Courses
Less than 0.10	55∘F	45∘F
0.10 to .20	45∘F	35∘F
More than 0.20	35∘F	35∘F

5-04.3(2) Paving Under Traffic

When the Roadway being paved is open to traffic, the requirements of this Section shall apply.

The Contractor shall keep intersections open to traffic at all times except when paving the intersection or paving across the intersection. During such time, and provided that there has been an advance warning to the public, the intersection may be closed for the minimum time required to place and compact the mixture. In hot weather, the Engineer may require the application of water to the pavement to accelerate the finish rolling of the pavement and to shorten the time required before reopening to traffic.

Before closing an intersection, advance warning signs shall be placed and signs shall also be placed marking the detour or alternate route.

During paving operations, temporary pavement markings shall be maintained throughout the project. Temporary pavement markings shall be installed on the Roadway prior to opening to traffic. Temporary pavement markings shall be in accordance with Section 8-23.

All costs in connection with performing the Work in accordance with these requirements, except the cost of temporary pavement markings, shall be included in the unit Contract prices for the various Bid items involved in the Contract.

5-04.3(3) **Equipment**

5-04.3(3)A Mixing Plant

 Plants used for the preparation of HMA shall conform to the following requirements:

1. Equipment for Preparation of Asphalt Binder – Tanks for the storage of asphalt binder shall be equipped to heat and hold the material at the required temperatures. The heating shall be accomplished by steam coils, electricity, or other approved means so that no flame shall be in contact with the storage tank. The circulating system for the asphalt binder shall be designed to ensure proper and continuous circulation during the operating period. A valve for the purpose of sampling the asphalt binder shall be placed in either the storage tank or in the supply line to the mixer.

2. Thermometric Equipment – An armored thermometer, capable of detecting temperature ranges expected in the HMA mix, shall be fixed in the asphalt binder feed line at a location near the charging valve at the mixer unit. The thermometer location shall be convenient and safe for access by Inspectors. The plant shall also be equipped with an approved dial-scale thermometer, a mercury actuated thermometer, an electric pyrometer, or another approved thermometric instrument placed at the discharge chute of the drier to automatically register or indicate the temperature of the heated aggregates. This device shall be in full view of the plant operator.

3. **Heating of Asphalt Binder** – The temperature of the asphalt binder shall not exceed the maximum recommended by the asphalt binder manufacturer nor shall it be below the minimum temperature required to maintain the asphalt binder in a homogeneous state. The asphalt binder shall be heated in a manner that will avoid local variations in heating. The heating method shall provide a continuous supply of asphalt binder to the mixer at a uniform average temperature with no individual variations exceeding 25°F. Also, when a WMA additive is included in the asphalt binder, the temperature of the asphalt binder shall not exceed the maximum recommended by the manufacturer of the WMA additive.

4. **Sampling and Testing of Mineral Materials** – The HMA plant shall be equipped with a mechanical sampler for the sampling of the mineral materials. The mechanical sampler shall meet the requirements of Section 1-05.6 for the crushing and screening operation. The Contractor shall provide for the setup and operation of the field testing facilities of the Contracting Agency as provided for in Section 3-01.2(2).

5. **Sampling HMA** – The HMA plant shall provide for sampling HMA by one of the following methods:

a. A mechanical sampling device attached to the HMA plant.

b. Platforms or devices to enable sampling from the hauling vehicle without entering the hauling vehicle.

5-04.3(3)B Hauling Equipment

Trucks used for hauling HMA shall have tight, clean, smooth metal beds and shall have a cover of canvas or other suitable material of sufficient size to protect the mixture from adverse weather. Whenever the weather conditions during the work shift include, or are forecast to include, precipitation or an air temperature less than 45°F or when time from loading to unloading exceeds 30 minutes, the cover shall be securely attached to protect the HMA.

The contractor shall provide an environmentally benign means to prevent the HMA mixture from adhering to the hauling equipment. Excess release agent shall be drained prior to filling hauling equipment with HMA. Petroleum derivatives or other coating material that contaminate or alter the characteristics of the HMA shall not be used. For live bed trucks, the conveyer shall be in operation during the process of applying the release agent.

5-04.3(3)C Pavers

HMA pavers shall be self-contained, power-propelled units, provided with an internally heated vibratory screed and shall be capable of spreading and finishing courses of HMA plant mix material in lane widths required by the paving section shown in the Plans.

The HMA paver shall be in good condition and shall have the most current equipment available from the manufacturer for the prevention of segregation of the HMA mixture installed, in good condition, and in working order. The equipment certification shall list the make, model, and year of the paver and any equipment that has been retrofitted.

The screed shall be operated in accordance with the manufacturer's recommendations and shall effectively produce a finished surface of the required evenness and texture without tearing, shoving, segregating, or gouging the mixture. A copy of the manufacturer's recommendations shall be provided upon request by the Contracting Agency. Extensions will be allowed provided they produce the same results, including ride, density, and surface texture as obtained by the primary screed. Extensions without augers and an internally heated vibratory screed shall not be used in the Traveled Way.

 When specified in the Contract, reference lines for vertical control will be required. Lines shall be placed on both outer edges of the Traveled Way of each Roadway. Horizontal control utilizing the reference line will be permitted. The grade and slope for intermediate lanes shall be controlled automatically from reference lines or by means of a mat referencing device and a slope control device. When the finish of the grade prepared for paving is superior to the established tolerances and when, in the opinion of the Engineer, further improvement to the line, grade, cross-section, and smoothness can best be achieved without the use of the reference line, a mat

1 referencing device may be substituted for the reference line. Substitution of the 2 device will be subject to the continued approval of the Engineer. A joint matcher may 3 be used subject to the approval of the Engineer. The reference line may be removed after the completion of the first course of HMA when approved by the Engineer. 4 5 Whenever the Engineer determines that any of these methods are failing to provide 6 the necessary vertical control, the reference lines will be reinstalled by the 7 Contractor. 8 9 The Contractor shall furnish and install all pins, brackets, tensioning devices, wire, and accessories necessary for satisfactory operation of the automatic control 10 11 equipment. 12 13 If the paving machine in use is not providing the required finish, the Engineer may suspend Work as allowed by Section 1-08.6. Any cleaning or solvent type liquids 14 spilled on the pavement shall be thoroughly removed before paving proceeds. 15 16 17 5-04.3(3)D Material Transfer Device or Material Transfer Vehicle 18 A Material Transfer Device/Vehicle (MTD/V) shall only be used with the Engineer's 19 approval, unless other-wise required by the contract. 20 21 Where an MTD/V is required by the contract, the Engineer may approve paving without an MTD/V, at the request of the Contractor. The Engineer will determine if an 22 23 equitable adjustment in cost or time is due. 24 25 When used, the MTD/V shall mix the HMA after delivery by the hauling equipment 26 and prior to laydown by the paving machine. Mixing of the HMA shall be sufficient to obtain a uniform temperature throughout the mixture. If a windrow elevator is used, 27 the length of the windrow may be limited in urban areas or through intersections, at 28 29 the discretion of the Engineer. 30 31 To be approved for use, an MTV: 32 33 1. Shall be self-propelled vehicle, separate from the hauling vehicle or paver. 34 2. Shall not be connected to the hauling vehicle or paver. 35 3. May accept HMA directly from the haul vehicle or pick up HMA from a windrow. 36 37 4. Shall mix the HMA after delivery by the hauling equipment and prior to 38 placement into the paving machine. 39 5. Shall mix the HMA sufficiently to obtain a uniform temperature throughout 40 the mixture. 41 42 To be approved for use, an MTD:

1. Shall be positively connected to the paver.

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- 2. May accept HMA directly from the haul vehicle or pick up HMA from a windrow.
- 3. Shall mix the HMA after delivery by the hauling equipment and prior to placement into the paving machine.
- 4. Shall mix the HMA sufficiently to obtain a uniform temperature throughout the mixture.

5-04.3(3)E Rollers

Rollers shall be of the steel wheel, vibratory, oscillatory, or pneumatic tire type, in good condition and capable of reversing without backlash. Operation of the roller shall be in accordance with the manufacturer's recommendations. When ordered by the Engineer for any roller planned for use on the project, the Contractor shall provide a copy of the manufacturer's recommendation for the use of that roller for compaction of HMA. The number and weight of rollers shall be sufficient to compact the mixture in compliance with the requirements of Section 5-04.3(10). The use of equipment that results in crushing of the aggregate will not be permitted. Rollers producing pickup, washboard, uneven compaction of the surface, displacement of the mixture or other undesirable results shall not be used.

5-04.3(4) Preparation of Existing Paved Surfaces

When the surface of the existing pavement or old base is irregular, the Contractor shall bring it to a uniform grade and cross-section as shown on the Plans or approved by the Engineer.

Preleveling of uneven or broken surfaces over which HMA is to be placed may be accomplished by using an asphalt paver, a motor patrol grader, or by hand raking, as approved by the Engineer.

Compaction of preleveling HMA shall be to the satisfaction of the Engineer and may require the use of small steel wheel rollers, plate compactors, or pneumatic rollers to avoid bridging across preleveled areas by the compaction equipment. Equipment used for the compaction of preleveling HMA shall be approved by the Engineer.

Before construction of HMA on an existing paved surface, the entire surface of the pavement shall be clean. All fatty asphalt patches, grease drippings, and other objectionable matter shall be entirely removed from the existing pavement. All pavements or bituminous surfaces shall be thoroughly cleaned of dust, soil, pavement grindings, and other foreign matter. All holes and small depressions shall be filled with an appropriate class of HMA. The surface of the patched area shall be leveled and compacted thoroughly. Prior to the application of tack coat, or paving, the condition of the surface shall be approved by the Engineer.

A tack coat of asphalt shall be applied to all paved surfaces on which any course of HMA is to be placed or abutted; except that tack coat may be omitted from clean, newly paved surfaces at the discretion of the Engineer. Tack coat shall be uniformly applied to cover the existing pavement with a thin film of residual asphalt free of streaks and bare spots at a rate between 0.02 and 0.10 gallons per square yard of

retained asphalt. The rate of application shall be approved by the Engineer. A heavy application of tack coat shall be applied to all joints. For Roadways open to traffic, the application of tack coat shall be limited to surfaces that will be paved during the same working shift. The spreading equipment shall be equipped with a thermometer to indicate the temperature of the tack coat material.

Equipment shall not operate on tacked surfaces until the tack has broken and cured. If the Contractor's operation damages the tack coat it shall be repaired prior to placement of the HMA.

The tack coat shall be CSS-1, or CSS-1h emulsified asphalt. The CSS-1 and CSS-1h emulsified asphalt may be diluted once with water at a rate not to exceed one part water to one part emulsified asphalt. The tack coat shall have sufficient temperature such that it may be applied uniformly at the specified rate of application and shall not exceed the maximum temperature recommended by the emulsified asphalt manufacturer.

5-04.3(4)A Crack Sealing

5-04.3(4)A1 General

When the Proposal includes a pay item for crack sealing, seal all cracks $\frac{1}{4}$ inch in width and greater.

Cleaning: Ensure that cracks are thoroughly clean, dry and free of all loose and foreign material when filling with crack sealant material. Use a hot compressed air lance to dry and warm the pavement surfaces within the crack immediately prior to filling a crack with the sealant material. Do not overheat pavement. Do not use direct flame dryers. Routing cracks is not required.

 Sand Slurry: For cracks that are to be filled with sand slurry, thoroughly mix the components and pour the mixture into the cracks until full. Add additional CSS-1 cationic emulsified asphalt to the sand slurry as needed for workability to ensure the mixture will completely fill the cracks. Strike off the sand slurry flush with the existing pavement surface and allow the mixture to cure. Top off cracks that were not completely filled with additional sand slurry. Do not place the HMA overlay until the slurry has fully cured.

The sand slurry shall consist of approximately 20 percent CSS-1 emulsified asphalt, approximately 2 percent portland cement, water (if required), and the remainder clean Class 1 or 2 fine aggregate per section 9-03.1(2). The components shall be thoroughly mixed and then poured into the cracks and joints until full. The following day, any cracks or joints that are not completely filled shall be topped off with additional sand slurry. After the sand slurry is placed, the filler shall be struck off flush with the existing pavement surface and allowed to cure. The HMA overlay shall not be placed until the slurry has fully cured. The requirements of Section 1-06 will not apply to the portland cement and sand used in the sand slurry.

In areas where HMA will be placed, use sand slurry to fill the cracks.

In areas where HMA will not be placed, fill the cracks as follows:

1. Cracks ¼ inch to 1 inch in width - fill with hot poured sealant.

2. Cracks greater than 1 inch in width – fill with sand slurry.

Hot Poured Sealant: For cracks that are to be filled with hot poured sealant, apply the material in accordance with these requirements and the manufacturer's recommendations. Furnish a Type 1 Working Drawing of the manufacturer's product information and recommendations to the Engineer prior to the start of work, including the manufacturer's recommended heating time and temperatures, allowable storage time and temperatures after initial heating, allowable reheating criteria, and application temperature range. Confine hot poured sealant material within the crack. Clean any overflow of sealant from the pavement surface. If, in the opinion of the Engineer, the Contractor's method of sealing the cracks with hot poured sealant results in an excessive amount of material on the pavement surface, stop and correct the operation to eliminate the excess material.

5-04.3(4)A2 Crack Sealing Areas Prior to Paving

In areas where HMA will be placed, use sand slurry to fill the cracks.

5-04.3(4)A3 Crack Sealing Areas Not to be Paved

In areas where HMA will not be placed, fill the cracks as follows:

- A. Cracks ¼ inch to 1 inch in width fill with hot poured sealant.
- B. Cracks greater than 1 inch in width fill with sand slurry.

5-04.3(4)B Vacant

5-04.3(4)C Pavement Repair

HMA in accordance with the details shown in the Plans and as marked in the field. The Contractor shall conduct the excavation operations in a manner that will protect the pavement that is to remain. Pavement not designated to be removed that is damaged as a result of the Contractor's operations shall be repaired by the Contractor to the satisfaction of the Engineer at no cost to the Contracting Agency. The Contractor shall excavate only within one lane at a time unless approved otherwise by the Engineer. The Contractor shall not excavate more area than can be

The Contractor shall excavate pavement repair areas and shall backfill these with

Unless otherwise shown in the Plans or determined by the Engineer, excavate to a depth of 1.0 feet. The Engineer will make the final determination of the excavation depth required. The minimum width of any pavement repair area shall be 40 inches unless shown otherwise in the Plans. Before any excavation, the existing pavement shall be sawcut or shall be removed by a pavement grinder. Excavated materials will

completely finished during the same shift, unless approved by the Engineer.

become the property of the Contractor and shall be disposed of in a Contractor-provided site off the Right of Way or used in accordance with Sections 2-02.3(3) or 9-03.21.

Asphalt for tack coat shall be required as specified in Section 5-04.3(4). A heavy application of tack coat shall be applied to all surfaces of existing pavement in the pavement repair area.

Placement of the HMA backfill shall be accomplished in lifts not to exceed 0.35-foot compacted depth. Lifts that exceed 0.35-foot of compacted depth may be accomplished with the approval of the Engineer. Each lift shall be thoroughly compacted by a mechanical tamper or a roller.

5-04.3(5) Producing/Stockpiling Aggregates and RAP

Aggregates and RAP shall be stockpiled according to the requirements of Section 3-02. Sufficient storage space shall be provided for each size of aggregate and RAP. Materials shall be removed from stockpile(s) in a manner to ensure minimal segregation when being moved to the HMA plant for processing into the final mixture. Different aggregate sizes shall be kept separated until they have been delivered to the HMA plant.

5-04.3(5)A Vacant

5-04.3(6) Mixing

After the required amount of mineral materials, asphalt binder, recycling agent and anti-stripping additives have been introduced into the mixer the HMA shall be mixed until complete and uniform coating of the particles and thorough distribution of the asphalt binder throughout the mineral materials is ensured.

When discharged, the temperature of the HMA shall not exceed the optimum mixing temperature by more than 25°F as shown on the reference mix design report or as approved by the Engineer. Also, when a WMA additive is included in the manufacture of HMA, the discharge temperature of the HMA shall not exceed the maximum recommended by the manufacturer of the WMA additive. A maximum water content of 2 percent in the mix, at discharge, will be allowed providing the water causes no problems with handling, stripping, or flushing. If the water in the HMA causes any of these problems, the moisture content shall be reduced as directed by the Engineer.

Storing or holding of the HMA in approved storage facilities will be permitted with approval of the Engineer, but in no event shall the HMA be held for more than 24 hours. HMA held for more than 24 hours after mixing shall be rejected. Rejected HMA shall be disposed of by the Contractor at no expense to the Contracting Agency. The storage facility shall have an accessible device located at the top of the cone or about the third point. The device shall indicate the amount of material in storage. No HMA shall be accepted from the storage facility when the HMA in

storage is below the top of the cone of the storage facility, except as the storage facility is being emptied at the end of the working shift.

Recycled asphalt pavement (RAP) utilized in the production of HMA shall be sized prior to entering the mixer so that a uniform and thoroughly mixed HMA is produced. If there is evidence of the recycled asphalt pavement not breaking down during the heating and mixing of the HMA, the Contractor shall immediately suspend the use of the RAP until changes have been approved by the Engineer. After the required amount of mineral materials, RAP, new asphalt binder and asphalt rejuvenator have been introduced into the mixer the HMA shall be mixed until complete and uniform coating of the particles and thorough distribution of the asphalt binder throughout the mineral materials, and RAP is ensured.

5-04.3(7) Spreading and Finishing

The mixture shall be laid upon an approved surface, spread, and struck off to the grade and elevation established. HMA pavers complying with Section 5-04.3(3) shall be used to distribute the mixture. Unless otherwise directed by the Engineer, the nominal compacted depth of any layer of any course shall not exceed the following:

HMA Class 1"	0.35 feet
HMA Class ¾" and HMA Class ½"	
wearing course	0.30 feet

23 other courses 0.35 feet 24 HMA Class ¾" 0.15 feet

On areas where irregularities or unavoidable obstacles make the use of mechanical spreading and finishing equipment impractical, the paving may be done with other equipment or by hand.

 When more than one JMF is being utilized to produce HMA, the material produced for each JMF shall be placed by separate spreading and compacting equipment. The intermingling of HMA produced from more than one JMF is prohibited. Each strip of HMA placed during a work shift shall conform to a single JMF established for the class of HMA specified unless there is a need to make an adjustment in the JMF.

5-04.3(8) Aggregate Acceptance Prior to Incorporation in HMA

For HMA accepted by nonstatistical evaluation the aggregate properties of sand equivalent, uncompacted void content and fracture will be evaluated in accordance with Section 3-04. Sampling and testing of aggregates for HMA accepted by commercial evaluation will be at the option of the Engineer.

5-04.3(9) HMA Mixture Acceptance

Acceptance of HMA shall be as provided under nonstatistical, or commercial evaluation.

Nonstatistical evaluation will be used for the acceptance of HMA unless Commercial Evaluation is specified.

Commercial evaluation will be used for Commercial HMA and for other classes of HMA in the following applications: sidewalks, road approaches, ditches, slopes, paths, trails, gores, prelevel, temporary pavement, and pavement repair. Other nonstructural applications of HMA accepted by commercial evaluation shall be as approved by the Engineer. Sampling and testing of HMA accepted by commercial evaluation will be at the option of the Engineer.

The mix design will be the initial JMF for the class of HMA. The Contractor may request a change in the JMF. Any adjustments to the JMF will require the approval of the Engineer and may be made in accordance with this section.

HMA Tolerances and Adjustments

 Job Mix Formula Tolerances – The constituents of the mixture at the time of acceptance shall be within tolerance. The tolerance limits will be established as follows:

For Asphalt Binder and Air Voids (Va), the acceptance limits are determined by adding the tolerances below to the approved JMF values. These values will also be the Upper Specification Limit (USL) and Lower Specification Limit (LSL) required in Section 1-06.2(2)D2

Property	Non-Statistical Evaluation	Commercial Evaluation
Asphalt Binder	+/- 0.5%	+/- 0.7%
Air Voids, Va	2.5% min. and 5.5% max	N/A

For Aggregates in the mixture:

a. First, determine preliminary upper and lower acceptance limits by applying the following tolerances to the approved JMF.

Aggregate Percent	Non-Statistical	Commercial
Passing	Evaluation	Evaluation
1", ¾", ½", and 3/8" sieves	+/- 6%	+/- 8%
No. 4 sieve	+/-6%	+/- 8%
No. 8 Sieve	+/- 6%	+/-8%
No. 200 sieve	+/- 2.0%	+/- 3.0%

- b. Second, adjust the preliminary upper and lower acceptance limits determined from step (a) the minimum amount necessary so that none of the aggregate properties are outside the control points in Section 9-03.8(6). The resulting values will be the upper and lower acceptance limits for aggregates, as well as the USL and LSL required in Section 1-06.2(2)D2.
- 2. Job Mix Formula Adjustments An adjustment to the aggregate gradation or asphalt binder content of the JMF requires approval of the Engineer. Adjustments to the JMF will only be considered if the change produces material of equal or better quality and may require the development of a new mix design if the adjustment exceeds the amounts listed below.
 - a. **Aggregates** –2 percent for the aggregate passing the 1½", 1", ¾", ½", ¾", and the No. 4 sieves, 1 percent for aggregate passing the No. 8 sieve, and 0.5 percent for the aggregate passing the No. 200 sieve. The adjusted JMF shall be within the range of the control points in Section 9-03.8(6).

1 b. Asphalt Binder Content – The Engineer may order or approve changes to 2 asphalt binder content. The maximum adjustment from the approved mix design for the asphalt binder content shall be 0.3 percent 3 4 5 5-04.3(9)A Vacant 6 7 5-04.3(9)B Vacant 8 9 5-04.3(9)C Mixture Acceptance – Nonstatistical Evaluation 10 HMA mixture which is accepted by Nonstatistical Evaluation will be evaluated by the 11 Contracting Agency by dividing the HMA tonnage into lots. 12 13 5-04.3(9)C1 Mixture Nonstatistical Evaluation – Lots and Sublots 14 A lot is represented by randomly selected samples of the same mix design that will 15 be tested for acceptance. A lot is defined as the total quantity of material or work produced for each Job Mix Formula placed. Only one lot per JMF is expected. A 16 17 sublot shall be equal to one day's production or 800 tons, whichever is less except that the final sublot will be a minimum of 400 tons and may be increased to 1200 18 19 tons. 20 21 All of the test results obtained from the acceptance samples from a given lot shall be 22 evaluated collectively. If the Contractor requests a change to the JMF that is 23 approved, the material produced after the change will be evaluated on the basis of 24 the new JMF for the remaining sublots in the current lot and for acceptance of 25 subsequent lots. For a lot in progress with a CPF less than 0.75, a new lot will begin at the Contractor's request after the Engineer is satisfied that material conforming to 26 27 the Specifications can be produced. 28 29 Sampling and testing for evaluation shall be performed on the frequency of one 30 sample per sublot. 31 32 5-04.3(9)C2 Mixture Nonstatistical Evaluation Sampling 33 Samples for acceptance testing shall be obtained by the Contractor when ordered by 34 the Engineer. The Contractor shall sample the HMA mixture in the presence of the 35 Engineer and in accordance with AASH-TO T 168. A minimum of three samples should be taken for each class of HMA placed on a project. If used in a structural 36 application, at least one of the three samples shall to be tested. 37 38 39 Sampling and testing HMA in a Structural application where quantities are less than 40 400 tons is at the discretion of the Engineer. 41 42 For HMA used in a structural application and with a total project quantity less than 800 tons but more than 400 tons, a minimum of one acceptance test shall be 43 44 performed. In all cases, a minimum of 3 samples will be obtained at the point of 45 acceptance, a minimum of one of the three samples will be tested for conformance to 46 the JMF:

 testir

• If the test results are found to be within specification requirements, additional testing will be at the Engineer's discretion.

 If test results are found not to be within specification requirements, additional testing of the remaining samples to determine a Composite Pay Factor (CPF) shall be performed.

5-04.3(9)C3 Mixture Nonstatistical Evaluation – Acceptance Testing

Testing of HMA for compliance of V_a will at the option of the Contracting Agency. If tested, compliance of V_a will use WSDOT SOP 731.

Testing for compliance of asphalt binder content will be by WSDOT FOP for AASHTO T 308.

Testing for compliance of gradation will be by FOP for WAQTC T 27/T 11.

5-04.3(9)C4 Mixture Nonstatistical Evaluation – Pay Factors

For each lot of material falling outside the tolerance limits in 5-04.3(9), the Contracting Agency will determine a Composite Pay Factor (CPF) using the following price adjustment factors:

Table of Price Adjustment Factors		
Constituent	Factor "f"	
All aggregate passing: 1½", 1", ¾", ½", ¾" and No.4 sieves	2	
All aggregate passing No. 8 sieve	15	
All aggregate passing No. 200 sieve	20	
Asphalt binder	40	
Air Voids (Va) (where applicable)	20	

Each lot of HMA produced under Nonstatistical Evaluation and having all constituents falling within the tolerance limits of the job mix formula shall be accepted at the unit Contract price with no further evaluation. When one or more constituents fall outside the nonstatistical tolerance limits in the Job Mix Formula shown in Table of Price Adjustment Factors, the lot shall be evaluated in accordance with Section 1-06.2 to determine the appropriate CPF. The nonstatistical tolerance limits will be used in the calculation of the CPF and the maximum CPF shall be 1.00. When less than three sublots exist, backup samples of the existing sublots or samples from the Roadway shall be tested to provide a minimum of three sets of results for evaluation.

5-04.3(9)C5 Vacant

5-04.3(9)C6 Mixture Nonstatistical Evaluation – Price Adjustments

For each lot of HMA mix produced under Nonstatistical Evaluation when the calculated CPF is less than 1.00, a Nonconforming Mix Factor (NCMF) will be determined. The NCMF equals the algebraic difference of CPF minus 1.00 multiplied by 60 percent. The total job mix compliance price adjustment will be calculated as the product of the NCMF, the quantity of HMA in the lot in tons, and the unit Contract price per ton of mix.

If a constituent is not measured in accordance with these Specifications, its individual pay factor will be considered 1.00 in calculating the Composite Pay Factor (CPF).

5-04.3(9)C7 Mixture Nonstatistical Evaluation - Retests

The Contractor may request a sublot be retested. To request a retest, the Contractor shall submit a written request within 7 calendar days after the specific test results have been received. A split of the original acceptance sample will be retested. The split of the sample will not be tested with the same tester that ran the original acceptance test. The sample will be tested for a complete gradation analysis, asphalt binder content, and, at the option of the agency, V_a. The results of the retest will be used for the acceptance of the HMA in place of the original sublot sample test results. The cost of testing will be deducted from any monies due or that may come due the Contractor under the Contract at the rate of \$500 per sample.

5-04.3 (9)D Mixture Acceptance - Commercial Evaluation

If sampled and tested, HMA produced under Commercial Evaluation and having all constituents falling within the tolerance limits of the job mix formula shall be accepted at the unit Contract price with no further evaluation. When one or more constituents fall outside the commercial tolerance limits in the Job Mix Formula shown in 5-04.3(9), the lot shall be evaluated in accordance with Section 1-06.2 to determine the appropriate CPF. The commercial tolerance limits will be used in the calculation of the CPF and the maximum CPF shall be 1.00. When less than three sublots exist, backup samples of the existing sublots or samples from the street shall be tested to provide a minimum of three sets of results for evaluation.

 For each lot of HMA mix produced and tested under Commercial Evaluation when the calculated CPF is less than 1.00, a Nonconforming Mix Factor (NCMF) will be determined. The NCMF equals the algebraic difference of CPF minus 1.00 multiplied by 60 percent. The Job Mix Compliance Price Adjustment will be calculated as the product of the NCMF, the quantity of HMA in the lot in tons, and the unit Contract price per ton of mix.

If a constituent is not measured in accordance with these Specifications, its individual pay factor will be considered 1.00 in calculating the Composite Pay Factor (CPF).

5-04.3(10) HMA Compaction Acceptance

HMA mixture accepted by nonstatistical evaluation that is used in traffic lanes, including lanes for intersections, ramps, truck climbing, weaving, and speed change, and having a specified compacted course thickness greater than 0.10-foot, shall be

compacted to a specified level of relative density. The specified level of relative density shall be a Composite Pay Factor (CPF) of not less than 0.75 when evaluated in accordance with Section 1-06.2, using a LSL of 92.0 (minimum of 92 percent of the maximum density). The maximum density shall be determined by WSDOT FOP for AASHTO T 729. The specified level of density attained will be determined by the evaluation of the density of the pavement. The density of the pavement shall be determined in accordance with WSDOT FOP for WAQTC TM 8, except that gauge correlation will be at the discretion of the Engineer, when using the nuclear density gauge and WSDOT SOP 736 when using cores to determine density.

Tests for the determination of the pavement density will be taken in accordance with the required procedures for measurement by a nuclear density gauge or roadway cores after completion of the finish rolling.

If the Contracting Agency uses a nuclear density gauge to determine density the test procedures FOP for WAQTC TM 8 and WSDOT SOP T 729 will be used on the day the mix is placed and prior to opening to traffic.

Roadway cores for density may be obtained by either the Contracting Agency or the Contractor in accordance with WSDOT SOP 734. The core diameter shall be 4-inches minimum, unless otherwise approved by the Engineer. Roadway cores will be tested by the Contracting Agency in accordance with WSDOT FOP for AASHTO T 166.

If the Contract includes the Bid item "Roadway Core" the cores shall be obtained by the Contractor in the presence of the Engineer on the same day the mix is placed and at locations designated by the Engineer. If the Contract does not include the Bid item "Roadway Core" the Contracting Agency will obtain the cores.

For a lot in progress with a CPF less than 0.75, a new lot will begin at the Contractor's request after the Engineer is satisfied that material conforming to the Specifications can be produced.

HMA mixture accepted by commercial evaluation and HMA constructed under conditions other than those listed above shall be compacted on the basis of a test point evaluation of the compaction train. The test point evaluation shall be performed in accordance with instructions from the Engineer. The number of passes with an approved compaction train, required to attain the maximum test point density, shall be used on all subsequent paving.

HMA for preleveling shall be thoroughly compacted. HMA that is used for preleveling wheel rutting shall be compacted with a pneumatic tire roller unless otherwise approved by the Engineer.

Test Results

For a sublot that has been tested with a nuclear density gauge that did not meet the minimum of 92 percent of the reference maximum density in a compaction lot with a

CPF below 1.00 and thus subject to a price reduction or rejection, the Contractor may request that a core be used for determination of the relative density of the sublot. The relative density of the core will replace the relative density determined by the nuclear density gauge for the sublot and will be used for calculation of the CPF and acceptance of HMA compaction lot.

When cores are taken by the Contracting Agency at the request of the Contractor, they shall be requested by noon of the next workday after the test results for the sublot have been provided or made available to the Contractor. Core locations shall be outside of wheel paths and as determined by the Engineer. Traffic control shall be provided by the Contractor as requested by the Engineer. Failure by the Contractor to provide the requested traffic control will result in forfeiture of the request for cores. When the CPF for the lot based on the results of the HMA cores is less than 1.00, the cost for the coring will be deducted from any monies due or that may become due the Contractor under the Contract at the rate of \$200 per core and the Contractor shall pay for the cost of the traffic control.

5-04.3(10)A HMA Compaction – General Compaction Requirements

Compaction shall take place when the mixture is in the proper condition so that no undue displacement, cracking, or shoving occurs. Areas inaccessible to large compaction equipment shall be compacted by other mechanical means. Any HMA that becomes loose, broken, contaminated, shows an excess or deficiency of asphalt, or is in any way defective, shall be removed and replaced with new hot mix that shall be immediately compacted to conform to the surrounding area.

The type of rollers to be used and their relative position in the compaction sequence shall generally be the Contractor's option, provided the specified densities are attained. Unless the Engineer has approved otherwise, rollers shall only be operated in the static mode when the internal temperature of the mix is less than 175°F. Regardless of mix temperature, a roller shall not be operated in a mode that results in checking or cracking of the mat. Rollers shall only be operated in static mode on bridge decks.

5-04.3(10)B HMA Compaction - Cyclic Density

Low cyclic density areas are defined as spots or streaks in the pavement that are less than 90 percent of the theoretical maximum density. At the Engineer's discretion, the Engineer may evaluate the HMA pavement for low cyclic density, and when doing so will follow WSDOT SOP 733. A \$500 Cyclic Density Price Adjustment will be assessed for any 500-foot section with two or more density readings below 90 percent of the theoretical maximum density.

5-04.3(10)C Vacant

5-04.3(10)D HMA Nonstatistical Compaction

5-04.3(10)D1 HMA Nonstatistical Compaction – Lots and Sublots

HMA compaction which is accepted by nonstatistical evaluation will be based on acceptance testing performed by the Contracting Agency dividing the project into compaction lots.

A lot is represented by randomly selected samples of the same mix design that will be tested for acceptance. A lot is defined as the total quantity of material or work produced for each Job Mix Formula placed. Only one lot per JMF is expected. A sublot shall be equal to one day's production or 400 tons, whichever is less except that the final sublot will be a minimum of 200 tons and may be increased to 800 tons. Testing for compaction will be at the rate of 5 tests per sublot per WSDOT T 738.

The sublot locations within each density lot will be determined by the Engineer. For a lot in progress with a CPF less than 0.75, a new lot will begin at the Contractor's request after the Engineer is satisfied that material conforming to the Specifications can be produced.

HMA mixture accepted by commercial evaluation and HMA constructed under conditions other than those listed above shall be compacted on the basis of a test point evaluation of the compaction train. The test point evaluation shall be performed in accordance with instructions from the Engineer. The number of passes with an approved compaction train, required to attain the maximum test point density, shall be used on all subsequent paving.

HMA for preleveling shall be thoroughly compacted. HMA that is used to prelevel wheel ruts shall be compacted with a pneumatic tire roller unless otherwise approved by the Engineer.

5-04.3(10)D2 HMA Compaction Nonstatistical Evaluation – Acceptance Testing The location of the HMA compaction acceptance tests will be randomly selected by the Engineer from within each sublot, with one test per sublot.

5-04.3(10)D3 HMA Nonstatistical Compaction – Price Adjustments

For each compaction lot with one or two sublots, having all sublots attain a relative density that is 92 percent of the reference maximum density the HMA shall be accepted at the unit Contract price with no further evaluation. When a sublot does not attain a relative density that is 92 percent of the reference maximum density, the lot shall be evaluated in accordance with Section 1-06.2 to determine the appropriate CPF. The maximum CPF shall be 1.00, however, lots with a calculated CPF in excess of 1.00 will be used to offset lots with CPF values below 1.00 but greater than 0.90. Lots with CPF lower than 0.90 will be evaluated for compliance per 5-04.3(11). Additional testing by either a nuclear moisture-density gauge or cores will be completed as required to provide a minimum of three tests for evaluation.

For compaction below the required 92% a Non-Conforming Compaction Factor (NCCF) will be determined. The NCCF equals the algebraic difference of CPF minus 1.00 multiplied by 40 percent. The Compaction Price Adjustment will be calculated

as the product of CPF, the quantity of HMA in the compaction control lot in tons, and the unit Contract price per ton of mix.

5-04.3(11) Reject Work

5-04.3(11)A Reject Work General

Work that is defective or does not conform to Contract requirements shall be rejected. The Contractor may propose, in writing, alternatives to removal and replacement of rejected material. Acceptability of such alternative proposals will be determined at the sole discretion of the Engineer. HMA that has been rejected is subject to the requirements in Section 1-06.2(2) and this specification, and the Contractor shall submit a corrective action proposal to the Engineer for approval.

5-04.3(11)B Rejection by Contractor

The Contractor may, prior to sampling, elect to remove any defective material and replace it with new material. Any such new material will be sampled, tested, and evaluated for acceptance.

5-04.3(11)C Rejection Without Testing (Mixture or Compaction)

The Engineer may, without sampling, reject any batch, load, or section of Roadway that appears defective. Material rejected before placement shall not be incorporated into the pavement. Any rejected section of Roadway shall be removed.

No payment will be made for the rejected materials or the removal of the materials unless the Contractor requests that the rejected material be tested. If the Contractor elects to have the rejected material tested, a minimum of three representative samples will be obtained and tested. Acceptance of rejected material will be based on conformance with the nonstatistical acceptance Specification. If the CPF for the rejected material is less than 0.75, no payment will be made for the rejected material; in addition, the cost of sampling and testing shall be borne by the Contractor. If the CPF is greater than or equal to 0.75, the cost of sampling and testing will be borne by the Contracting Agency. If the material is rejected before placement and the CPF is greater than or equal to 0.75, compensation for the rejected material will be at a CPF of 0.75. If rejection occurs after placement and the CPF is greater than or equal to 0.75, compensation for the rejected material will be at the calculated CPF with an addition of 25 percent of the unit Contract price added for the cost of removal and disposal.

5-04.3(11)D Rejection - A Partial Sublot

In addition to the random acceptance sampling and testing, the Engineer may also isolate from a normal sublot any material that is suspected of being defective in relative density, gradation or asphalt binder content. Such isolated material will not include an original sample location. A minimum of three random samples of the suspect material will be obtained and tested. The material will then be statistically evaluated as an independent lot in accordance with Section 1-06.2(2).

5-04.3(11)E Rejection - An Entire Sublot

An entire sublot that is suspected of being defective may be rejected. When a sublot is rejected a minimum of two additional random samples from this sublot will be obtained. These additional samples and the original sublot will be evaluated as an independent lot in accordance with Section 1-06.2(2).

5-04.3(11)F Rejection - A Lot in Progress

The Contractor shall shut down operations and shall not resume HMA placement until such time as the Engineer is satisfied that material conforming to the Specifications can be produced:

- 1. When the Composite Pay Factor (CPF) of a lot in progress drops below 1.00 and the Contractor is taking no corrective action, or
- 2. When the Pay Factor (PF) for any constituent of a lot in progress drops below 0.95 and the Contractor is taking no corrective action, or
- 3. When either the PFi for any constituent or the CPF of a lot in progress is less than 0.75.

5-04.3(11)G Rejection - An Entire Lot (Mixture or Compaction)

An entire lot with a CPF of less than 0.75 will be rejected.

5-04.3(12) Joints

5-04.3(12)A HMA Joints

5-04.3(12)A1 Transverse Joints

The Contractor shall conduct operations such that the placing of the top or wearing course is a continuous operation or as close to continuous as possible. Unscheduled transverse joints will be allowed and the roller may pass over the unprotected end of the freshly laid mixture only when the placement of the course must be discontinued for such a length of time that the mixture will cool below compaction temperature. When the Work is resumed, the previously compacted mixture shall be cut back to produce a slightly beveled edge for the full thickness of the course.

A temporary wedge of HMA constructed on a 20H:1V shall be constructed where a transverse joint as a result of paving or planing is open to traffic. The HMA in the temporary wedge shall be separated from the permanent HMA by strips of heavy wrapping paper or other methods approved by the Engineer. The wrapping paper shall be removed and the joint trimmed to a slightly beveled edge for the full thickness of the course prior to resumption of paving.

The material that is cut away shall be wasted and new mix shall be laid against the cut. Rollers or tamping irons shall be used to seal the joint.

5-04.3(12)A2 Longitudinal Joints

1 The longitudinal joint in any one course shall be offset from the course immediately 2 below by not more than 6 inches nor less than 2 inches. All longitudinal joints 3 constructed in the wearing course shall be located at a lane line or an edge line of 4 the Traveled Way. A notched wedge joint shall be constructed along all longitudinal joints in the wearing surface of new HMA unless otherwise approved by the Engineer. The notched wedge joint shall have a vertical edge of not less than the maximum aggregate size or more than ½ of the compacted lift thickness and then 8 taper down on a slope not steeper than 4H:1V. The sloped portion of the HMA 9 notched wedge joint shall be uniformly compacted.

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5-04.3(12)B Bridge Paving Joint Seals

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5-04.3(12)B1 HMA Sawcut and Seal

Prior to placing HMA on the bridge deck, establish sawcut alignment points at both ends of the bridge paving joint seals to be placed at the bridge ends, and at interior joints within the bridge deck when and where shown in the Plans. Establish the sawcut alignment points in a manner that they remain functional for use in aligning the sawcut after placing the overlay.

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Submit a Type 1 Working Drawing consisting of the sealant manufacturer's application procedure.

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Construct the bridge paving joint seal as specified ion the Plans and in accordance with the detail shown in the Standard Plans. Construct the sawcut in accordance with the detail shown in the Standard Plan. Construct the sawcut in accordance with Section 5-05.3(8)B and the manufacturer's application procedure.

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5-04.3(12)B2 Paved Panel Joint Seal

29 30 Construct the paved panel joint seal in accordance with the requirements specified in section 5-04.3(12)B1 and the following requirement:

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1. Clean and seal the existing joint between concrete panels in accordance with Section 5-01.3(8) and the details shown in the Standard Plans.

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5-04.3(13) Surface Smoothness

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The completed surface of all courses shall be of uniform texture, smooth, uniform as to crown and grade, and free from defects of all kinds. The completed surface of the wearing course shall not vary more than 1/8 inch from the lower edge of a 10-foot straightedge placed on the surface parallel to the centerline. The transverse slope of the completed surface of the wearing course shall vary not more than 1/4 inch in 10 feet from the rate of transverse slope shown in the Plans.

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When deviations in excess of the above tolerances are found that result from a high place in the HMA, the pavement surface shall be corrected by one of the following methods:

Use the cold milling method for planing unless otherwise specified in the Contract. Do not use the planer on the final wearing course of new HMA.

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Conduct planing operations in a manner that does not tear, break, burn, or otherwise damage the surface which is to remain. The finished planed surface must be slightly grooved or roughened and must be free from gouges, deep grooves, ridges, or other imperfections. The Contractor must repair any damage to the surface by the Contractor's planing equipment, using an Engineer approved method.

Repair or replace any metal castings and other surface improvements damaged by planing, as determined by the Engineer.

A tapered wedge cut must be planed longitudinally along curb lines sufficient to provide a minimum of 4 inches of curb reveal after placement and compaction of the final wearing course. The dimensions of the wedge must be as shown on the Drawings or as specified by the Engineer.

A tapered wedge cut must also be made at transitions to adjoining pavement surfaces (meet lines) where butt joints are shown on the Drawings. Cut butt joints in a straight line with vertical faces 2 inches or more in height, producing a smooth transition to the existing adjoining pavement.

After planing is complete, planed surfaces must be swept, cleaned, and if required by the Contract, patched and preleveled.

The Engineer may direct additional depth planing. Before performing this additional depth planing, the Contractor must conduct a hidden metal in pavement detection survey as specified in Section 5-04.3(14)A.

5-04.3(14)A Pre-Planing Metal Detection Check

Before starting planing of pavements, and before any additional depth planing required by the Engineer, the Contractor must conduct a physical survey of existing pavement to be planed with equipment that can identify hidden metal objects.

Should such metal be identified, promptly notify the Engineer.

See Section 1-07.16(1) regarding the protection of survey monumentation that may be hidden in pavement.

 The Contractor is solely responsible for any damage to equipment resulting from the Contractor's failure to conduct a pre-planing metal detection survey, or from the Contractor's failure to notify the Engineer of any hidden metal that is detected.

5-04.3(14)B Paving and Planing Under Traffic

5-04.3(14)B1 General

In addition the requirements of Section 1-07.23 and the traffic controls required in Section 1-10, and unless the Contract specifies otherwise or the Engineer approves, the Contractor must comply with the following:

1. Intersections:

 a. Keep intersections open to traffic at all times, except when paving or planing operations through an intersection requires closure. Such closure

must be kept to the minimum time required to place and compact the HMA mixture, or plane as appropriate. For paving, schedule such closure to individual lanes or portions thereof that allows the traffic volumes and schedule of traffic volumes required in the approved traffic control plan. Schedule work so that adjacent intersections are not impacted at the same time and comply with the traffic control restrictions required by the Traffic Engineer. Each individual intersection closure or partial closure, must be addressed in the traffic control plan, which must be submitted to and accepted by the Engineer, see Section 1-10.2(2).

- b. When planing or paving and related construction must occur in an intersection, consider scheduling and sequencing such work into quarters of the intersection, or half or more of an intersection with side street detours. Be prepared to sequence the work to individual lanes or portions thereof.
- c. Should closure of the intersection in its entirety be necessary, and no trolley service is impacted, keep such closure to the minimum time required to place and compact the HMA mixture, plane, remove asphalt, tack coat, and as needed.
- d. Any work in an intersection requires advance warning in both signage and a number of Working Days advance notice as determined by the Engineer, to alert traffic and emergency services of the intersection closure or partial closure.
- e. Allow new compacted HMA asphalt to cool to ambient temperature before any traffic is allowed on it. Traffic is not allowed on newly placed asphalt until approval has been obtained from the Engineer.
- 2. Temporary centerline marking, post-paving temporary marking, temporary stop bars, and maintaining temporary pavement marking must comply with Section 8-23.
- 3. Permanent pavement marking must comply with Section 8-22.

5-04.3(14)B2 Submittals - Planing Plan and HMA Paving Plan

The Contractor must submit a separate planing plan and a separate paving plan to the Engineer at least 5 Working Days in advance of each operation's activity start date. These plans must show how the moving operation and traffic control are coordinated, as they will be discussed at the pre-planing briefing and pre-paving briefing. When requested by the Engineer, the Contractor must provide each operation's traffic control plan on 24×36 inch or larger size Shop Drawings with a scale showing both the area of operation and sufficient detail of traffic beyond the area of operation where detour traffic may be required. The scale on the Shop Drawings is 1 inch = 20 feet, which may be changed if the Engineer agrees sufficient detail is shown.

The planing operation and the paving operation include, but are not limited to, metal detection, removal of asphalt and temporary asphalt of any kind, tack coat and drying, staging of supply trucks, paving trains, rolling, scheduling, and as may be discussed at the briefing.

When intersections will be partially or totally blocked, provide adequately sized and noticeable signage alerting traffic of closures to come, a minimum 2 Working Days in

advance. The traffic control plan must show where police officers will be stationed when signalization is or may be, countermanded, and show areas where flaggers are proposed.

At a minimum, the planing and the paving plan must include:

1. A copy of the accepted traffic control plan, see Section 1-10.2(2), detailing each day's traffic control as it relates to the specific requirements of that day's planing and paving. Briefly describe the sequencing of traffic control consistent with the proposed planing and paving sequence, and scheduling of placement of temporary pavement markings and channelizing devices after each day's planing, and paving.

2. A copy of each intersection's traffic control plan.

 3. Haul routes from Supplier facilities, and locations of temporary parking and staging areas, including return routes. Describe the complete round trip as it relates to the sequencing of paving operations.

4. Names and locations of HMA Supplier facilities to be used.

 5. List of all equipment to be used for paving.

 List of personnel and associated job classification assigned to each piece of paving equipment.

 7. Description (geometric or narrative) of the scheduled sequence of planing and of paving, and intended area of planing and of paving for each day's work, must include the directions of proposed planing and of proposed paving, sequence of adjacent lane paving, sequence of skipped lane paving, intersection planing and paving scheduling and sequencing, and proposed notifications and coordinations to be timely made. The plan must show HMA joints relative to the final pavement marking lane lines.

8. Names, job titles, and contact information for field, office, and plant supervisory personnel.

 $9. \ \ \, \text{A copy of the approved Mix Designs}.$

11. Approximate times and days for starting and ending daily operations.

5-04.3(14)B3 Pre-Paving and Pre-Planing Briefing

10. Tonnage of HMA to be placed each day.

At least 2 Working Days before the first paving operation and the first planing operation, or as scheduled by the Engineer for future paving and planing operations to ensure the Contractor has adequately prepared for notifying and coordinating as required in the Contract, the Contractor must be prepared to discuss that day's operations as they relate to other entities and to public safety and convenience, including driveway and business access, garbage truck operations, Metro transit operations and working around energized overhead wires, school and nursing home and hospital and other accesses, other contractors who may be operating in the area, pedestrian and bicycle traffic, and emergency services. The Contractor, and Subcontractors that may be part of that day's operations, must meet with the Engineer and discuss the proposed operation as it relates to the submitted planing plan and paving plan, approved traffic control plan, and public convenience and safety. Such discussion includes, but is not limited to:

1. General for both Paving Plan and for Planing Plan:

a. The actual times of starting and ending daily operations.b. In intersections, how to break up the intersection, and address traffic control

and signalization for that operation, including use of peace officers.
c. The sequencing and scheduling of paving operations and of planing operations, as applicable, as it relates to traffic control, to public convenience and safety, and to other con-tractors who may operate in the

operations, as applicable, as it relates to traffic control, to public convenience and safety, and to other con-tractors who may operate in the Project Site.

d. Notifications required of Contractor activities, and coordinating with other entities and the public as necessary.

e. Description of the sequencing of installation and types of temporary pavement markings as it relates to planning and to paving.

f. Description of the sequencing of installation of, and the removal of, temporary pavement patch material around exposed castings and as may be needed

g. Description of procedures and equipment to identify hidden metal in the pavement, such as survey monumentation, monitoring wells, street car rail, and castings, before planning, see Section 5-04.3(14)B2.

h. Description of how flaggers will be coordinated with the planing, paving, and related operations.

 i. Description of sequencing of traffic controls for the process of rigid pavement base repairs.

j. Other items the Engineer deems necessary to address.

a. When to start applying tack and coordinating with paving.

2. Paving – additional topics:

b. Types of equipment and numbers of each type equipment to be used. If more pieces of equipment than personnel are proposed, describe the sequencing of the personnel operating the types of equipment. Discuss the continuance of operator personnel for each type equipment as it relates to meeting Specification requirements.

c. Number of JMFs to be placed, and if more than one JMF how the Contractor will ensure different JMFs are distinguished, how pavers and MTVs are distinguished if more than one JMF is being placed at the time, and how pavers and MTVs are cleaned so that one JMF does not adversely influence the other JMF.

d. Description of contingency plans for that day's operations such as equipment breakdown, rain out, and Supplier shutdown of operations.

 e. Number of sublots to be placed, sequencing of density testing, and other sampling and testing.

5-04.3(15) Sealing Pavement Surfaces

 Apply a fog seal where shown in the plans. Construct the fog seal in accordance with Section 5-02.3. Unless otherwise approved by the Engineer, apply the fog seal prior to opening to traffic.

1	5-04.3(16) HMA Road Approaches
2 3	HMA approaches shall be constructed at the locations shown in the Plans or where staked by the Engineer. The Work shall be performed in accordance with Section 5-
4	04.
5	
6	5-04.4 Measurement
7	HMA CI PG, HMA for CI PG, and Commercial HMA will
8	be measured by the ton in accordance with Section 1-09.2, with no deduction being
9	made for the weight of asphalt binder, mineral filler, or any other component of the
10	mixture. If the Contractor elects to remove and replace mix as allowed by Section 5-
11	04.3(11), the material removed will not be measured.
12	
13 14	Roadway cores will be measured per each for the number of cores taken.
15	Preparation of untreated roadway will be measured by the mile once along the
16	centerline of the main line Roadway. No additional measurement will be made for
17	ramps, Auxiliary Lanes, service roads, Frontage Roads, or Shoulders. Measurement
18	will be to the nearest 0.01 mile.
19	
20	Soil residual herbicide will be measured by the mile for the stated width to the
21	nearest 0.01 mile or by the square yard, whichever is designated in the Proposal.
22	
23	Pavement repair excavation will be measured by the square yard of surface marked
24	prior to excavation.
25	
26	Asphalt for prime coat will be measured by the ton in accordance with Section 1-
27	09.2.
28	
29	Prime coat aggregate will be measured by the cubic yard, truck measure, or by the
30	ton, whichever is designated in the Proposal.
31	
32	Asphalt for fog seal will be measured by the ton, as provided in Section 5-02.4.
33	
34	Longitudinal joint seals between the HMA and cement concrete pavement will be
35	measured by the linear foot along the line and slope of the completed joint seal.
36	
37	Planing bituminous pavement will be measured by the square yard.
38	
39	Temporary pavement marking will be measured by the linear foot as provided in
40	Section 8-23.4.
41	
42	Water will be measured by the M gallon as provided in Section 2-07.4.
43	
44	5-04.5 Payment

1 2	Payment will be made for each of the following Bid items that are included in the Proposal:
3	
4 5	"HMA CI PG", per ton.
6	"HMA for Approach Cl PG", per ton.
7	
8	"HMA for Preleveling Cl PG", per ton.
9	
10	"HMA for Pavement Repair Cl PG", per ton.
11	
12	"Commercial HMA", per ton.
13	·
14 15 16 17 18 19 20	The unit Contract price per ton for "HMA CI PG", "HMA for Approach CI PG", "HMA for Preleveling CI PG", "HMA for Pavement Repair CI PG", and "Commercial HMA" shall be full compensation for all costs, including anti-stripping additive, incurred to carry out the requirements of Section 5-04 except for those costs included in other items which are included in this Subsection and which are included in the Proposal.
21	"Drangration of Untrooted Boodway" per mile
	"Preparation of Untreated Roadway", per mile.
22	
23 24 25 26 27 28 29 30	The unit Contract price per mile for "Preparation of Untreated Roadway" shall be full pay for all Work described under 5-04.3(4) , with the exception, however, that all costs involved in patching the Roadway prior to placement of HMA shall be included in the unit Contract price per ton for "HMA CI PG" which was used for patching. If the Proposal does not include a Bid item for "Preparation of Untreated Roadway", the Roadway shall be prepared as specified, but the Work shall be included in the Contract prices of the other items of Work.
31	"Preparation of Existing Paved Surfaces", per mile.
32	
33 34 35 36 37 38 39 40	The unit Contract Price for "Preparation of Existing Paved Surfaces" shall be full pay for all Work described under Section 5-04.3(4) with the exception, however, that all costs involved in patching the Roadway prior to placement of HMA shall be included in the unit Contract price per ton for "HMA CI PG" which was used for patching. If the Proposal does not include a Bid item for "Preparation of Untreated Roadway", the Roadway shall be prepared as specified, but the Work shall be included in the Contract prices of the other items of Work.
41	"Crack Sealing", by force account.
42 43 44	"Crack Sealing" will be paid for by force account as specified in Section 1-09.6. For the purpose of providing a common Proposal for all Bidders, the Contracting Agency

1	has entered an amount in the Proposal to become a part of the total Bid by the Contractor.
3	
4 5	"Pavement Repair Excavation Incl. Haul", per square yard.
6 7 8 9	The unit Contract price per square yard for "Pavement Repair Excavation Incl. Haul" shall be full payment for all costs incurred to perform the Work described in Section 5-04.3(4) with the exception, however, that all costs involved in the placement of HMA shall be included in the unit Contract price per ton for "HMA for Pavement Repair Cl PG", per ton.
11 12	"Asphalt for Prime Coat", per ton.
13 14 15 16 17	The unit Contract price per ton for "Asphalt for Prime Coat" shall be full payment for all costs incurred to obtain, provide and install the material in accordance with Section 5-04.3(4).
18 19	"Prime Coat Agg.", per cubic yard, or per ton.
20 21 22 23	The unit Contract price per cubic yard or per ton for "Prime Coat Agg." shall be full pay for furnishing, loading, and hauling aggregate to the place of deposit and spreading the aggregate in the quantities required by the Engineer.
23 24 25	"Asphalt for Fog Seal", per ton.
26 27	Payment for "Asphalt for Fog Seal" is described in Section 5-02.5.
28 29	"Longitudinal Joint Seal", per linear foot.
30 31 32	The unit Contract price per linear foot for "Longitudinal Joint Seal" shall be full payment for all costs incurred to perform the Work described in Section 5-04.3(12).
33 34	"Planing Bituminous Pavement", per square yard.
35 36 37 38	The unit Contract price per square yard for "Planing Bituminous Pavement" shall be full payment for all costs incurred to perform the Work described in Section 5-04.3(14).
39 40	"Temporary Pavement Marking", per linear foot.
41 42	Payment for "Temporary Pavement Marking" is described in Section 8-23.5.
43	"Water", per M gallon.

1	
2	Payment for "Water" is described in Section 2-07.5.
3	
4	"Job Mix Compliance Price Adjustment", by calculation.
5	
6	"Job Mix Compliance Price Adjustment" will be calculated and paid for as described
7	in Section 5-04.3(9)C6.
8	
9	"Compaction Price Adjustment", by calculation.
10	
11	"Compaction Price Adjustment" will be calculated and paid for as described in
12	Section 5-043(10)D3.
13	
14	"Roadway Core", per each.
15	
16	The Contractor's costs for all other Work associated with the coring (e.g., traffic
17 18	control) shall be incidental and included within the unit Bid price per each and no additional payments will be made.
19	additional payments will be made.
20	"Cyclic Density Price Adjustment", by calculation.
21	Cyclic Density Frice Adjustitient, by Calculation.
	"Cyclic Denoity Drice Adjustment" will be calculated and noid for as described in
22 23	"Cyclic Density Price Adjustment" will be calculated and paid for as described in Section 5-04.3(10)B.
24	Coolon 6 6 1.0(10)B.
25	
26	END OF DIVISION 5
27	

DIVISION 7 1 DRAINAGE STRUCTURES, STORM SEWERS, SANITARY 2 SEWERS, WATERMAINS AND CONDUITS 3 4 5 **SECTION 7-04, STORM SEWER** 6 7 7-04.2 Materials (*****) 8 Supplement 9 10 SUPPLEMENT this Section with the following: 11 12 The HDPE material shall be solid wall High Density Polyethylene (HDPE) Pipe. 13 HDPE pipe and fittings shall meet the requirements in the AASHTO M326 14 Specification. 15 16 HDPE Pipe shall be Solid Wall DR21, minimum wall thickness of 0.49 inches for 17 12 In HDPE and minimum wall thickness of .615 for 16 In HDPE. Joints shall be 18 welded by butt fusion per 9-05.23. Completed connection shall exhibit a pull-out 19 strength of 15,000 lbs. or greater without changing the OD or the ID of the liner 20 pipe. 21 22 23 7-04.3 Construction Requirements 24 (*****) Supplement 25 26 SUPPLEMENT this Section with the following: 27 28 Testing of the installed HDPE liner pipe for leaks shall be per the manufacturer's 29 recommendations. 30 31 7-04.5 Payment (*****) 32 Supplement 33 34 SUPPLEMENT this Section with the following: 35 36 The unit contract price per linear foot for "High-Density Polyethylene (HDPE) 37 Pipe __ In. Diam." shall be full pay for all labor, tools, materials, and equipment 38 necessary to complete the installation of the storm sewer including, but not 39 limited to, laying and fused jointing pipe and fittings, bends, fused flange 40 assembly, above grade anchors, below grade anchors, vent/access bend, 41 approved couplings and adaptors, hand grading or placing of bedding material 42 per the plans, and cleanup as shown in the Plans. 43 44 The unit contract price per linear foot for "Energy Dissipater (HDPE) Tee" shall 45 be full pay for all labor, tools, materials, and equipment necessary to complete 46 the installation of the energy dissipater including, but not limited to, laying and 47 fused jointing pipe and fittings, bends, fused flange for Tee connection, materials 48 and installation of anchoring assembly and cleanup as shown in the Plans.

DIVISION 8 1 MISCELLANEOUS CONSTRUCTION 2 3 4 5 SECTION 8-01, EROSION CONTROL AND WATER POLLUTION CONTROL 6 7 8 8-01.5 Payment 9 (*****) Supplement 10 11 SUPPLEMENT this Section with the following: 12 13 The unit contract price per Lump Sum for "Erosion Control and Water Pollution 14 Prevention" shall be full pay for all labor, material and equipment necessary installation, maintenance and removal of all BMPs. Including but not limited, 15 constructions entrance, tree protection, and trunk wrap details as shown in the 16 17 plans. 18 19 20 **SECTION 8-02, Roadside Restoration** 21 22 8-02.3(4) Topsoil (*****) 23 Replacement 24 REPLACE this section and replace it with the following: 25 26 Topsoil shall not be worked or placed when the ground or topsoil is frozen, or 27 excessively wet. 28 29 The Contractor shall protect topsoil stockpiled for project use to prevent erosion 30 and weed growth. Weed growth on topsoil stockpile sites shall be immediately 31 eliminated in accordance with Section 8-02.3(3)C. 32 33 Topsoil of the type specified shall be evenly spread over the specified areas to 34 the depth shown in the Plans or as otherwise ordered by the Engineer. Topsoil 35 depths greater than 6 inches shall be placed in lifts no more than 6 inches in 36 depth. After the topsoil has been spread, all large clods, hard lumps, and rocks 2 37 inches in diameter and larger, and litter shall be raked up, removed, and 38 disposed. 39 40 8-02.3(4)A Topsoil Type A (*****) 41 Replacement 42 REPLACE this section and replace it with the following: 43 44 Topsoil Type A shall be Cedar Grove 3-way topsoil, or approved equal. The Contractor shall submit a certification by the supplier that the contents of the Topsoil 45 46 meet these requirements. 47 48 8-02.3(5) Roadside Seeding, Lawn and Planting Area Preparation (*****) 49 Supplement 50

1	SUPPL	EMENT this Section with the following:	
After initial area weed control, and grading, are completed, all disturbed areas shall covered with compost, unless otherwise noted in the plans.			
6 7 8 9	satu	mpost shall not be placed when a condition exurated soil that may be detrimental to successful a acture.	
10 11 12		e Contractor shall notify the Engineer a minimum of compost work.	of five working days prior to the
13 14 15 16		mpost shall be uniformly and evenly placed on all or ed out to be seeded at a depth of *** 3*** inches.	disturbed areas, including areas
17	8-02.4	Measurement	
18 19	(*****)		Supplement
20	SUPPL	EMENT this Section with the following:	
21 22		soil Type A will be measured by the cubic yard, ins	stalled.
23 24 25 26	Cou	urse Compost will be measured by the cubic yard,	installed.
27 28	8-02.5 (******)	Payment	Supplement
29 30 31	SUPPL	EMENT this Section with the following:	
32 33 34		e unit Contract price per cubic yard "Topsoil Type A I spreading soil.	" shall be full pay for furnishing
35 36 37		e unit Contract price per cubic yard "Course Compo I spreading compost.	st" shall be full pay for furnishing
38	SECTIO	ON 9 OF EVICTING DAIL BOAD TIE WALL AND	etene
39 40 41	(******)	ON 8-05, EXISTING RAILROAD TIE WALL AND	New New
42 43	Exis	sting railroad tie wall and steps shall be removed/re	elocated prior to trenching work.
44 45 46 47	kinc	railroad tie walls and steps noted in the Drawings n d with new material. Railroad ties damaged during tind at no additional cost to the Project.	
48			
49 50	8-05.1 (******)	Measurement	New

PART 6

APPENDIX

APPENDIX A

WASHINGTON STATE PREVAILING WAGE RATES

To find applicable wage rates please follow the following steps:

- Access the L & I website at: http://www.lni.wa.gov/TradesLicensing/PrevWage/WageRates/default.asp
- 2. Look up applicable wages/benefit codes using the bid submittal deadline for this project
- 3. This project is located in King County.
- 4. A copy of the applicable prevailing wage rates for this project are available for review at Newcastle City Hall, 12835 Newcastle Way, Suite 200, Newcastle, WA 98056-1316. Upon request, a hard copy will be mailed to the requesting bidder.